

KEY INFORMATION MEMORANDUM AND APPLICATION FORM

AXIS ASSET MANAGEMENT COMPANY LIMITED (INVESTMENT MANAGER)

- AXIS BLUECHIP FUND
- (An open ended equity scheme predominantly investing in large cap stocks) AXIS REGULAR SAVER FUND
- (An open ended hybrid scheme investing predominantly in debt instruments)
 AXIS MIDCAP FUND
- (An open ended equity scheme predominantly investing in Mid Cap stocks)
 AXIS ARBITRAGE FUND
- (An open ended scheme investing in arbitrage opportunities)
- AXIS FLEXI CAP FUND
 (An open ended dynamic equity scheme inve

(An open ended dynamic equity scheme investing across large cap, mid cap, small cap stocks)

- AXIS EQUITY HYBRID FUND (An Open ended hybrid scheme investing predominantly in equity and equity related instruments)
- AXIS SMALL CAP FUND (An open ended equity scheme predominantly investing in small cap stocks)
- AXIS LONG TERM EQUITY FUND
 (An open ended equity linked saving scheme with a statutory lock in of 3 years and tax benefit)
- AXIS TRIPLE ADVANTAGE FUND (An open ended scheme investing in equity, debt and gold)
- AXIS FOCUSED 25 FUND (An open ended equity scheme investing in maximum 25 stocks investing in large cap, mid cap and small cap companies)
- AXIS EQUITY SAVER FUND (An open-ended scheme investing in equity, arbitrage and debt)

- AXIS GROWTH OPPORTUNITIES FUND (An open ended equity scheme investing in both large cap and mid cap stocks)
- AXIS ESG EQUITY FUND
 (An Open ended equity scheme investing in companies demonstrating sustainable practices across Environment, Social and Governance (ESG) theme)
- AXIS BALANCED ADVANTAGE FUND
 (An open ended dynamic asset allocation fund)
- AXIS NIFTY 100 INDEX FUND
 (An Open Ended Index Fund tracking the NIFTY 100 Index)
- AXIS SPECIAL SITUATIONS FUND
 (An open ended equity scheme following special situations theme)
- AXIS GLOBAL EQUITY ALPHA FUND OF FUND (An open ended fund of fund scheme investing in Schroder International Selection Fund Global Equity Alpha)
- AXIS QUANT FUND (An open ended equity scheme following a quantitative model)
- AXIS VALUE FUND (An open ended equity scheme following a value investment strategy)
- AXIS GREATER CHINA EQUITY FUND OF FUND
 (An open ended fund of fund scheme investing in Schroder International Selection Fund
 Greater China)
- AXIS GLOBAL INNOVATION FUND OF FUND
 (An open ended fund of fund scheme investing in Schroder International Selection Fund
 Global Disruption)

Offer for units at applicable NAV based prices

This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. For further details of the scheme/Mutual Fund, due diligence certificate by the AMC, Key Personnel, investors' rights & services, risk factors, penalties & pending litigations etc. investors should, before investment, refer to the Scheme Information Document and Statement of Additional Information available free of cost at any of the Investor Service Centres or distributors or from the website: www.axismf.com

The Scheme particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations 1996, as amended till date and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.

This document is dated: October 29, 2021

| Name of scheme | AXIS BLUECHIP FUND (An open ended equity scheme predominantly stocks) Benchmark: NIFTY 50 TRI This product is suitable for investors who are seeking*: • Capital appreciation over long term • Investment in a diversified portfolio predominantly consisting of equinatruments of large cap companies *Investors should consult their financial advisers if in doubt about whether the produc | AXIS LONG TERM EQUITY FUND (An open ended equity linked saving scheme with a statutory lock in of 3 years and tax benefit) Benchmark: S&P BSE 200 TRI This product is suitable for investors who are seeking*: Capital appreciation & generating income over long term Investment in a diversified portfolio predominantly consisting of equity and equity related instruments *Investors should consult their financial advisers if in doubt about whether the product is suitable for them. | | | |
|---|---|---|--|---|--|
| | PRODUCT RISKOMETER Moderate Moderate Migh Low Moderate Migh Moderate Migh Moderate Moderate Moderate Migh Moderate Moderate Migh Moderate Moderate Migh Moderate Moderate Migh Moderate Migh Moderate Migh Moderate Migh M | | Low to Low to Low to Low to RiskCMETER | RK RISKOMETER Moderately High Very High COMETER SE 200 TRI | |
| Investment objective | To achieve long term capital appreciation by investing in a diversified consisting of equity and equity related securities of Large Cap companie However, there can be no assurance that the investment objective achieved. | s including derivatives. | To generate income and long term capital appreciation from predominantly equity and equity related securities. However, there the investment objective of the Scheme will be achieved. | | |
| Asset allocation | Under the normal circumstances, the asset allocation pattern will be: | | Under the normal circumstances, the asset allocation pattern will be: | | |
| pattern of the scheme | Type of Instruments | Normal Allocation (% of net assets) | Type of Instruments | Normal Allocation (% of net assets) | |
| | Equity and Equity Related Instruments of Large Cap companies# | 80 - 100 | Equity and Equity Related Securities# | 80% - 100% | |
| | Equity and Equity Related Instruments of other companies# | 0 - 20 | Debt and Money Market Instruments [^] | 0%-20% | |
| | Debt and Money Market Instruments*# | 0 - 20 | ^Includes Investment in securitized Debt up to 20% of the net assets of the Scheme (as a when permitted). The Scheme will not invest in foreign securitized debt. | | |
| | Units issued by REITs & InvITs | 0 - 10 | | | |
| | The Scheme will invest predominantly in Equity and Equity Related Ins companies. | Investment in foreign securities shall not exceed 40% of the net assets of the Scheme (as a when permitted). | | | |
| | #Including derivatives instruments to the extent of 100% of the net assets *Investment in securitized debt (excluding foreign securitized debt), if exceed 20% of the net assets of the Scheme. | | #Including derivatives instruments to the extent of 100% of the net assets of the scheme (as a when permitted). | | |
| Investment in foreign securities shall not exceed 50% of the net assets of the Scheme. The cumulative gross exposure through equity, debt, units issued by REITs & InvITs and derivative positions should not exceed 100% of the net assets of the Scheme inaccordance with SEBI Cir/IMD/DF/11/2010 dated August 18, 2010. | | | | | |

| Differentiation with existing open ended equity schemes (as on September 30, 2021) | For comparison of Existin | g Schemes, Investment Ob | ojective, Asset Under Mana | agement (AUM) ar | nd number of folios, please n | refer to point no. 7A on pag | ge 43 to 46. |
|---|--|--|---|--|--|--|---|
| Investment strategy | companies with strong groups of the portfolios will be the appreciation potential of in "Fair value" based resear universe (Fair value is a n carefully selected to in | ong growth and sustainable business models, whilst managing risk. I be built utilising a bottom-up stock selection process, focusing on tial of individual stocks from a fundamental perspective. The AMC employs a research process to analyse the appreciation potential of each stock in its to include of the intrinsic worth of a company). The universe of stocks is to include companies having robust business models and enjoying titive advantages as compared to their competitors. | | | business models. Though the companies constitutin the market capitalization industries/sectors. The companies would management team has sustainability of its compe | the benchmark is S&P B g the benchmark. The So spectrum (i.e. large, n be individually researd satisfied itself on robus titive advantage and the or that the corpus of the S | f strong growth companies with sustainable SE-200, the investments will not be limited to sheme will have the flexibility to invest across hid and small cap companies) and across sched and selected only when the fund stness of the company's business model, credibility of its top management team. Scheme remains fully invested in equity and |
| Risk profile of the scheme | Scheme specific Risk Factors are summarized below: The scheme carries risks associated with investing in equity and equity related securities, derivatives, foreign securities, debt securities, securitized debt, debt instruments having credit enhancements, money market instruments, short selling and securities lending. Investment in Mutual Fund units involves investment risks such as trading volumes, settlement risk, liquidity risk and default risk. Trading volume may restrict liquidity in equity and debt investments. The AMC may choose to invest in unlisted securities which may increase the risk on the portfolio. Also, the value of the Scheme investments may be affected by interest rates, currency exchange rates, changes in law/policies of the government, taxation laws and political, economic or other developments. Investments in debt and money market instruments are subject to interest rate risk, re- investment risk, basis risk, credit risk, spread risk, prepayment risk, etc. to the extent of the Scheme's investments in such securities. Please refer to the SID for further details. | | | the Scheme Information I Scheme specific Risk F The scheme carries risk derivatives, foreign secur enhancements, money m Investment in Mutual Fur risk, liquidity risk and de investments. The AMC m on the portfolio. Also, the currency exchange rate political, economic or othe Investments in debt and investment risk, basis ris Scheme's investments | Document (SID) carefully actors are summarized s associated with invest ities, debt securities, sec arket instruments, short s d units involves investme ifault risk. Trading volun ay choose to invest in un value of the Scheme inv s, changes in law/polici er developments. d money market instrum k, credit risk, spread risl n such securities. Due westors to realize returns | ng the possible loss of principal. Please read for details on risk factors before investment. below: ing in equity and equity related securities, uritized debt, debt instruments having credit relling and securities lending. In trisks such as trading volumes, settlement me may restrict liquidity in equity and debt listed securities which may increase the risk estments may be affected by interest rates, es of the government, taxation laws and nents are subject to interest rate risk, re- s, prepayment risk, etc. to the extent of the to the lock-in requirements under ELSS is restricted for the first three years. | |
| Risk management strategies | The Fund, by utilizing a holistic risk management strategy, will endeavor to manage risks associa risk through various risk measurement tools. The Fund has identified following risks of investing process to manage such risks. | | | | g in equities and designed | risk management strateg | |
| | Risk & description specific to equities Quality risk - Risk of investing in unsustainable/ weak companies | | | | Risk mitigants/ Manage | | Ide high quality businesses |
| | Price risk - Risk of overpaying for a company | | | | | | |
| | Concentration risk | aying for a company | | | "Fair value" based investment approach supported by comprehensive research Axis Bluechip Fund - Invest across the industries/ sectors | | |
| | | | | | Axis Long Term Equity Fund : Invest across the market capitalization spectrum and industries/ sector | | |
| | Liquidity risk - High impa | act costs | | | Control portfolio liquidity a | | |
| | Volatility - Price volatility | due to company or portfolio | specific factors | | Control risk class/ sector/ | stock exposures to control | ol overall factors portfolio volatility |
| | Event risk - Price risk due | e to company or sector spec | cific event | | | | speedily to events usage of derivatives: |
| Plans and Options | Plana, Avia Plucahin Eur | d - Regular Plan & Axis Blu | icobin Fund Direct Dian | Ontional Crowth | | · · · · · · · · · · · · · · · · · · · | events with uncertain outcomes & Axis Long Term Equity Fund - Direct Plan |
| | & Income Distribution cun | n Capital Withdrawal (IDCV a; Default Facility: Reinves | V) Option* (Payout & Rein | | Options: Growth and Income Distribution cum Capital Withdrawal (IDCW) Option* Default Options: Growth; Default Facility: Payout | | |
| | *The amounts can be dist | ributed out of investors can | ital (Equalization Reserve |) which is part of s | ale price that represents rea | | |
| | Default Plan: The investor form. Investors should also | or must clearly specify his ch o indicate "Direct" in the ARI | noice of plan. Investors sub N column of the application | scribing under Dir form. Further, If ne | ect Plan of a Scheme will ha | ve to indicate "Direct Plan ntioned in the application for | " against the Scheme name in the application orm, nor Plan is indicated against the Scheme lifferent scenarios :- |
| | Scenario | Broker Code mentioned | by the investor | Plann | nentioned by the investor | - | Default Plan to be captured |
| | 1 | Not mention | | | Not mentioned | | Direct Plan |
| | 2 | Notmention | | | Direct | | Direct Plan |
| | 3 | Notmention | | | Regular | | Direct Plan |
| | 4 5 | Mentioned Direct | 1 | | Direct Notmentioned | | Direct Plan Direct Plan |
| | 6 | Direct | | | Regular | | Direct Plan |
| | 7 | Mentioned | 1 | | Regular | | Regular Plan |
| | 8 | Mentioned | 1 | | Notmentioned | | Regular Plan |
| | code within 30 calendar of transaction under Direct P Note: Direct Plan is for inv | days of the receipt of the a Plan from the date of applica vestors who purchase /sub | pplication form from the in ation without any exit load. scribe units in a Scheme d | livestor/ distributor | . In case, the correct code | is not received within 30 westors who route their ir | WC shall contact and obtain the correct ARN calendar days, the AMC shall reprocess the westments through a Distributor. Direct Plan der the Direct Plan. |
| Applicable NAV | Please refer to point no. 1 | on page no 42 | | | | | |
| | | | | | Durchas | Additional D | Min undersetters Levels 1 |
| Minimum application and redemption | Purchase ₹ 5 000 and in multiples | Additional Purchase ₹ 100 and in multiples | Repurcha There will be no r | | Purchase ₹ 500 and in multiples | Additional Purchase ₹ 500 and in multiples | Min redemption / switch out amount There will be no minimum |
| amount/ number of | ₹ 5,000 and in multiples ₹ 100 and in multiples There will be no minimum of ₹ 1 thereafter of ₹ 1 thereafter redemption criterion | | of ₹ 500 thereafter | of ₹ 500 thereafter | redemption criterion | | |
| units | Minimum application amo | ount through SIP - ₹ 500 | | | Minimum application am | | |
| | Minimum number of insta For details of investme SID. | allments - 6 (Monthly) nt/transaction through S | IP/STP/SWP facility plea | ase refer to the | Minimum number of installments - 6 (Monthly) | | |
| Dispatch of repurchase (redemption) request | Within 10 working days fro | om the receipt of the redem | ption request at the Author | rized Centre of Axi | s Mutual Fund. | | |
| Benchmark index | Nifty 50 TRI | | | | S&PBSE 200 TRI | | |
| Dividend (IDCW) policy | | | | | | | utual Funds) Regulations 1996 ('SEBI (MF |
| | | | | | y of distributable surplus ca s to the rate of IDCW nor tha | | ith SEBI (MF) Regulations and the decision |

| Name of Fund Manager | Mr. Shreyash Devalkar (Tenure as Fund Manager: 4 years) and Mr. Hitesh Das (for Foreign Securities) (Tenure as Fund Manager-Less than 1 year) | | | Mr. Jinesh Gopani (Tenure a | as Fund Manager: 10 years) | |
|--|---|---|---|---|--|---|
| Name of the Trustee Performance of the scheme (as on | Axis Mutual Fund Trustee Li Period | mited Axis Bluechip Fund ^ - Regular Plan | Nifty 50 TRI (Benchmark) | Period | Axis Long Term Equity Fund [^] - Regular Plan | S&P BSE 200 TRI (Benchmark) |
| September 30, 2021) | 1 Year returns | 52.52% | 58.54% | 1 Year returns | 62.42% | 61.22% |
| | 3 Year returns | 20.79% | 18.58% | 3 Year returns | 21.74% | 19.44% |
| | 5 Year returns | 18.16% | 16.81% | 5 Year returns | 17.96% | 16.85% |
| | | | | | | |
| | Returns since Inception (January 05, 2010) Absolute returns for the | 14.05% | 12.17% | Returns since Inception (December 29, 2009) Absolute returns for the | 18.76% | 12.79% |
| | E 14.64% 20.16% 18.13% 2016-2017 2017-2017 | 48.88% 76% 14.53% 16.45% 2019-2020 | Axis Bluechip Fund - Regular Plan Nifty 50 TRI (Benchmark) | 18.30% 24.03% 16.79% 12.4 18.30% 24.03% 16.79% 12.4 FY 16-17 FY 17-18 | 57.63% 9%8.53% 12.10% FY 19-20 | Fund - Regular Plan S&P BSE 200 TRI (Benchmark) |
| | Period | Axis Bluechip Fund - Direct Plan^ | Nifty 50 TRI (Benchmark) | Period | Axis Long Term Equity Fund - Direct Plan^ | S&P BSE 200 TRI (Benchmark) |
| | 1 Year returns | 54.34% | 58.54% | 1 Year returns | 63.83% | 61.22% |
| | 3 Year returns | 22.27% | 18.58% | 3 Year returns | 22.80% | 19.44% |
| | 5 Year returns | | 16.81% | 5 Year returns | 19.09% | 16.85% |
| | | 19.64% | | | | |
| | Returns since Inception (January 01, 2013) | 18.02% | 14.61% | Returns since Inception (January 01, 2013) | 21.65% | 15.40% |
| | Absolute returns for the last | 5 financial years. 72.54% | | Absolute returns for the | | 26% |
| | 20.16% 19.81% 15.72% 2016-2017 2017-201 | 8 2018-2019 -6.97% 2020-2021 -25.02% | Axis Bluechip Fund - Direct Plan Nifty 50 TRI (Benchmark) | E 19.63% 24.03% 18.07% 12.4 FY 16-17 FY 17-18 | FY 18-19 -11.16% -25.42% | |
| | | r may not be sustained in future. Ret cture. Plan of the scheme for which perf | | | GR). Calculations are based on Grov | vth Option NAVs. Different pla |
| 2021) | 6.91%; Reliance Industrie Limited: 4.10%; Kotak Mahi Sector Allocation FINANCIAL SERVICES: 35 GOODS: 7.63%; PHARMA | Consultancy Services Limited: 7.78%; / s Limited: 5.02%; Housing Develop ndra Bank Limited: 4.01% & Divi's Labo 9.53%; IT: 18.13%; CONSUMER SERV c: 6.26%; CEMENT & CEMENT PROE 18%; TELECOM: 2.58%; CHEMICALS : 100.0% | ment Finance Corporation ratories Limited: 3.25% /ICES: 8.97%; CONSUMER /UCTS: 5.17%; OIL & GAS: | Limited: 5.27%; Divi's Labo Limited: 4.87%; Pidilite Indus Sector Allocation FINANCIAL SERVICES: 3 7.15%; CONSUMER GOO 3.98%; CEMENT & CEME | (India) Limited: 7.93%; Housing Dev pratories Limited: 5.07%; Nestle Indi stries Limited: 4.69% & Kotak Mahindr 3.59%; CONSUMER SERVICES: 1 DS: 7.11%; CHEMICALS: 5.19%; AI INT PRODUCTS: 2.84%; FERTILIS 'URING: 1.66%; TELECOM: 1.07% | ia Limited: 4.90%; HDFC Bai a Bank Limited: 4.67% (7.05%; IT: 11.69%; PHARM, JTOMOBILE: 4.87%; POWEI SERS & PESTICIDES: 2.53% |
| | Please visit www.axismf.cc | m to obtain schemes latest monthly po | ortfolio. | 0.45%; CONSTRUCTION: 0.44%; Cash & NCA: 0.38% & Total: 100.0% | | |
| Portfolio turnover ratio* (as on September 30, 2021) | 0.45 times (*Based on equity, equity derivatives and Fixed Income Securities transactions only. Triparty Repos/Repo/FD/Margin FD/MFU/SLB are not considered.) | | | | ity, equity derivatives and Fixed Incor argin FD/MFU/SLB are not consider | |
| Expenses of the scheme (I) Load structure | Entry load : NotApplicable Exit load : If redeemed / switched-out within 12 months from the date of allotment, - For 10% of investments: Nil - For remaining investments: 1% If redeemed / switched - out after 12 months from the date of allotment: NIL The above mentioned load structure shall be equally applicable to the special products such as SIP, STP, SWP etc. offered under the Scheme. No exit load will be charged for switch between Regular Plan and Direct Plan where transaction is not routed through Distributor in Regular Plan. If the transaction in Regular Plan is routed through Distributor, then applicable exit load will be charged for switch from Regular Plan to Direct Plan. Further for switches between the Growth and IDCW Option and on the units allotted on reinvestment of IDCW no load will be charged by the Scheme. However, for switches between equity schemes, load will be charged by the AMC. | | | SIP, STP, SWP etc. offered Regular Plan and Direct P Plan. If the transaction in F will be charged for switch f Growth and IDCW Option charged by the Scheme. Hi by the AMC. In case of Axis Long Term statutory lock in of 3 years Plan to Direct Plan, their in allotment of units. Entire exitload (net of Goods. SEBI vide its circular no. SE there shall be no entry lit | structure shall be equally applicable under the Scheme. No exit load will lan where transaction is not routed Regular Plan is routed through Distri rom Regular Plan to Direct Plan. FL and on the units allotted on reinves owever, for switches between equity Equity Fund, An open ended equit and tax benefit, unit holders will not vestments fully or in part after a per and Service Tax (GST)) charged, ifany, BI/IMD/CIR No. 4/ 168230/09 dated add for all Mutual Fund schemes | be charged for switch betwee through Distributor in Regul butor, then applicable exit loa rither for switches between th timent of IDCW no load will be schemes, load will be charge y linked saving scheme with be able to switch from Regul ido of 3 years from the date shall be credited to the Scheme June 30, 2009 has decided th . The upfront commission c |
| (ii) Recurring expenses | there shall be no entry load for all Mutual Fund schemes. The upfront commission on investment made by the investor, if any, shall be paid to the ARN Holder (AMFI registered Distributor) directly by the investor, based on the investor's assessment of various factors including service rendered by the ARN Holder. The Trustee / AMC reserve the right to change / modify the Load Structure from a prospective date. s The recurring expenses as a % of daily net assets of the Scheme (including the Investment Management and Advisory Fees) shall be as per the limits prescribed under the SEBI (MF) Regulations. These are as follows: On the first₹500 crores of the daily net assets: 2.25%, On the next₹250 crores of the daily net assets: 2.00%, On the next₹1250 crores of the daily net assets: 1.75%, On the next₹3000 crores of the next₹40,000 crores of the daily net assets: Total expense ratio reduction of 0.05% for every increase of ₹5,000 crores of daily net assets or part | | | Distributor) directly by the including service rendered 1 The Trustee / AMC reservet 1 The recurring expenses as Management and Advisory Regulations. These are as On the next₹250 corres of net assets: 1.75%, On the n crores of the daily net asset expense ratio reduction of 0 | e right to change/ modify the Load Str s a % of daily net assets of the Sch / Fees) shall be as per the limits pr follows: On the first ₹ 500 crores of the daily net assets: 2.00%, On the r ext ₹ 3000 crores of the daily net ass s: 1.50%, On the next ₹ 40,000 cro 0.05% for every increase of ₹ 5,000 c | assessment of various facto ructure from a prospective date eme (including the Investme rescribed under the SEBI (M of the daily net assets: 2.25 next ₹ 1250 crores of the da ets: 1.60%, On the next ₹ 50 es of the daily net assets: To |
| | thereof, and On the balance The AMC will charge the So prescribed in the Regulation | cheme such actual expenses incurred, | subject to the statutory limit | | e of the assets: 1.05%. arged in a Direct Plan (in percentaç nd advisory fee shall not exceed th | |

| (ii) Recurring expenses (Contd.) | All fees and expenses charged in a Direct Plan (in percentage terms) under various heads including the investment and advisory fee shall not exceed the fees and expenses charged under such heads in other than Direct Plan. Direct Plan shall have a lower expense ratio excluding distribution expenses, commission, etc. and no commission for distribution of Units will be paid (harged under Direct Plan.). The total expenses of the Scheme including the investment management and advisory fee shall not exceed the limit stated in Regulation 52(6) of the SEBI (MF) Regulations. In addition to the limits as specified in Regulation 52(6) of SEBI (MF) Regulations or the Total Recurring Expenses (Total Expense Limit) as specified above, the following costs or expenses may be charged to the Scheme namely: (a) expenses not exceeding of 0.30 per cent of daily net assets, if the new inflows from such cities as specified by SEBI/AMFI from time to time are at least (i) 30 per cent of gross new inflows in the scheme, or; (ii) 15 per cent of the average assets under management (year to date) of the scheme, or; (ii) 15 per cent of the average assets under management (year to date) of the scheme, or; (ii) 15 per cent of the average assets under management (year to date) of the scheme, or; (ii) 15 per cent of the average assets of the scheme shall be charged on proportionate basis. Provided further that amount incurred as expense on account of inflows from such cities shall be credited back to the scheme in case the said inflows are redeemed within a period of one year from the date of investment. Provided further that, additional TER can be charged based on inflows only from retail in ve stors from B.30 cities in terms of SEBI circular no. SEBI/HO/IMD/DF2/CIR/P/2018/137 dated October 22, 2018 read with S | under such heads in other than Direct Plan. Direct Plan shall have a lower expense ratio excluding distribution expenses, commission, etc. and no commission for distribution of Units will be paid charged under Direct Plan. The total expenses of the Scheme including the investment management and advisory fee shall not exceed the limit stated in Regulation 52(6) of SEBI (MF) Regulations or the Total Recurring Expenses (Total Expense Limit) as specified above, the following costs or expenses may be charged to the Scheme namely; (a) expenses not exceeding of 0.30 per cent of daily net assets, if the new inflows from such cities as specified by SEBI/AMFI from time to time are atleast (i) 30 per cent of gross new inflows in the scheme, or; (ii) 15 per cent of the average assets under management (year to date) of the scheme, or; (iii) 15 per cent of the average assets under management (year to date) of the schemes on daily net assets of the scheme shall be charged on proportionate basis. Provided further that amount incurred as expense on account of inflows from such cities shall be credited back to the scheme in case the said inflows are redeemed within a period of one year from the date of investment. Provided further that, additional TER can be charged on an inflows only from retail investors from 330 cities in terms of SEBI /HO/IMD/DF2/CIR/P/2018/42 dated March 25, 2019. For this purpose inflows of amount upto ₹ 0,00,000/ per transaction, by individual investors shall be considered as inflows from such cities. Provided further that, additional TER can be charged based on inflows only from retail investors from 330 cities in terms of SEBI /HO/IMD/DF2/CIR/P/2018/42 dated March 25, 2019. For this purpose inflows of amount upto ₹ 0,00,000/ per transaction, by individual investors shall be considered as inflows from "tetal investor". (b) *additional expenses, incurred towards different heads mentioned under Regulations 52(2) and |
|--|--|--|
| | Actual expense for the financial year ended March 31, 2021 (audited): Regular Plan: 1.65%**, Direct Plan: 0.48%** | Actual expense for the financial year ended March 31, 2021 (audited): Regular Plan: 1.85%**, Direct Plan: 0.72%** |
| Transaction charges Waiver of load for direct applications | Please refer to point no. 2 on page no. 42 Not applicable | |
| Tax treatment for unit holders | Please refer to point no. 3 on page no. 43 | |
| Daily Net Asset Value (NAV) publication | Please refer to point no. 4 on page no. 43 | |
| For investor grievances please contact | Please refer to point no. 5 on page no. 43 | |
| Unit holder's information | Please refer to point no. 6 on page no. 43 | |
| Name of scheme | AXIS REGULAR SAVER FUND (An open ended hybrid scheme investing predominantly in debt instruments) Benchmark: NIFTY 50 HYBRID SHORT DURATION DEBT 25:75 INDEX This product is suitable for investors who are seeking*: Capital appreciation while generating income over medium to long term. Investment in debt and money market instruments as well as equity and equity related instruments. Investors should consult their financial advisers if in doubt about whether the product is suitable for them. BENCHMARK RISKOMETER Moderate Mederately Moderate Mederately | AXIS TRIPLE ADVANTAGE FUND (An open ended scheme investing in equity, debt and gold) Benchmark: 65% OF NIFTY 50 TRI + 20% OF NIFTY COMPOSITE DEBT INDEX + 15% OF INR PRICE OF GOLD This product is suitable for investors who are seeking*: • Capital appreciation & generating income over long term • Investment in a diversified portfolio of equity and equity related instruments, fixed income instruments & gold Exchange Traded Funds *Investors should consult their financial advisers if in doubt about whether the product is suitable for them. PRODUCT RISKOMETER Moderate Moderate Moderat |
| | Low to High High High Low to Low VeryHigh | Low to Moderate High Low Very High Low Very High |
| | RISKOMETER Investors understand that their principal will be at moderately high risk RISKOMETER NIFTY 50 HYBRID SHORT DURATION DEBT 25:75 INDEX | RISKOMETER Investors understand that their principal will be at very high risk RISKOMETER 65% OF NIFTY 50 TRI + 20% OF NIFTY COMPOSITE DEBT INDEX + 15% OF INR PRICE OF GOLD |

| Asset allocation | Under the normal circumstances, the asset allocation pattern will be: | | Under the normal circumstances, the asset allocation pattern will be: | |
|---|--|--|--|---|
| pattern of the scheme | Type of Instruments | Normal Allocation (% of net assets) | Type of Instruments | Normal Allocation (% of net assets) |
| | Debt* and Money Market Instruments# | 75 - 90 | Equity and Equity related instruments# | 65 - 80 |
| | Equity and Equity related Instruments# | 10-25 | Debt* and Money Market instruments# | 10-30 |
| | Units issued by REITs & InvITs | 0 - 10 | Gold Exchange Traded Funds | 10-30 |
| | *Includes securitized debt (excluding foreign securitized debt) up to 90% | % of the net assets of the | Units issued by REITs & InvITs | 0 - 10 |
| | Scheme. The Scheme shall not invest in foreign securitized debt. # including derivative instruments to the extent of 100% of the Net Ass Scheme may use fixed income derivative instruments subject to the guid by SEBI and RBI from time to time. The Scheme may also use equity deriv SEBI circular no. DNPD/Cir 29/2005 dated September 14, 2005, SEBI 30/2006 dated January 20, 2006, SEBI circular no. SEBI/DNPD/Cir.31/20 | delines as maybe issued vatives as permitted vide I circular no. DNPD/Cir- 006 dated September 22, | *Investment in Securitized debt (excluding foreign securitized debt exceed 30% of the net assets of the Scheme. #Including derivatives instruments to the extent of 80% of the net a scheme can invest up to 50% of net assets in foreign securities. The cumulative gross exposure through equity, debt, units of gold ex issued by REITs & InvITs and derivative positions should not exceer | assets of the Scheme. Th change traded funds, uni |
| | | | the Scheme in accordance with SEBI Cir/IMD/DF/11/2010 dated Aug | ust 18, 2010. |
| Differentiation with existing open ended hybrid schemes (as on September 30, 2021) | For comparison of Existing Schemes, Investment Objective, Asset Und and number of folios, please refer to point no. 7B on page 46 to 48. | er Management (AUM) | For comparison of Existing Schemes, Investment Objective, Asset L and number of folios, please refer to point no. 7B on page 46 to 48. | Inder Management (AUN |
| Investment strategy | The Scheme seeks to generate regular income through investments i instruments, along with capital appreciation through equity and equ Within equities and fixed income, the portfolio would be actively mana within the respective asset class. | ity related instruments. aged to optimize returns | The scheme seeks to provide superior risk adjusted returns thro various asset classes such as equity, fixed income & gold that has correlation with each other. | ave historically shown lo |
| Risk profile of the scheme Mutual Fund units involve investment risks including the possible loss of principal. Scheme specific Risk Factors are summarized below: The Scheme carries risks associated with investing in equity and equity related securities, derivatives, debt and money market securities, foreign securities, securitized debt, debt instruments having credit enhancements, short selling and securities lending. Investment in Mutual Fund units involves investment risks such as trading volumes, settlement risk, liquidity risk and default risk. The AMC may choose to invest in unlisted securities which may increase the risk on the portfolio. Also, the value of the Scheme investments may be affected by currency exchange rates, changes in law/ policies of the government, taxation laws and political, economic or other developments. Investments in debt and money market | | Mutual Fund units involve investment risks including the possible loss Scheme specific Risk Factors are summarized below: The Scher with investing in equities, fixed income instruments, derivatives, for debt, debt instruments having credit enhancements, Gold Excha selling and securities lending. Investment in Mutual Fund units involves investment risks such as tr risk, liquidity risk and default risk. The AMC may choose to invest i may increase the risk on the portfolio. Also, the value of the Sch affected by currency exchange rates, changes in law/policies of the and political, economic or other developments. Investment in dutt and many modert instruments are evident | me carries risks associate eign securitize, securitize inge Traded Funds, sho ading volumes, settleme n unlisted securities whice neme investments may b government, taxation law | |
| | instruments are subject to interest rate risk, re-investment risk, basis risk, prepayment risk, creation of segregated portfolio, etc. Equ instruments are volatile by nature. Investments in 'REIT' & 'InvIT' have risks associated with price-risk, marketability, re-investment risk and risk of lower than expected distribu | ity and equity related credit risk, liquidity and | Investments in debt and money market instruments are subjec investment risk, basis risk, credit risk, spread risk, prepayment ri related instruments are volatile by nature. Investments in Gold Ex subject to market risk, risks associated with investment in ph counterparty risk, etc. | sk, etc. Equity and equ change Traded Funds a |
| | The name of the Scheme should in no way be constructed as a guarantee or capital invested in the scheme. Please read the SID carefully for details on risk factors before invest | e or assurance of returns | Investments in debt and money market instruments are subject to inte risk, basis risk, credit risk, spread risk, prepayment risk, creation of segr Please read the SID carefully for details on risk factors before in | egated portfolio, etc. |
| Creation of segregated | In case of credit event, the Scheme may create segregated portfolio of d | | - | |
| Portfolio | | , | | |
| Risk management strategies | The term 'Regular Saver' is only meant to denote the dual objective returns and the endeavor to manage risk. The name of the scheme sho as a guarantee or assurance of returns or capital invested in the sche endeavor to manage the risk through its portfolio construction and inves The investment team of the AMC will carry out rigorous in-depth credit market and debt instruments (other than GSecs) proposed to be evaluation will essentially be a bottom-up approach and include a environment of the issuer, the past track record as well as the future pro the short term/long term financial health of the issuer. With respect to the equity component, the Scheme would invest in a equity and equity related securities which would help alleviate the sector related concentration risk. | uld in no way construed ime. The scheme would tment processes. evaluation of the money invested in. The credit study of the operating ispects of the issuer and a diversified portfolio of | The investment team of the AMC will carry out rigorous in-depth cre- market and debt instruments (other than GSecs) proposed to be evaluation will essentially be a bottom-up approach and include environment, past track record, future prospects and the financial he. With respect to the equity component, the Scheme would invest equity and equity related securities which would help alleviate the s related concentration risk. The AMC endeavours to invest in REITs/InvITs, where adequate d has been performed. The Scheme also relies on its own resea research. This involves one-to-one meetings with the managemer and analyst meets and also tele-conferences. The analysis will foci predictability and strength of cash flows, value of assets, capital stru- | be invested in. The creat a study of the operatin alth of the issuer. in a diversified portfolio ector/market capitalization ue diligence and researc rch as well as third par ts, attending conference us, amongst others, on th cture, business prospect |
| | The AMC endeavours to invest in REITS/InvITs, where adequate due has been performed. The Scheme also relies on its own research research. This involves one-to-one meetings with the managements, and analyst meets and also tele-conferences. The analysis will focus, predictability and strength of cash flows, value of assets, capital structu policy environment, strength of management, responsiveness to busine. The AMC has experienced investment professionals to help limit in carefully selected high quality businesses. The AMC would incorporate adequate safeguards for controlling construction process, which would be periodically evaluated. The derivatives and other hedging instruments, as may be permitted by SE time, in order to protect the value of the portfolio. The risk control proces measuring the risk through various Risk Measurement Tools. | as well as third party attending conferences amongst others, on the ire, business prospects, ass conditions, etc. investment universe to risks in the portfolio Scheme will also use BI and RBI, from time to | policy environment, strength of management, responsiveness to bus The AMC has experienced investment professionals to help lim carefully selected high quality businesses. The AMC would incorporate adequate safeguards for controll construction process. These would be periodically evaluated. T derivatives and other hedging instruments, as may be permitted by time, to protect the value of the portfolio. The risk control proce measuring risks through various risk measurement tools. For portfolio diversification, the Scheme will also invest in Gold ETF shown a low correlation to other asset classes like equity & debt. | it investment universe ing risks in the portfo he Scheme will also u SEBI and RBI, from time ess involves identifying |
| Plans and Options | Plans: Axis Regular Saver Fund - Regular Plan & Axis Regular Saver Fu Options: Growth and Income Distribution cum Capital Withdrawal (ID Reinvestment) Sub Options: The IDCW Option would provide the following sub of Yearly; Annual; If IDCW payable under IDCW Payout option is equal to the IDCW would be compulsorily reinvested in the option of the Scheme | OCW) Option* (Payout & options: Quarterly; Half or less than ₹ 500 then | Plans: Axis Triple Advantage Fund - Regular Plan & Axis Triple Adva Options: Growth and Income Distribution cum Capital Withdrawal Reinvestment) Default Option: Growth Default Facility: Reinvestment | - |

| Plans and Options (Contd.) | Default Option: Growth; Default Facility: Reinvestment; Default IDCW frequency: Quarterly IDCW | | | | | | | | |
|--|--|--|--|---|--|---|---|--------------------------------------|--|
| | *The amounts can be distributed out of investors capital (Equalization Reserve), which is part of sale price that represents realized gains. | | | | | | | | |
| | Default Plan: | | | | | | | | |
| | The investor must clearly specify his choice of plan. Investors subscribing under Direct Plan of a Scheme will have to indicate "Direct Plan" against the Scheme name in the application for Investors should also indicate "Direct" in the ARN column of the application form. Further, if neither Distributor code is mentioned in the application form, nor Plan is indicated against the Sche name, the application will be processed under Direct Plan. The investors may refer to the following table for applicability of Direct Plan/ Regular Plan under different scenario :- | | | | | | | | |
| | | | | | | rect Plan/Regular Plan ur | | | |
| | Scenario | Broker Code mentioned by | · | Plan m | nentioned by the investor | | Default Plan to b | | |
| | 2 | Not mentioned Not mentioned | | | Notmentioned | | Direct Pl Direct Pl | | |
| | 3 | Not mentioned | | | Direct Regular | | Direct Pl Direct Pl | - | |
| | 4 | Mentioned | 1 | | Direct | | Direct Pl | - | |
| | 5 | Direct | | | Not mentioned | | Direct Pl | | |
| | 6 | Direct | | | Regular | | Direct Pl | | |
| | 7 | Mentioned | | | Regular | | Regular F | | |
| | 8 | Mentioned | | | Notmentioned | | Regular F | | |
| | code within 30 calendar d transaction under Direct P | / incomplete ARN codes mer days of the receipt of the app Plan from the date of application restors who purchase /subsc | lication form from the in on without any exit load. | nvestor/ distributor | . In case, the correct code | is not received within 30 o | calendar days, the | AMC shall reprocess | |
| | shall have a lower expension | e ratio excluding distribution | | | | | | | |
| pplicable NAV | Please refer to point no. 1 | | | | | | | | |
| linimum application nd redemption | Purchase | Additional Purchase | Repurcha | | Purchase | Additional Purchase | | epurchase | |
| mount/ number of nits | ₹ 5,000 and in multiples of ₹ 1 thereafter | ₹ 100 and in multiples of ₹ 1 thereafter | There will be no minim criterion | | ₹ 5,000 and in multiples of ₹ 1 thereafter | ₹ 100 and in multiples of ₹ 1 thereafter | | o minimum redemptior criterion | |
| | | unt through SIP - ₹1,000 pe t/transaction through SIP/S | | | | | | | |
| Dispatch of repurchase redemption) request | Within 10 working days fro | om the receipt of the redempti | ion request at the Author | rized Centre of Axis | s Mutual Fund. | | | | |
| Benchmark index | NIFTY50 Hybrid Short Du | ration Debt 25:75 Index | | 65% of NIFTY 50 TRI + 20 | % of Nifty Composite Deb | ot Index + 15% of IN | R Price of Gold | | |
| lividend (IDCW) policy | y The Trustee will have the discretion to declare the IDCW, subject to availability of distributable surplus calculated in accordance with the SEBI (Mutual Funds) Regulations 1996 Regulations'). The actual declaration of IDCW and frequency will inter-alia, depend on availability of distributable surplus calculated in accordance with SEBI (MF) Regulations and 1 of the Trustee shall be final in this regard. There is no assurance or guarantee to the unit holders as to the rate of IDCW nor that it will be paid regularly. | | | | | | | | |
| lame of Fund Manager | Mr. Devang Shah (Tenur Manager: 5 years) and Mr. | re as Fund Manager: 5 yea : Sachin Jain (Tenure as Fund | rrs), Mr. Ashish Naik (d Manager: 1 year) | (Tenure as Fund | Mr. R. Sivakumar (Tenur Manager: 5 years) | e as Fund Manager: 11 y | ears) & Mr. Ashish | Naik (Tenure as Fund | |
| lame of the Trustee | Axis Mutual Fund Trustee | Limited | | | 1 | | | | |
| Performance of the cheme (as on | Period | Axis Regular Saver F - Regular Plan^ | Fund NIFTY50 F Duration De (Bend | Hybrid Short bt 25:75 Index chmark) | Period | Axis Triple Advanta - Regular Pla | s Triple Advantage Fund - Regular Plan [^] Benchi | | |
| September 30, 2021) | 1 Year returns | 17.93% | | 17.44% | 1 Year returns | 42.83% | | 35.21% | |
| | 3 Year returns | 9.03% | | 11.61% | 3 Year returns | 19.06% | | 17.09% | |
| | 5 Year returns | 7.54% | 7.54% | | 5 Year returns | 12.81% | | 14.09% | |
| | Returns since Inception | 8.21% | | 9.66% | Returns since Inception | 10.60% | | 11.49% | |
| | (July 16, 2010) | | | | (August 23, 2010) | | | | |
| | 11.62% 8.17% 2016-2017 | e last 5 financial years. | 9% 2019-2020 190.07% | 2020-2021 | Absolute returns for th | | 2.51% | FY 20-21 | |
| | Axis Regular Saver F Regular Plan | | -3.76% 0 Hybrid Short Duration :75 Index (Benchmark) | | Axis Triple Advanta Fund - Regular Pla | | 50 TRI + 20% of Ni INR Price of Gold | ifty Composite Debt | |
| | Period | Axis Regular Save Fund - Direct Plan | Duration De | Hybrid Short bt 25:75 Index chmark) | Period | Axis Triple Adva Fund - Direct F | | Benchmark [∉] | |
| | 1 Year returns | 19.27% | | 17.44% | 1 Year returns | 45.19% | | 35.21% | |
| | 3 Year returns | 10.13% | | 11.61% | 3 Year returns | 20.68% | | 17.09% | |
| | 5 Year returns | 8.72% | | 10.25% | 5 Year returns | 14.28% | | 14.09% | |
| | Returns since Inception | 9.94% | | 10.07% | Returns since Inception | 11.89% | | 12.38% | |
| | (January 04, 2013) Absolute returns for t | he last 5 financial years. | 20.73% | 22.56% | (January 01, 2013) Absolute returns for th | le last 5 financial years | 3. | 48.62% 46.30% | |
| | 9.71% 11.62 2016-2017 Axis Regular Saver Direct Plan | 2017-2018 0.54% 2018-201 Fund - NIFTY50 Hy Debt 25:75 1 | % 9 <u>2019-2020</u> -2.74% -0.07% 2020-7 brid Short Duration ndex (Benchmark) | 2021 | FY 16-17 | .74% 10.09% FY 17-18 FY 18-19 Ige 765% of NIFTY 3 Index + 15% of | FY 19-20 -6.84% -10.28 | FY 20-21 B% fty Composite Debt | |
| | compounded annualized | r or may not be sustained in (CAGR). Calculations are b onse structure. Plan of the so | ased on Growth Option | n NAVs. Ďifferent | ^Past performance may compounded annualized (i have different expense str above. | CAGR). Calculations are b | ased on Growth Op | otion NAVs. Different pla | |

| Portfolio holding (as on September 30, 2021) | Top 10 holdings - Issuer Wise (Equity Shares) Infosys Limited: 1.82%; Tata Consultancy Services Limited: 1.79%; ICICI Bank Limited: 1.61%; HDFC Bank Limited: 1.55%; Bajaj Finance Limited: 1.50%; Kotak Mahindra Bank Limited: 1.35%; Reliance Industries Limited: 1.28%; Avenue Supermarts Limited: 1.22%; Housing Development Finance Corporation Limited: 1.21% & PI Industries Limited: 0.68% Top 10 holdings - Issuer Wise (Debt Instruments) Government of India: 17.91%; REC Limited: 4.59%; State Government Bond: 4.52%; Small Industries Dev Bank of India: 4.42%; IDFC First Bank Limited: 4.29%; LIC Housing Finance Limited: 3.05%; L&T Finance Limited: 2.99%; Housing Development Finance Corporation Limited: 2.98%; Food Corporation Of India (Guarantee from Gov. of India): 2.98% & National Bank For Agriculture and Rural Development: 2.96% Sector Allocation FINANCIAL SERVICES: 44.91%; GOVERNMENT OF INDIA: 22.45%; OTHERS^*: 8.10%; IT: 4.02%; CONSUMER GOODS: 3.19%; POWER: 2.95%; CONSTRUCTION: 2.23%; CONSUMER SERVICES: 2.16%; Cash & Cash Equivalent: 2.06%; AUTOMOBILE: 1.72%; PHARMA: 1.28%; OIL & GAS: 1.28%; FERTILISERS & PESTICIDES: 0.92%; CHEMICALS: 0.89%; CEMENT & CEMENT PRODUCTS: 0.73%; INDUSTRIAL MANUFACTURING: 0.50%; HEALTHCARE SERVICES: 0.42%; METALS: 0.19% & Grand Total: 100.0% | Top 10 holdings - Issuer Wise (Equity Shares) Infosys Limited: 5.11%; Tata Consultancy Services Limited: 5.02%; ICICI Bank Limited: 4.81%; HDFC Bank Limited: 4.00%; Bajaj Finance Limited: 3.95%; Avenue Supermarts Limited: 3.93%; Kotak Mahindra Bank Limited: 3.76%; Housing Development Finance Corporation Limited: 3.67%; Reliance Industries Limited: 3.18% & Bajaj Finserv Limited: 2.22% Top 10 holdings - Issuer Wise (Debt Instruments) Axis Mutual Fund (Axis Gold ETF): 9.87%; Government of India: 4.25%; LIC Housing Finance Limited: 1.79%; Food Corporation Of India (Guarantee from Govt. of India): 1.76%; State Government Bond: 1.14%; Power Finance Corporation Limited: 0.66%; Oriental Nagpur Betul Highway Limited: 0.35%; Punjab National Bank: 0.35%; Axis Bank Limited: 0.34% & India Infrastructure Fin Co Ltd: 0.21% Sector Allocation FINANCIAL SERVICES: 28.02%; Cash & NCA: 18.95%; IT: 11.00%; AUTOMOBILE: 6.62%; CONSUMER SERVICES: 5.82%; GOVERNMENT OF INDIA: 5.39%; PHARMA: 4.32%; OIL & GAS: 3.18%; CHEMICALS: 3.03%; FERTILISERS & PESTICIDES: 2.91%; CONSUMER GOODS: 2.74%; CONSTRUCTION: 2.05%; CEMENT & CEMENT PRODUCTS: 1.83%; INDUSTRIAL MANUFACTURING: 1.71%; HEALTHCARE SERVICES: 1.13%; SERVICES: 0.90%; METALS: 0.40% & Grand Total: 100.0% | | | | |
|---|--|--|--|--|--|--|
| | ^A Triparty Repos / Mutual Fund units / Repo. Please visit www.axismf.com to obtain schemes latest fortnightly/ monthly portfolio. | Please visit www.axismf.com to obtain schemes latest monthly portfolio. | | | | |
| Portfolio turnover ratio* (as on September 30, 2021) | 1.64 times [1 year] (*Based on equity, equity derivatives and Fixed Income Securities transactions only. Triparty Repos/Repo/FD/Margin FD/MFU/SLB are not considered.) | 0.54 times (*Based on equity, equity derivatives and Fixed Income Securities transactions only. Triparty Repos/Repo/FD/Margin FD/MFU/SLB are not considered.) | | | | |
| Expenses of the scheme (I) Load structure | Entry load : Not Applicable Exit load : If redeemed / switched-out within 1 month from the date of allotment: • For 10% of investments: NIL • For remaining investments: 1% If redeemed / switched - out after 1 month from the date of allotment: NIL The above mentioned load structure shall be equally applicable to the special products such as SIP, STP, switches and SWP etc. offered under the Scheme. However, for switches between the Plans i.e. between Regular and Direct Plan or vice versa, load will be charged by the scheme. | Entry load : Not Applicable Exit load : If redeemed/switch out within 12 months from the date of allotment: | | | | |
| | schemes, load will be charged by the AMC. Entire exit load (net of Goods and Service Tax (GST)) charged, if any, shall be credited to the Sche SEBI vide its circular no. SEBI/IMD/CIR No. 4/ 168230/09 dated June 30, 2009 has decided that | there shall be no entry load for all Mutual Fund Schemes. The upfront commission on investment by the investor, based on the investor's assessment of various factors including service rendered | | | | |
| (ii) Recurring expenses | Regulations. These are as follows: | Management and Advisory Fees) shall be as per the limits prescribed under the SEBI (MF) | | | | |
| | On the first ₹ 500 crores of the daily net assets 2.00%, On the next ₹ 250 crores of the daily net assets 1.75%, On the next ₹ 1250 crores of the daily net assets 1.55%, On the next ₹ 3000 crores of the daily net assets 1.35%, On the next ₹ 5000 crores of the daily net assets 1.35%, On the next ₹ 40,000 crores of the daily net assets Total expense ratio reduction of 0.05% for every increase of ₹ 5,000 crores of daily net assets or part thereof, and On the balance of the assets 0.80%. | On the first ₹ 500 crores of the daily net assets: 2.25%, On the next ₹ 250 crores of the daily net assets: 2.00%, On the next ₹ 1250 crores of the daily net assets: 1.75%, On the next ₹ 3000 crores of the daily net assets: 1.60%, On the next ₹ 5000 crores of the daily net assets: 1.60%, On the next ₹ 40,000 crores of the daily net assets: Total expense ratio reduction of 0.05% for every increase of ₹ 5,000 crores of daily net assets or part thereof, and On the balance of the assets: 1.05%. | | | | |
| | heads in other than Direct Plan. Direct Plan shall have a lower expense ratio excluding distributi under Direct Plan. The total expenses of the Scheme including the investment management and advisory fee shall r | | | | | |
| | be charged to the Scheme namely; | curring Expenses (Total Expense Limit) as specified above, the following costs or expenses may | | | | |
| | (a) expenses not exceeding of 0.30 per cent of daily net assets, if the new inflows from such cities as specified by SEBI/AMFI from time to time are at least (i) 30 per cent of gross new inflows in the scheme, or; (ii) 15 per cent of the average assets under management (year to date) of the scheme, whichever is higher. Provided that if inflows from such cities is less than the higher of sub-clause (i) or subclause (ii), such expenses on daily net assets of the scheme shall be charged on proportionate basis. | | | | | |
| | year from the date of investment. Provided further that, expenses charged under this clause shall be utilized for distribution ex Provided further that, additional TER can be charged based on inflows only from retail in October 22, 2018 read with SEBI/HO/IMD/DF2/CIR/P/2019/42 dated March 25, 2019. For considered as inflows from "retail investor". | vestors from B30 cities in terms of SEBI circular no. SEBI/HO/IMD/DF2/CIR/P/2018/137 dated this purpose inflows of amount upto ₹2,00,000/- per transaction, by individual investors shall be | | | | |
| | market transactions and 0.05 per cent in case of derivatives transactions. | fanagement Company Limited ('Axis AMC'); of trade and is included in the cost of investment shall not exceed 0.12 per cent in case of cash | | | | |
| | A. Within the Total Expense Limit chargeable to the scheme, following will be charged to the Sc (a) GST on other than investment and advisory fees, if any, (including on brokerage and tra- (b) Investor education and awareness initiative fees of at least 2 basis points on daily net at B. AMC fees charged by Axis AMC to the Scheme will be within the Total Expense Limit as pres | ansaction costs on execution of trades) shall be borne by the Scheme issets of respective Scheme. cribed by SEBI Regulations, with no sub-limit on said AMC fees. | | | | |
| | Expenses over and above the prescribed limit shall be charged / borne in accordance with the Reg The mutual fund would update the current expense ratios on its website (www.axismf.com) atlea Ratio of Mutual Fund Schemes' section on https://www.axismf.com/total-expense-ratio for Total B | ist three working days prior to the effective date of the change. Investors can refer 'Total Expense | | | | |
| | Actual expense for the financial year ended March 31, 2021 (audited): Regular Plan: 2.33%**, Direct Plan: 1.15%** | Actual expense for the financial year ended March 31, 2021 (audited): Regular Plan: 2.24%**, Direct Plan: 0.49%** | | | | |
| Transaction charges | Please refer to point no. 2 on page no. 42 | | | | | |
| Waiver of load for direct | Notapplicable | | | | | |

| Tax treatment for unit holders | it Please refer to point no. 3 on page no. 43 | | | | | |
|--|---|----------------------|--|--|--|--|
| Daily Net Asset Value (NAV) publication | Please refer to point no. 4 on page no. 43 | | | | | |
| For investor grievances please contact | Please refer to point no. 5 on page no. 43 | | | | | |
| Unit holder's information | Please refer to point no. 6 on page no. 43 | | | | | |
| Name of scheme | stocks) inv Benchmark: S&P BSE MIDCAP TRI This product is suitable for investors who are seeking*: • capital appreciation over long term. • investing predominantly in equity & equity related instruments of Mid Cap companies. • Investors should consult their financial advisers if in doubt about whether the product is suitable for them. | | AXIS FOCUSED 25 FUND (An open ended e investing in large cap, mid cap and small cap con Benchmark: NIFTY 50 TRI This product is suitable for investors who are seeking*: • Capital appreciation over long term • Investment in a concentrated portfolio of e companies *Investors should consult their financial advisers if in dor PRODUCT RISKOMETER • BRODUCT RISKOMETER • BROMETER Investors understand that their principal will be at very high risk | mpanies) equity & equity related in: ubt about whether the product BENCHMARK F | struments of up to 25 is suitable for them. CISKOMETER Hegh Very High | |
| Investment objective | To achieve long term capital appreciation by investing instruments of Mid Cap companies. | predominantly in | n equity & equity related | To generate long term capital appreciation by equity related instruments of up to 25 companie | | d portfolio of equity & |
| Asset allocation | Under the normal circumstances, the asset allocation p | pattern will be: | | Under the normal circumstances, the asset allo | cation pattern will be: | |
| pattern of the scheme | Type of Instruments | | Normal Allocation (% of net assets) | Type of Instruments | | Normal Allocation (% of net assets) |
| | Equity and Equity related instruments of Mid Cap comp | oanies# | 65 - 100 | Equity and Equity Related Instruments (of not e | xceeding 25 companies)# | |
| | Equity and Equity related instruments of non Mid Cap of | | 0 - 35 | Debt and Money Market Instruments#* | toooding 20 companies// | 0 - 35 |
| | Debt* and Money Market instruments | | 0 - 35 | Units issued by REITs & InvITs | | 0 - 10 |
| | Units issued by REITs & InvITs 0 - 10 | | | *Investment in Securitized debt, if undertaken, Scheme. | would not exceed 35% o | f the net assets of the |
| *Investment in Securitized debt (excluding foreign securitized debt), if undertaker exceed 35% of the net assets of the Scheme. The cumulative gross exposure through equity, debt, units issued by REITs & derivative positions should not exceed 100% of the net assets of the Scheme in with SEBI Cir/IMD/DF/11/2010 dated August 18, 2010. Investment in foreign securities to the extent of 50% of the net assets of the scheme. | | Scheme in accordance | Investment in foreign securities - Up to 10% of th The Scheme may undertake repo transactions the directions issued by RBI and SEBI from time to the guidelines which may be prescribed by th Company and Trustee Company. The Scheme shall adhere to the following limits Not more than 25% of the net assets of th Lending. Not more than 5% of the net assets of th Lending to any single counter party (as may | in corporate debt securiti to time. Such investment he Board of Directors of th should it engage in Stock I he Scheme can generally e Scheme can generally | es in accordance with shall be made subject le Asset Management Lending. be deployed in Stock | |
| Differentiation with existing open ended equity schemes (as on September 30, 2021) | For comparison of Existing Schemes, Investment Objective, Asset Under Management (AUM) a | | | nd number of folios, please refer to point no. 7A on | page 43 to 46. | |
| Investment strategy | The scheme seeks to generate capital appreciation through an actively managed diversified portfolio of primarily larger Midcap companies. The portfolio will be built utilising a bottom-up stock selection process, focusing on appreciation potential of individual stocks from a fundamental perspective. | | | The Scheme aims to generate long term capi portfolio of equity & equity related instruments o In order to have a concentrated portfolio, the s approach. The Scheme will reflect our best inve The portfolio will be built utilising a bottom-up st potential of individual stocks from a fundament based research process to analyse the appreci value is a measure of the intrinsic worth of a selected to include companies having a robu competitive advantages as compared to their co | f up to 25 companies. Scheme will follow a botto stment ideas at all points of ock selection process, for al perspective. The AMC & ation potential of each sto company). The universe ist business models and | om up stock selection ftime. using on appreciation employs a "Fair value" ck in its universe (Fair of stocks is carefully |
| Risk profile of the scheme | Mutual Fund units involve investment risks including the possible loss of principal. Please read the Scheme Information Document (SID) carefully for details on risk factors before investment. Scheme specific risk factors are summarized below: The scheme carries risks associated with investing in equity and equity related securities, derivatives, foreign securities, debt securities, securitized debt, debt instruments having credit enhancements, money market instruments, short selling and securities lending. Investment in Mutual Fund units involves investment risks such as trading volumes, settlement risk, liquidity risk and default risk. Trading volume may restrict liquidity in equity and debt investments. The AMC may choose to invest in unlisted securities which may increase the risk on the portfolio. Also, the value of the Scheme investments may be affected by interest rates, currency exchange rates, changes in law/policies of the government, taxation laws and political, economic or other developments. Mid & small size companies may be more volatile & less liquid than larger companies. Investments in debt and money market instruments are subject to interest rate risk, re- investment risk, basis risk, credit risk, spread risk, prepayment risk, etc. to the extent of the | | | Mutual Fund units involve investment risks incli the Scheme Information Document (SID) careft. Scheme specific risk factors are summarize. The scheme carries risks associated with in derivatives, foreign securities, securitized debt. short selling and securities lending. Investment in mutual fund units involves invest risk, liquidity risk and default risk. Also, the value currency exchange rates, changes in law/pp political, economic or other developments. Investments in debt and money market inst investment risk, basis risk, credit risk, spread ris As the scheme will invest in limited number of c This relatively higher concentration may lead diversified equity fund. Please read the SID investment. | uding the possible loss of illy for details on risk factor d below: vesting in equities, fixed, debt instruments having ment risks such as trading of the Scheme investmen blicies of the governmer ruments are subject to k, prepayment risk, etc. ompanies it will have a les to a higher level of volati | s before investment. income instruments, credit enhancements, g volumes, settlement nts may be affected by it, taxation laws and interest rate risk, re- as diversified portfolio. lity as compared to a |

| Risk management strategies | Following are certain risks of investing in equities identified and risk management strategies designed by Axis Mutual Fund | | | | | | in line with the investment objective uards to manage risk in the portfolio | |
|--|---|---|---|---|---|--|--|--|
| - | Risk & description specific to equities Risk mitigants/ Management strategy | | | | | | ntifying & measuring the risk through | |
| | Quality risk- Risk of investing in unsustainable/weak companies Investment universe carefully select only include high quality businesses | | | | various Risk Measurement Tools. Further, the AMC has implemented the Bloomberg Portfo Management System as Front Office System (FOS) for managing risk. The system has inbu | | | |
| | Price risk- Risk of overpay | air value" based investment approach | | | • | ous risk ratios and analyze the same | | |
| | | sup | ported by comprehensive | | | | help limit investment universe to ger would also consider hedging the | |
| | Liquidity risk- High impac | cons | trol portfolio liquidity at postruction stage | | | able events with uncertain outco | | |
| | Volatility- Price volatility de portfolio specific factors | | trol risk class/sector/stoc trol overall portfolio volati | | | | | |
| | Event risk- Price risk due | to company or Und | lerstand businesses to re | spond | | | | |
| | sector specific event | deri | ctively and speedily to ev vatives: Hedge portfolios, e of predictable events wi comes | , if required, in | | | | |
| | Mid & small size companie The scheme will try to lowe combine the high growth management and liquidity of | er the risk by primarily inv features of mid and | vesting in larger Midcap c | ompanies which | | | | |
| Plans and Options | Plans: Axis Midcap Fund - I Options: Growth & Incom Reinvestment) | e Distribution cum Capit | al Withdrawal (IDCW) O | ption* (Payout & | Options: Growth and Inco Reinvestment) | | d 25 Fund - Direct Plan Idrawal (IDCW) Option* (Payout and | |
| | Default Option: Growth; D | | | wa) which is part | · · · · · · | efault Faciltiy: Reinvestment | | |
| | Default Plan: | Duted out of Investors Ca | ipitai (Equalization Reser | ve), which is part | of sale price that represents | realizeu yallis. | | |
| | The investor must clearly s Investors should also indica | ate "Direct" in the ARN col | umn of the application for | m. Further, if neith | er Distributor code is mentio | | heme name in the application form Plan is indicated against the Scheme erent scenario :- | |
| | Scenario E | Broker Code mentioned | | Planm | nentioned by the investor | Defau | It Plan to be captured | |
| | 1 2 | Not mention Not mention | | | Not mentioned Direct | | Direct Plan Direct Plan | |
| | 3 | Notmention | | | Regular | | Direct Plan | |
| | 4 | Mentioned | | | Direct | | Direct Plan | |
| | 5 | Direct | | | Notmentioned | | Direct Plan | |
| | 6 | Direct | | Regular | | | Direct Plan | |
| | 7 | Mentioned | | | · · · · · · · · · · · · · · · · · · · | | Regular Plan | |
| | 8 Mentioned Not mentioned Regular Plan | | | | | | | |
| | In cases of wrong/ invalid/ incomplete ARN codes mentioned on the application form, the application shall be processed under Regular Plan. The AMC shall contact and obtain the correct ARN code within 30 calendar days of the receipt of the application form from the investor/ distributor. In case, the correct code is not received within 30 calendar days, the AMC shall reprocess the transaction under Direct Plan from the date of application without any exit load. Note: Direct Plan is for investors who purchase /subscribe units in a Scheme directly with the Fund and is not available for investors who route their investments through a Distributor. Direct Plan | | | | | | | |
| Augustantia MAM | shall have a lower expense | ratio excluding distributio | | | | estors who route their investmen will be paid / charged under the D | | |
| Applicable NAV | Please refer to point no. 1 o | | | | | Demostere | | |
| Minimum application and redemption | Purchase Additional Purchase \$\mathcal{F} 5,000 and in multiples of \$\mathcal{F}\$1 thereafter \$\mathcal{F}\$100 and in multiples of \$\mathcal{F}\$1 thereafter | | | | | Repurchase | and the second second | |
| amount/ number of | ₹ 5,000 and in multiples of ₹ 1 thereafter ₹ 100 and in multiples of ₹ 1 thereafter Minimum application amount through SIP - ₹ 500 per month; Minimum number of installments | | | | | There will be no minimum rede | | |
| units | 6 (Monthly). | | | Minimum application amount through SIP - ₹ 500 per month; Minimum number of installments - 6 (Monthly). For details of investment/transaction through SIP/STP/SWP facility please refer to the SID. | | | | |
| Dispatch of repurchase | For details of investment/t Within 10 working days from | | | | | transaction through SIP/STP/S | WP facility please refer to the SID. | |
| (redemption) request | | | | | | | | |
| Benchmark index | S&PBSE Midcap TRI | liceration to dealars the l | DCW aubiant to availabil | ity of distributable | Nifty 50 TRI | dance with the SERI (Mutual Fu | Inds) Regulations 1996 ('SEBI (MF | |
| Dividend (IDCW) policy | Regulations'). The actual de | eclaration of IDCW and fr | equency will inter-alia, de | | culated in accordance with SEBI | (MF) Regulations and the decision: | | |
| Name of Fund Manager | Shreyash Devalkar (Tenure as Fund Manager: 4 years) and Mr. Hitesh Das (for Foreign Securities) (Tenure as Fund Manager: 5 years) and Mr. Hitesh Das (for Foreign Securities) [Tenure as Fund Manager-Less than 1 year] Mr. Jinesh Gopani (Tenure as Fund Manager: 5 years) and Mr. Hitesh Das (for Foreign Securities) [Tenure as Fund Manager-Less than 1 year] | | | | |) and Mr. Hitesh Das (for Foreig | | |
| Name of the Trustee | Axis Mutual Fund Trustee L | | | Cilian TDI | | | | |
| Performance of the scheme (as on September 30, 2021) | Period | Axis Midcap Fund Regular Plan [^] | (Bench | ımark) | Period | Axis Focused 25 Fund - Regular Plan [^] | Nifty 50 TRI (Benchmark) | |
| | 1 Year returns | 62.00% | | 3.62% | 1 Year returns | 61.91% | 58.54% | |
| | 3 Year returns | 25.93% | | 0.80% | 3 Year returns | 20.94% | 18.58% | |
| | 5 Year returns | 20.69% | | 5.14% | 5 Year returns | 19.03% | 16.81% | |
| | Returns since Inception (February 18, 2011) | 19.90% | 1. | 4.84% | Returns since Inception (June 29, 2012) | 18.27% | 15.30% | |
| | Absolute returns for the | Last 5 financial years. | FY 19-20 | 92.98% .75% | Absolute returns for the | ^{36%} 11.76% 7.75% 16.45% FY 17-18 FY 18-19 | FY 19-20 69% -25.02% | |
| | -30.79% Axis Midcap Fund - Regular Plan S&P BSE Midcap TRI (Benchmark) | | | | Axis Focused 25 Fur Nifty 50 TRI (Benchm | | | |

| Performance of the scheme (as on | Period | Axis Midcap Fund - Direct Plan^ | S&P BSE Midcap TRI (Benchmark) | Period | Axis Focused 25 Fund - Direct Plan^ | Nifty 50 TRI (Benchmark) |
|--|---|---|--|---|---|--|
| September 30, 2021) | 1 Year returns | 64.17% | 73.62% | 1 Year returns | 63.80% | 58.54% |
| | 3 Year returns | 27.60% | 20.80% | 3 Year returns | 22.45% | 18.58% |
| | 5 Year returns | 22.20% | 15.14% | 5 Year returns | 20.48% | 16.81% |
| | Returns since Inception | 21.74% | 16.86% | Returns since Inception | 18.78% | 14.61% |
| | (January 01, 2013) Absolute returns for the | act 5 financial years | | (January 01, 2013) Absolute returns for the last | E financial vecto | |
| | | [%] 14.31% Y 17-18 10.36% -2.08% -9.36 FY 18-19 | 92.98% 70.03% 70.03% FY 20-21 -30.79% | والمعالية (20.16% 20.16\% 20.1 | 11./6% 0.11% | 72.54% 62.07% FY 19-20 59% -25.02% |
| | Axis Midcap Fund - Di | | p TRI (Benchmark) | Axis Focused 25 Fur | | TRI (Benchmark) |
| | | | Returns greater than 1 year are of performance is given is indicated | | GR). Calculations are based on G | Growth Option NAVs. Different plar |
| Portfolio holding (as on September 30, 2021) | MindTree Limited: 3.51%; I Limited: 3.29%; Crompton G 3.22%; PI Industries Limited Sector Allocation FINANCIAL SERVICES: 19 MANUFACTURING: 10.27% CEMENT PRODUCTS: 5.14 OIL & GAS: 4.20%; Cash & 1.18%; TELECOM: 1.06%; 0.03% & Total: 100.0% | t and Finance Company Ltd.: CICI Bank Limited: 3.91%; Baja reaves Consumer Electricals Lim :3.21% & Mphasis Limited: 2.96% .27%; IT: 15.59%; CONSUMER & CONSUMER SERVICES: 8.11 %; AUTOMOBILE: 5.07%; FERT & NCA: 3.39%; HEALTHCARE POWER: 0.35%; MEDIA, ENTI | GOODS: 13.40%; INDUSTRIAL %; PHARMA: 5.72%; CEMENT & ILISERS & PESTICIDES: 5.02%; SERVICES: 2.20%; SERVICES: ERTAINMENT & PUBLICATION: | Services Limited: 8.21%; Ir Divi's Laboratories Limite Development Finance Coi HDFC Bank Limited: 4.03% Sector Allocation FINANCIAL SERVICES: 3: 8.09%; CHEMICALS: 5.9 MANUFACTURING: 3.28% | 0.07%; Avenue Supermarts Li nfo Edge (India) Limited: 7.96%; ad: 5.50%; Kotak Mahindra rporation Limited: 5.11%; Relia 2.81%; CONSUMER SERVICE 6%; OIL & GAS: 4.92%; AUT 5; CEMENT & CEMENT PRODU | imited: 8.53%; Tata Consultanc; Pidilite Industries Limited: 5.96% Bank Limited: 5.14%; Housing ance Industries Limited: 4.91% & S: 17.38%; IT: 14.50%; PHARMA OMOBILE: 4.61%; INDUSTRIAI ICTS: 3.10%; Cash & NCA: 2.74% ONSTRUCTION: 0.77%; METALS |
| Portfolio turnover ratio* | | n to obtain schemes latest mont | hly portfolio. come Securities transactions only. | 1.39 times (*Based on equi | tv. equity derivatives and Fixed Ir | ncome Securities transactions only |
| (as on September 30, 2021) | | irgin FD/MFU/SLB are not consid | | | argin FD/MFU/SLB are not consi | |
| | For For For If redeat No exit load will be chat through Distributor, the The above mentioned I Further for switches be schemes, load will be c Entire exit load (net of C SEBI vide its circular r investment made by th service rendered by th (ii) Recurring expenses The recurring expenses These are as follows: C On the next ₹ 3000 crc reduction of 0.05% for All fees and expenses is such heads in other the charged under Direct F The total expenses of the addition to the limits may be charged to the (a) expenses not exc inflows in the sche Provided further th one year from the Provided further th one year from the Provided further th October 22, 2018 be considered as (b) additional expenses (c) GST payable on in Further, brokerag market transactio A. Within the Total Expenses (a) GST on othe | rged for switches between Regula en applicable exit load will be chan oad structure shall be equally app tween the Growth and IDCW Opt sharged by the AMC. Soods & Service Tax (GST)) charg to . SEBI/IMD/CIR No. 4/ 168230 e investor, if any, shall be paid to t e ARN Holder. The Trustee/ AMC is a as a % of daily net assets of the So 0n the first ₹ 500 crores of the daily reres of the daily net assets: 1.60% every increase of ₹ 5.000 crores of charged in a Direct Plan (in perce an Direct Plan. Direct Plan shall he as specified in Regulation 52(6) of Scheme namely; eeding of 0.30 per cent of the aver flows from such cities is less thar hat amount incurred as expense date of investment. nat, expenses charged under this nat, additional TER can be charge read with SEBI/HO/IMD/DF2/CIF inflows from "retail investor". es, incurred towards different hea westment and advisory service fee a and transaction costs which are ns and 0.05 per cent in case of dei opense Limit chargeable to the sci r than investment and advisory fer | ths from the date of allotment: NIL ar Plan and Direct Plan where trans- ged for switch from Regular Plan to licable to the special products such ion and on the units allotted on reir yed, if any, shall be credited to the S /09 dated June 30, 2009 has deci- he ARN Holder (AMFI registered D reserves the right to change/ modif heme (including the Investment Ma y net assets: 2.25%, On the next ₹ 6, On the next ₹ 5000 crores of the f daily net assets or part thereof, ar ntage terms) under various heads ave a lower expense ratio excludin ent management and advisory fee if SEBI (MF) Regulations or the Toi et assets, if the new inflows from age assets under management (ye n the higher of sub-clause (i) or sul on account of inflows from such cit clause shall be utilized for distribut d based on inflows only from retail V/P/2019/42 dated March 25, 2019 ds mentioned under Regulations 5 es ('AMC fees') charged by Axis As incurred for the purpose of execut | Direct Plan. h as SIP, STP, SWP etc. offere ivestment of IDCW no load wi icheme. ded that there shall be no en ilstributor) directly by the invest y the Load structure from a pro- nagement and Advisory Fees) 250 crores of the daily net asses be daily net assets: 1.50%, On 1 d On the balance of the asset including the investment and 2 g distribution expenses, comr shall not exceed the limit state tal Recurring Expenses (Total such cities as specified by SE ar to date) of the scheme, while boclause (ii), such expenses o ies shall be credited back to t ion expenses incurred for brinn linvestors from B30 cities in te . For this purpose inflows of ar 2(2) and 52(4), not exceeding uset Management Company L ion of trade and is included in 1 he Scheme: ind transaction costs on exect | d under the Scheme. Il be charged by the Scheme. Ho try load for all Mutual Fund Sch- stor, based on the investor's asse ospective date. shall be as per the limits prescribe ets: 2.00%, On the next ₹ 1250 cr the next ₹ 40,000 crores of the d s: 1.05%. advisory fee shall not exceed the mission, etc. and no commission d in Regulation 52(6) of the SEBI Expense Limit) as specified abo EBI/AMFI from time to time are a chever is higher. n daily net assets of the scheme he scheme in case the said inflor ging inflows from such cities. erms of SEBI circular no. SEBI/H mount upto ₹ 2,00,000/- per trans (0.05 per cent of daily net assets imited ('Axis AMC'); the cost of investment shall not e ution of trades) shall be borne by fa | ve, the following costs or expenses at least (i) 30 per cent of gross new shall be charged on proportionate ws are redeemed within a period of O/IMD/DF2/CIR/P/2018/137 dated saction, by individual investors shall of the scheme; xceed 0.12 per cent in case of cash |

| Expenses of the scheme (Contd.) | Expense Ratio of Mutual Fund Schemes' section on https://www.axis | e in accordance with the bsite (www.axismf.cor mf.com/total-expense | e Regulations prevailing from time to time. m) atleast three working days prior to the effective date of the change. -ratio for Total Expense Ratio (TER) details. | |
|--|--|---|--|--|
| | Actual expense for the financial year ended March 31, 2021 (audit 1.85%**, Direct Plan: 0.50%** | ted): Regular Plan: | Actual expense for the financial year ended March 31, 2021 (a 1.71%**, Direct Plan: 0.62%** | udited): Regular Plar |
| Fransaction charges | Please refer to point no. 2 on page no. 42 | | | |
| Waiver of load for direct applications | Notapplicable | | | |
| Tax treatment for unit holders | Please refer to point no. 3 on page no. 43 | | | |
| Daily Net Asset Value (NAV) publication | Please refer to point no. 4 on page no. 43 | | | |
| For investor grievances please contact | Please refer to point no. 5 on page no. 43 | | | |
| Unit holder's information | Please refer to point no. 6 on page no. 43 | | | |
| Name of scheme | Benchmark: NIFTY 50 ARBITRAGE INDEX I This product is suitable for investors who are seeking*: Income over short to medium term Investment in arbitrage opportunities in the cash & derivatives segment of the equity market *Investors should consult their financial advisers if in doubt about whether the product is suitable for them. | | Low to Low to Lo | g term tors by using equity a ents in debt and mon |
| Investment objective | Will be at low risk To generate income through low volatility absolute return strategies tha opportunities in the cash and the derivative segments of the equity m arbitrage opportunities available within the derivative segment, by using of strategies and by investing the balance in debt and money market instrumer is no assurance or guarantee that the investment objective of the Scheme of the strategies of the scheme of the sch | arkets including the ther derivative based ents. However, there | will be at moderately high risk The investment objective of the scheme is to provide capital aj distribution to the investors by using equity and equity related opportunities and investments in debt and money market instrumen assurance or guarantee that the investment objective of the Schem Scheme does not assure or guarantee any returns. | instruments, arbitrag ts. However, there is r |
| Asset allocation | Scheme does not assure or guarantee any returns. Under the normal circumstances, the asset allocation pattern will be: | | Under the normal circumstances, the asset allocation pattern will be: | |
| pattern of the scheme | | Normal Allocation (% of net assets) | Type of Instruments | Normal Allocatio (% of net assets |
| | Equities, equity related instruments (unhedged)* | 0 - 10 | Equity and Equity related securities# of which | 65 - 80 |
| | Equities, equity related instruments and derivatives including index futures, stock futures, index options & stock options etc. as part of | 65 - 90 | i) Equities & equity related instruments(unhedged)* | 20 - 45 |
| | hedged / arbitrage exposure* | | ii) Equities, equity related instruments and derivatives including index futures, stock futures, index options & stock options etc. | 20 - 60 |
| | Debt and Money market instruments** (including investments in | 10 - 35 | as part of hedged / arbitrage exposure* | |
| | securitized debt) | | Debt & Money Market Instruments#\$ | 20 - 35 |
| | ** including securitized debt up to 35%. The Scheme will not invest in foreig *Equity allocation is measured as the Gross exposure to equities, equity | | Units issued by REITs & InvITs | 0 - 10 |
| | and derivatives. The scheme will enter into derivatives transactions for here positions will be hedged against corresponding positions in either equity or depending on the strategies involved and execution costs. On the tota scheme does not intend to take a net short exposure to equity markets. Un the portfolio (investments in equity shares without corresponding exposure shall not exceed 10% of the net assets. | *Equity allocation is measured as the Gross exposure to equities, ex and derivatives. The scheme will enter into derivatives transactions fo positions will be hedged against corresponding positions in either equidepending on the strategies involved and execution costs. On the scheme does not intend to take a net short exposure to equity market the portfolio (investments in equity shares without corresponding expo shall not exceed 45% of the net assets. | r hedging. The derivati ity or derivative marke total portfolio level t s. Unhedged positions isure to equity derivativ | |
| | The margin money deployed on derivative positions would be included in market instruments category. The option premium shall be for the purpose of exposure to derivative insise restricted to long call options. In such cases, the total exposure relate paid shall not exceed 20% of the net assets of the scheme. Moreover, this up investments in options premium, if any, shall be applicable only at the time to market actions the value of options appreciates/ depreciates resulting in 20%, the fund manager may or may not rebalance the portfolio and may exposure. However, if the fund manager sells the option before expiry reinvestment, if any, would be subject to the maximum 20% limit on options. The Scheme may also use fixed income derivative instruments subject to the be issued by SEBI and RBI and for such purposes as may be permitted from | truments which shall d to option premium upper limit of 20%, for of investment. If due breach of the limit of run with the ongoing of the contract, the premium. he guidelines as may | Regulations, including for the purpose of hedging and portfolio balancing, based on opportunities available and subject to guidelines issued by SEBI from time to time. The Schemay also use fixed income derivative instruments subject to the guidelines as maybe issued SEBI and RBI and for such purposes as may be permitted from time to time. SEBI and RBI and for such purposes as may be permitted from time to time. Scheme. Investment in Securitized debt, if undertaken, would not exceed 35% of the net assets of Scheme. If the debt / money market instruments offer better returns than the arbitrage opportuni available in cash and derivatives segments of equity markets then the investment manager r choose to have a lower equity exposure. In such defensive circumstances the asset alloca | |
| | The Scheme shall not carry out Short Selling and securities lending and bor | rrowing. | Type of Instruments | Indicative Allocatio (% of net assets) |
| | The Scheme retains the flexibility to invest across all the securities in the Money Market Instruments and mutual fund units. The portfolio may hold | | Equity and Equity related securities of which | 20 - 70 |
| | the market condition. | a cash depending off | I) Equities & equity related instruments (unhedged)* | 20 - 45 |
| | Subject to the Regulations, the asset allocation pattern indicated above m to time, keeping in view market conditions, market opportunities, applica | able regulations and | Equities, equity related instruments & derivatives including index futures, stock futures, index options, & stock options, etc. as part of hedged / arbitrage exposure* | 0 - 25 |
| | political and economic factors. It must be clearly understood that the perce are only indicative and not absolute. These proportions can vary substanti | | Debt & Money Market Instruments#\$ | 30 - 80 |
| | the perception of the fund manager; the intention being at all times to interests of the Unit holders. Such changes in the investment pattern will the | seek to protect the be for short term and | Units issued by REITs & InvITs *Equity allocation is measured as the Gross Exposure to equities, eq | |
| | for defensive considerations only. In the event of deviations, the fund ma rebalancing within 30 days. Where the portfolio is not re-balanced within 3 | | and derivatives. The scheme will enter into derivatives transactions fo positions will be hedged against corresponding positions in either equ | |

| I | | |
|---|--|---|
| pattern of the scheme sat (Contd.) col | or the same shall be placed before the Investment Review Committee and reasons for the ame shall be recorded in writing. The Investment Review Committee shall then decide on the ourse of action. However, at all times the portfolio will adhere to the overall investment bjectives of the Scheme. | depending on the strategies involved and execution costs. On the total portfolio level the Scheme does not intend to take a net short exposure to equity markets. Unhedged positions in the portfolio (investments in equity shares without corresponding exposure to equity derivative) shall not exceed 45% of the net assets. #The Scheme may also use derivatives for such purposes as may be permitted by the Regulations, including for the purpose of hedging and portfolio balancing, based on the opportunities available and subject to guidelines issued by SEBI from time to time. The Scheme may also use fixed income derivative instruments subject to the guidelines as maybe issued by SEBI and RBI and for such purposes as may be permitted from time to time. \$ Investment in Securitized debt, if undertaken, would not exceed 35% of the net assets of the Scheme. Provisions applicable to Normal & Defensive circumstances: The cumulative gross exposure through equity, debt, units issued by REITs & InvITs and derivative positions should not exceed 100% of the net assets of the Scheme in accordance with SEBI Cir/IMD/DF/11/2010 dated August 18, 2010. The scheme may undertake repo transactions in corporate debt securities in accordance with the directions issued by REI and SEBI from time to time. Subject 18, 2010. |
| | | to the guidelines which may be prescribed by the Board of Directors of the Asset Management Company and Trustee Company. Pending deployment of the funds in securities in terms of investment objective of the Scheme, the AMC may park the funds of the Scheme in short term deposits of the Scheduled Commercial Banks, subject to the guidelines issued by SEBI vide its circular dated April 16, 2007, as may be amended from time to time. The Scheme may seek investment opportunities in foreign securities including ADRs / GDRs / Foreign equity and debt securities subject to SEBI (MF) Regulations. Such Investment shall not exceed 45% of the net assets of the Scheme. The Scheme may engage in Short Selling of securities in accordance with the framework |
| | | relating to Short Selling and securities lending and borrowing specified by SEBI. The Scheme shall adhere to the following limits should it engage in Stock Lending. Not more than 25% of the net assets of the Scheme can generally be deployed in Stock Lending. Not more than 5% of the net assets of the Scheme can generally be deployed in Stock Lending to any single counter party (as may be applicable). Subject to the Regulations, the asset allocation pattern indicated above may change from time to time, keeping in view market conditions, market opportunities, applicable regulations and |
| | | political and economic factors. It must be clearly understood that the percentages stated above are only indicative and not absolute. These proportions can vary substantially depending upon the perception of the fund manager; the intention being at all times to seek to protect the interests of the Unit holders. Such changes in the investment pattern will be for short term and for defensive considerations only. In the event of deviations, the fund manager will carry out rebalancing within 30 Calendar Days. Where the portfolio is not rebalanced within 30 Calendar Days, justification for the same shall be placed before the Investment Review Committee and reasons for the same shall be recorded~ in writing. The Investment Review Committee shall then decide on the course of action. However, at all times the portfolio will adhere to the overall investment objectives of the Scheme. |
| Differentiation with existing open ended hybrid schemes (as on September 30, 2021) | or comparison of Existing Schemes, Investment Objective, Asset Under Management (AUM) an | id number of folios, please refer to point no. 7B on page 46 to 48. |
| Investment strategy In the strategy In mo Th ye en mo to | The scheme will seek to achieve its investment objective primarily by employing various trategies which seek to exploit absolute returns opportunities in equity and derivative markets. In case such opportunities are not available, the scheme will invest the corpus in debt and noney market instruments. The market provides the investor the ability to derive returns from the various strategies numerated below. The market is not always efficient to the extent of mispricing in the derivative market in arket and the underlying cash market. These techniques differ in that each method attempts to exploit a different form of imperfection in the underlying equity, debt and derivatives market nd thus expose the investor to different forms of risk. | The scheme has a dual objective of providing capital appreciation and income distribution to the investors by using equity and equity related instruments, arbitrage opportunities, and investments in debt and money market instruments. The scheme seeks to benefit from the concept of asset allocation. The aim of asset allocation is to provide superior risk adjusted returns through diversification across various asset classes like equity, fixed income & arbitrage which have historically had low correlation with each other. Equity and Equity Related Instruments: The equity allocation will be managed actively. The focus would be to build a diversified portfolio of strong growth companies, reflecting our most attractive investment ideas, at all points of time. The portfolio will be built utilizing a bottom-up stock selection process, focusing on appreciation potential of individual stocks from a fundamental perspective. The AMC employs a "Fair value" based research process to analyse the appreciation potential of each stock in its universe (Fair value is a measure of the intrinsic worth of a company). The universe of stocks is carefully selected to include companies having robust business models and enjoying sustainable competitive advantages as compared to their competitors. The Fund will have the flexibility to invest across the market capitalization spectrum. The Fund will have the flexibility to invest across the market capitalization spectrum. The Fund by utilizing a holistic risk management strategies, which are embedded in the investment process to manage these risks i) Quality Risk - Risk of investing in unsustainable / weak companies. ii) Price Risk - Risk of overpaying for a company iii) Liquidity Risk - Volatility in price due to company or portfolio specific factors v) Event Risk - Price risk due to a company or specific or market event Fixed Income : The Scheme proposes to invest in a diversified portfolio of high quality debt and money market instruments. The portfoli duration and credit exposures will |
| | | economic considerations. The fund manager will keep in mind the yield structure of different asset classes (e.g. the sovereign yield curve and the corporate bond yield curve) as well as the kinks within a particular yield curve (e.g. the different points of the sovereign yield curve) while making investment decisions. Cash-Futures Arbitrage: The fund would look for market opportunities between the spot and the futures market. The cash futures arbitrage strategy can be employed when the price of the futures exceeds the price of the underlying stock. The fund would first buy the stocks in cash market and then sell in the futures market to lock the spread known as arbitrage return. Buying |

| Investment strategy (Contd.) | | | | | | read and is not affected by the ge position can be continued til I based on the last half an hour's rergence between the cash mai the fund to generate the arbitra | e futures results into a hedge where the fund have locked price movement of cash market and futures market The I expiry of the future contracts. The future contracts are weighted average trade of the cash market. Thus there is ket and the futures market on expiry. This convergence ge return locked in earlier. However, the position could price differential is realized before expiry or better cks. | |
|--|---|---|--|-----------------------|---|--|--|--|
| Risk profile of the scheme | the SID carefully for details on risk factors before investment. Scheme specific Risk Factors are summarized below: The scheme carries risks associated with investing in equity and equity related securities derivatives, debt and money market securities, securitized debt, debt instruments having credi enhancements. No assurance can be given that the Fund Manager will be able to locate investmen opportunities or to correctly exploit price discrepancies in the capital markets. Reduction in mis- pricing opportunities between the Cash Market and Future and Options market may lead to lower level of activity affecting the returns. In case of a large redemption, the scheme may need to reverse the spot-futures transaction before the date of futures' settlement. This eventuality may lead to basis risk. Investment in mutual fund units involves investment risks such as trading volumes, settlemen risk, liquidity risk and default risk. Trading volume may restrict liquidity. The AMC may choose to invest in unlisted securities which may increase the risk on the portfolio. Also, the value of the Scheme investments may be affected by currency exchange rates, changes in law/policies of the government, taxation laws and political, economic or other developments. Investments in debt and money market instruments are subject to interest rate risk, re-investmen risk, basis risk, creditrisk, spread risk, prepayment risk, creation of segregated portfolio, etc. Please refer to the SID for further details. In case of credit event, the Scheme may create segregated portfolio of debt and money market is | | | | | cal analysis done by the AMC, su rred to a 100% equity investme allocation approach will be able t cheme carries risks associated tives, debt and money market se cements, short selling and secu ments in debt and money ma ment risk, basis risk, credit risk io, etc. ments in 'REIT' & 'InvIT' have i | rket instruments are subject to interest rate risk, re- , spread risk, prepayment risk,, creation of segregated risks associated with price-risk, credit risk, liquidity and isk of lower than expected distributions. | |
| Creation of segregated Portfolio | In case of credit ever | nt, the Scheme may create segre | gated portfolio of debt and | d money market in: | strumen | ts in terms of applicable SEBI reg | gulations/ circulars. | |
| Risk management strategies | In comparison to an equity fund, there are certain additional risks which are associated with a arbitrage fund and the mitigants to such risks are as follows: Lack of arbitrage opportunities: The Fund will enter into arbitrage trades when suc opportunities are available. If the yields on arbitrage are low, the fund would invest in det securities and money market instruments. Price Risk: While arbitrage is a low risk strategy, there would be periods when the equity an derivatives market may not move perfectly in sync. However, these movements are temporar and at the time of expiry of derivatives the prices converge. Un-hedged positions in the portfolio (investments in equity shares without correspondin exposure to equity derivative) shall not exceed 10%. Also, the AMC has a team of experience investment professionals and uses systems so that risks are managed effectively. | | | | manag the Sc the Re The Al has be resear and ar predic | pement is critical to fund manage heme shall be made as per the gulations. MC endeavours to invest in RE een performed. The Scheme a ch. This involves one-to-one m alyst meets and also tele-confe tability and strength of cash flow | integral part of the investment process. Effective risk ement for achieving financial soundness. Investments by investment objectives of the Scheme and provisions of ITs/InvITs, where adequate due diligence and research also relies on its own research as well as third party ueetings with the managements, attending conferences arences. The analysis will focus, amongst others, on the s, value of assets, capital structure, business prospects, ement, responsiveness to business conditions, etc. | |
| Plans and Options | Option: Growth Opt and Reinvestment) Default Option: Gro | e Fund - Regular Plan & Axis Arb ion & Income Distribution cum C owth CW Reinvestment Facility | • | ') Option* (Payout | Option [Month and Re Defau | n: Growth Option & Income | ar Plan & Axis Equity Saver Fund - Direct Plan Distribution cum Capital Withdrawal (IDCW) Option* Quarterly (Payout and Reinvestment) & Regular (Payout ult Sub Option: Monthly | |
| | The amounts can be distributed out of investors capital (Equalization Reserve), which is part of sale price that represents realized gains. | | | | | | | |
| | Default Plan: The investor must clearly specify his choice of plan. Investors subscribing under Direct Plan of a Scheme will have to indicate "Direct Plan" against the Scheme name in the application form. Investors should also indicate "Direct" in the ARN column of the application form. Further, if neither Distributor code is mentioned in the application form, nor Plan is indicated against the Scheme name, the application will be processed under Direct Plan. The investors may refer to the following table for applicability of Direct Plan/Regular Plan under different scenario :- | | | | | | | |
| | Scenario | Broker Code mentioned | by the investor | Planm | Plan mentioned by the investor | | Default Plan to be captured | |
| | 1 | Not mention | | | | entioned | Direct Plan | |
| | 2 | Not mention | | | | Direct | Direct Plan | |
| | 3 | Not mention Mentioned | | | | egular | Direct Plan Direct Plan | |
| | 5 | Direct | | | | Direct Ientioned | Direct Plan | |
| | 6 | Direct | | - | | egular | Direct Plan | |
| | 7 | Mentioned | 1 | | | egular | Regular Plan | |
| | 8 | Mentioned | | | Notm | entioned | Regular Plan | |
| | transaction under Di Note: Direct Plan is f | rect Plan from the date of applica | ation without any exit load. Scribe units in a Scheme c | directly with the Fu | nd and is | s not available for investors who | Plan. The AMC shall contact and obtain the correct ARN ed within 30 calendar days, the AMC shall reprocess the route their investments through a Distributor. Direct Plan charged under the Direct Plan. | |
| Applicable NAV | Please refer to point | no. 1 on page no. 42 | | | | | | |
| Minimum application | | Purchase | Additiona | al Purchase | | | Repurchase | |
| and redemption | ₹ 5,000 and in r | nultiples of ₹ 1 thereafter | ₹ 100 and in multip | ples of ₹ 1 thereaf | ter | There will | be no minimum redemption criterion. | |
| amount/ number of units | Minimum application | amount through SIP - ₹1,000 p tment/transaction through SI | er month; Minimum numb | per of installments - | 6 (Mont | | · · · · · · · · · · · · · · · · · · · | |
| Dispatch of repurchase (redemption) request | Within 10 working da | ays from the receipt of the redem | ption request at the Author | rized Centre of Axi | s Mutual | Fund. | | |
| Benchmark index | Nifty 50 Arbitrage Inc | dex | | | NIFTY | 'Equity Savings TRI | | |
| | | | | | | | | |

| Dividend (IDCW) policy | Regulations'). The actual de | eclaration of IDCW and frequency | / will inter-alia, depend on availabilit | surplus calculated in accordance with the SEBI (Mutual Funds) Regulations 1996 ('SEBI (MF) y of distributable surplus calculated in accordance with SEBI (MF) Regulations and the decisions s to the rate of IDCW nor that it will be paid regularly. | | | |
|---|--|---|--|--|---|--|--|
| Name of Fund Manager | Mr. Viresh Joshi (Tenure a Manager: 7 years) | s Fund Manager: 2 years) and M | Ir. Devang Shah (Tenure as Fund | Mr. R. Sivakumar (Tenure Anupam Tiwari (Tenure as | e of Managing the Scheme as F Fund Manager: 6 years) | und Manager - 7 years) and M | |
| Name of the Trustee | Axis Mutual Fund Trustee L | imited | | | | | |
| Performance of the scheme (as on | Period | Axis Arbitrage Fund - Regular Plan ^ | Nifty 50 Arbitrage Index (Benchmark) | Period | Axis Equity Saver Fund - Regular Plan^ | NIFTY Equity Savings TR (Benchmark) | |
| September 30, 2021) | 1 Year returns | 3.58% | 3.93% | 1 Year returns | 26.33% | 21.83% | |
| | 3 Year returns | 4.78% | 4.47% | 3 Year returns | 11.24% | 11.35% | |
| | 5 Year returns | 5.27% | 4.52% | 5 Year returns | 9.91% | 10.27% | |
| | Returns since Inception (Aug 14, 2014) | 5.78% | 5.36% | Returns since Inception (August 14, 2015) | 8.92% | 9.47% | |
| | | 0% 5.88% 5.37% 6. 4.36% 1 17-2018 2018-2019 2 | ^{15%} 6.09% 3.16% 2.34% 2019-2020 2020-2021 | ਸ਼ੁੱਛ FY 16-17 | 7.97% 7.90% 8.40% 9.98% FY 17-18 FY 18-19 -6 | 27.02% 26.39% FY 19-20 .18% ^{4.47%} FY 20-21 | |
| | Axis Arbitrage Fund - | Regular Plan Nifty 50 Art | bitrage Index (Benchmark) | Axis Equity Saver Fu | nd - Regular Plan | Equity Savings TRI (Benchmarl | |
| | Period | Axis Arbitrage Fund - Direct Plan [^] | Nifty 50 Arbitrage Index (Benchmark) | Period | Axis Equity Saver Fund Direct Plan [^] | NIFTY Equity Savings TR (Benchmark) | |
| | 1 Year returns | 4.36% | 3.93% | 1 Year returns | 28.15% | 21.83% | |
| | 3 Year returns | 5.54% | 4.47% | 3 Year returns | 12.64% | 11.35% | |
| | 5 Year returns | 6.08% | 4.52% | 5 Year returns | 11.26% | 10.27% | |
| _ | Returns since Inception (Aug 14, 2014) | 6.62% | 5.36% | Returns since Inception (August 14, 2015) | 10.26% | 9.47% | |
| | ^Past performance may | 4.36% 2017-2018 2018-2019 Direct Plan Nifty 50 Arbitra | 6.91% 6.09% 2.34% 2019-2020 2020-2021 ge Index (Benchmark) are. Returns greater than 1 year ar structure. Plan of the scheme for wh | FY 16-17 Axis Equity Saver Fu | Ind - Direct Plan MIFTY Equi | | |
| Portfolio holding (as on September 30, 2021) | Limited: 3.33%; Reliance Reddy's Laboratories Lim Finance Corporation Lim Company Limited: 1.98% Top 10 holdings - Issuer V Axis Ultra Short Term Fund - Growth Option: 10.59% Sharekhan BNP Paribas F Services Limited: 0.71%; Limited: 0.42% Sector Allocation FINANCIAL SERVICES: 2 8.95%; METALS: 8.41%; CEMENT PRODUCTS: 2 1.79%; GOVERNMENT FERTILISERS & PEST HEALTHCARE SERVICES | 1: 6.00%; State Bank of India: 3.96 Industries Limited: 3.31%; Cadil- lited: 2.42%; ICICI Bank Limiter ited: 2.38%; Vedanta Limited: • Direct Plan - Growth Option: 10.6 ;; L&T Finance Limited: 4.17% inancial Services Limited: 0.98% ICICI Securities Limited: 0.68% 23.88%; OTHERS^A: 21.64%; AL OIL & GAS: 6.96%; CONSUME .30%; IT: 1.93%; MEDIA, ENTI OF INDIA: 1.67%; SERVIC ICIDES: 0.50%; INDUSTRIA | 3%; Bharat Petroleum Corporation a Healthcare Limited: 2.43%; Dr. d: 2.40%; Housing Development 2.02% & HDFC Life Insurance 61%; Axis Liquid Fund - Direct Plan y; Government of India: 1.67%; y; Mahindra & Mahindra Financial & Kotak Mahindra Investments JTOMOBILE: 10.77%; PHARMA: ER GOODS: 5.82%; CEMENT & ERTAINMENT & PUBLICATION: ES: 1.04%; POWER: 0.55%; L MANUFACTURING: 0.35%; CONSUMER SERVICES: 0.03%; & Total: 100.0% | Services Limited: 2.91%; Housing Development Fina UltraTech Cement Limited: Top 10 holdings - Issuer Axis Bank Limited: 8.81% National Bank For Agricult Limited: 1.12%; Power Fin Power Company Limited: 1 <u>Sector Allocation</u> FINANCIAL SERVICES: 5 8.44%; GOVERNMENT CEMENT PRODUCTS: 2 SERVICES: 1.68%; PHAF 0.25%; CHEMICALS: 0.24 | HDFC Bank Limited: 3.46%; Infosy Bajaj Finance Limited: 2.56%; Re ance Corporation Limited: 1.89%; A 1.50% & Hindustan Unilever Limited | eliance Industries Limited: 2.07 venue Supermarts Limited: 1.68 d: 1.39% TMF Holdings Limited: 1.30 %; Bank of Baroda: 1.24%; Ri ; State Bank of India: 1.06%; Ti :: 26.13%; OTHERS^: 8.91%; R GOODS: 4.76%; CEMENT IL & GAS: 2.07%; CONSUMI 3.7%; POWER: 1.13%; METAI RING: 0.22%; SERVICES: 0.19 | |
| | | | ww.axismf.com to obtain schemes | | | | |
| Portfolio turnover ratio* (as on September 30, 2021) | only. Triparty Repos/Repo/ | quity, equity derivatives and Fixe FD/Margin FD/MFU/SLB are not c | ed Income Securities transactions onsidered.) | | ity, equity derivatives and Fixed In /argin FD/MFU/SLB are not consid | | |
| Expenses of the scheme | Units iss The above mentioned | fredeemed / switched out within 7 sued on reinvestment of IDCW sha load structure shall be equally a | , | For 1 For n If redeement | plicable med / switched-out within 1 month 0% of investments: NIL emaining investments: 1% ed / switched - out after 1 month fror ed on reinvestment of IDCW shall n | n the date of allotment: NIL | |

| IDCW Option, no load will be charged by the scheme. However, for switches between the Plans i.e. between Regular and Direct Plan or vice versa, load is being charged by the schemes. Entire exit load (net of Good & Service Tax (GST)) charged, if any, shall be credited to the Sche SEBI vide its circular no. SEBI/IMD/CIR No. 4/ 168230/09 dated June 30, 2009 has decide investment made by the investor, if any, shall be paid to the ARN Holder (AMFI registered Dist service rendered by the ARN Holder. The Trustee/AMC reserves the right to change / modify th (ii) Recurring expenses The recurring expenses as a % of daily net assets of the Scheme (including the Investmer Regulations. These are as follows: On the first ₹ 500 crores of the daily net assets: 2.25%, net assets: 1.75%, On the next ₹ 3000 crores of the daily net assets: 1.60%, On the next ₹ 500 expense ratio reduction of 0.05% for every increase of ₹ 5,000 crores of daily net assets or pa All fees and expenses charged in a Direct Plan (in percentage terms) under various heads in such heads in other than Direct Plan. Direct Plan shall have a lower expense ratio excluding charged under Direct Plan. The total expenses of the Scheme namely; (a) expenses not exceeding of 0.30 per cent of daily net assets, if the new inflows from sut inflows in the scheme, or; (ii) 15 per cent of the average assets under management (yea Provided that if inflows from such cities is less than the higher of sub-clause (i) or subc basis. Provided further that amount incurred as expense on account of inflows from such inflows in the scheme, or; (ii) 15 per cent of the average assets under management (yea Provided further that, axpenses charged under this clause shall be utilized for distributio Provided further that, expenses charged under this clause shall be utilized for distributio Provided further that, astel HEM of MD/DE/DE/2/CIR/P/2019/42 dated March 25, 2019. F be considered as inflows from | ad that there shall be no entry Load for all Mutual Fund Schemes. The upfront commission on tributor) directly by the investor, based on the investor's assessment of various factors including he Load structure from a prospective date. Int Management and Advisory Fees) shall be as per the limits prescribed under the SEBI (MF), On the next ₹ 250 crores of the daily net assets: 2.00%, On the next ₹ 1250 crores of the daily net assets: 1.05%. On the next ₹ 1250 crores of the daily net assets: 1.05%. Including the investment and advisory fee shall not exceed the fees and expenses charged under (distribution expenses, commission, etc. and no commission for distribution of Units will be paid/ hall not exceed the limit stated in Regulation 52(6) of the SEBI (MF) Regulations. I Recurring Expenses (Total Expense Limit) as specified above, the following costs or expenses such cities as specified by SEBI/AMFI from time to time are at least (i) 30 per cent of gross new ar to date) of the scheme, whichever is higher. clause (ii), such expenses on daily net assets of the scheme shall be charged on proportionate es shall be credited back to the scheme in case the said inflows are redeemed within a period of on expenses from B30 cities in terms of SEBI circular no. SEBI/HO/IMD/DF2/CIR/P/2018/137 dated For this purpose inflows of amount upto ₹ 2,00,000/- per transaction, by individual investors shall 2(2) and 52(4), not exceeding 0.05 per cent of daily net assets of the scheme; set Management Company Limited ('Axis AMC'); on of trade and is included in the cost of investment shall not exceed 0.12 per cent in case of cash to the Scheme: |
|--|--|
| SEBI vide its circular no. SEBI/IMD/CIR No. 4/ 168230/09 dated June 30, 2009 has decide investment made by the investor, if any, shall be paid to the ARN Holder (AMFI registered Dist service rendered by the ARN Holder. The Trustee/AMC reserves the right to change / modify th (ii) Recurring expenses The recurring expenses as a % of daily net assets of the Scheme (including the Investmer Regulations. These are as follows: On the first ₹ 500 crores of the daily net assets: 2.25%, net assets: 1.75%, On the next ₹ 3000 crores of the daily net assets: 1.60%, On the next ₹ 500 expense ratio reduction of 0.05% for every increase of ₹ 5,000 crores of daily net assets or pa All fees and expenses charged in a Direct Plan (in percentage terms) under various heads in such heads in other than Direct Plan. Direct Plan shall have a lower expense ratio excluding charged under Direct Plan. The total expenses of the Scheme including the investment management and advisory fee sh In addition to the limits as specified in Regulation 52(6) of SEBI (MF) Regulations or the Total may be charged to the Scheme namely; (a) expenses not exceeding of 0.30 per cent of daily net assets, if the new inflows from su inflows in the scheme, or; (ii) 15 per cent of the average assets under management (yea Provided that if inflows from such cities is less than the higher of sub-clause (i) or suce basis. Provided further that amount incurred as expense on account of inflows from such citie one year from the date of investment. Provided further that, expenses charged under this clause shall be utilized for distributio Provided further that, additional TER can be charged based on inflows only from retail in October 22, 2018 read with SEBI/HO/IMD/DF2/CIR/P/2019/42 dated March 25, 2019. F be considered as inflows from "retail investor". (b) additional expenses, incurred towards different heads mentioned under Regulations 52 (c) GST payable on investment and advisory service | ad that there shall be no entry Load for all Mutual Fund Schemes. The upfront commission on tributor) directly by the investor, based on the investor's assessment of various factors including he Load structure from a prospective date. Int Management and Advisory Fees) shall be as per the limits prescribed under the SEBI (MF), On the next ₹ 250 crores of the daily net assets: 2.00%, On the next ₹ 1250 crores of the daily 00 crores of the daily net assets: 1.05%. On the next ₹ 40,000 crores of the daily net assets: 1.05%. Including the investment and advisory fee shall not exceed the fees and expenses charged under distribution expenses, commission, etc. and no commission for distribution of Units will be paid/ hall not exceed the limit stated in Regulation 52(6) of the SEBI (MF) Regulations. I Recurring Expenses (Total Expense Limit) as specified above, the following costs or expenses such cities as specified by SEBI/AMFI from time to time are at least (i) 30 per cent of gross new ar to date) of the scheme, whichever is higher. clause (ii), such expenses on daily net assets of the scheme shall be charged on proportionate es shall be credited back to the scheme in case the said inflows are redeemed within a period of on expenses incurred for bringing inflows from such cities. investors from B30 cities in terms of SEBI circular no. SEBI/HO/IMD/DF2/CIR/P/2018/137 dated For this purpose inflows of amount upto ₹ 2,00,000/- per transaction, by individual investors shall 2(2) and 52(4), not exceeding 0.05 per cent of daily net assets of the scheme; set Management Company Limited ('Axis AMC'); on of trade and is included in the cost of investment shall not exceed 0.12 per cent in case of cash to the Scheme: |
| The recurring expenses as a % of daily net assets of the Scheme (including the Investmer Regulations. These are as follows: On the first ₹ 500 crores of the daily net assets: 2.25%, net assets: 1.75%, On the next ₹ 300 crores of the daily net assets: 1.60%, On the next ₹ 500 expense ratio reduction of 0.05% for every increase of ₹ 5,000 crores of daily net assets or pa All fees and expenses charged in a Direct Plan (in percentage terms) under various heads in such heads in other than Direct Plan. Direct Plan ball have a lower expense ratio excluding charged under Direct Plan. The total expenses of the Scheme including the investment management and advisory fee sh In addition to the limits as specified in Regulation 52(6) of SEBI (MF) Regulations or the Total may be charged to the Scheme namely; (a) expenses not exceeding of 0.30 per cent of daily net assets, if the new inflows from su inflows in the scheme, or; (ii) 15 per cent of the average assets under management (yea Provided that if inflows from such cities is less than the higher of sub-clause (i) or subc basis. Provided further that, acxpenses charged under this clause shall be utilized for distributio Provided further that, expenses charged under this clause shall be utilized for distributio Provided further that, additional TER can be charged based on inflows only from retail in October 22, 2018 read with SEBI/HO/IMD/DF2/CIR/P/2019/42 dated March 25, 2019. F be considered as inflows from "retail investor". (b) additional expenses, incurred towards different heads mentioned under Regulations 52 (c) GST payable on investment and advisory service fees ('AMC fees') charged by Axis Ass Further, brokerage and transaction costs which are incurred for the purpose of executio market transactions and 0.05 per cent in case of derivatives transactions. A. Within the Total Expense Limit chargeable to the scheme, following will be charged to the scheme charged by Axis Ass | , On the next ₹ 250 crores of the daily net assets: 2.00%, On the next ₹ 1250 crores of the daily interpreter assets: 1.50%, On the next ₹ 40,000 crores of the daily net assets: 1.50%, On the next ₹ 40,000 crores of the daily net assets: 1.50%, on the next ₹ 40,000 crores of the daily net assets: 1.50%, on the next ₹ 40,000 crores of the daily net assets: 1.50%, on the next ₹ 40,000 crores of the daily net assets: 1.50%, on the next ₹ 40,000 crores of the daily net assets: 1.50%, on the next ₹ 40,000 crores of the daily net assets: 1.50%, on the next ₹ 40,000 crores of the daily net assets: 1.50%, on the next ₹ 40,000 crores of the daily net assets: 1.50%, on the next ₹ 40,000 crores of the daily net assets: 1.50%, on the next ₹ 40,000 crores of the daily net assets: 1.50%, on the next ₹ 40,000 crores of the daily net assets: 1.50%, on the next ₹ 40,000 crores of the daily net assets: 1.50%, on the next ₹ 40,000 crores of the daily net assets: 1.50%, on the next ₹ 40,000 crores of the daily net assets: 1.50%, on the next ₹ 40,000 crores of the daily net assets: 1.50%, on the next ₹ 1250 crores of the daily net assets of the scheme shall be charged on proportionate es shall be credited back to the scheme in case the said inflows are redeemed within a period of on expenses incurred for bringing inflows from such cities. Investors from B30 cities in terms of SEBI circular no. SEBI/HO/IMD/DF2/CIR/P/2018/137 dated For this purpose inflows of amount upto ₹ 2,00,000/- per transaction, by individual investors shall 2(2) and 52(4), not exceeding 0.05 per cent of daily net assets of the scheme; set Management Company Limited ('Axis AMC'); on of trade and is included in the cost of investment shall not exceed 0.12 per cent in case of cash to the Scheme: |
| (b) Investor education and awareness initiative fees of at least 2 basis points on dail B. AMC fees charged by Axis AMC to the Scheme will be within the Total Expense Limit Expenses over and above the prescribed limit shall be charged / borne in accordance with the | ly net assets of respective Scheme. t as prescribed by SEBI Regulations, with no sub-limit on said AMC fees. e Regulations prevailing from time to time. n) atleast three working days prior to the effective date of the change. Investors can refer 'Total |
| | Actual expense for the financial year ended March 31, 2021 (audited): Regular Plan: $2.41\%^{**}$, Direct Plan: $0.91\%^{**}$ |
| Please refer to point no. 2 on page no. 42 | |
| Notapplicable | |
| Please refer to point no. 3 on page no. 43 | |
| Please refer to point no. 4 on page no. 43 | |
| Please refer to point no. 5 on page no. 43 | |
| Please refer to point no. 6 on page no. 43 | |
| mid cap, small cap stocks) Benchmark: NIFTY 500 TRI This product is suitable for investors who are seeking*: Capital appreciation over medium to long term. Investment in a dynamic mix of equity and equity related instruments across market | Axis Balanced Advantage Fund (An open ended dynamic asset allocation fund) Benchmark: NIFTY 50 HYBRID COMPOSITE DEBT 50:50 INDEX This product is suitable for investors who are seeking": • Capital appreciation while generating income over medium to long term. • Investment in equity and equity related instruments as well as debt and money market instruments while managing risk through active asset allocation. *Investors should consult their financial advisers if in doubt about whether the product is suitable for them. PRODUCT RISKOMETER Mederater Mederater Mederat |
| related instruments across market capitalizations. However, there is no assurance or | Low VeryHigh Investors understand that their principal will be at high risk. To achieve the dual objective of capital appreciation by investing in a portfolio of equity or equity linked securities and generating income through investments in debt and money market instruments. It also aims to manage risk through active asset allocation. However, there is no |
| F F F F F F F F | Please refer to point no. 2 on page no. 42 Not applicable Please refer to point no. 3 on page no. 43 Please refer to point no. 4 on page no. 43 Please refer to point no. 5 on page no. 43 Please refer to point no. 5 on page no. 43 Please refer to point no. 6 on page no. 43 AXIS FLEXI CAP FUND (An open ended dynamic equity scheme investing across large cap, mid cap, small cap stocks) Benchmark: NIFTY 500 TRI This product is suitable for investors who are seeking*: Capital appreciation over medium to long term. Investors should consult their financial advisers if in doubt about whether the product is suitable for them. Investors should consult their financial advisers if in doubt about whether the product is suitable for them. Investors studerstand that their principal will be at very high risk To generate long term capital appreciation by investing in a dynamic mix of equity and equity |

| Asset allocation | Under the normal circumstances, the asset allocation pattern will be: | Under the normal circumstances, the asset allocation pattern will be: | | | |
|---|---|--|--|---|--|
| pattern of the scheme | Type of Instruments | Normal Allocation (% of net assets) | Type of Instruments | Normal Allocation (% of net assets) | |
| | Equity and Equity Related Instruments #\$ | 65 - 100 | Equity and Equity related securities | 0% - 100% | |
| | Debt and Money Market Instruments* | 0 - 35 | Debt & Money Market Instruments* | 0% - 100% | |
| | Units issued by REITs & InvITs | 0 - 10 | Units issued by REITs & InvITs | 0% - 10% | |
| | Units issued by RETIS & INVITS \$ The Scheme shall invest in equity and equity related instruments of cocapitalization. # Including derivatives instruments to the extent of 50% of the Net Asse Regulations from time to time. The Scheme may use derivatives for supermitted by the Regulations, including for the purpose of hedging a based on the opportunities available and subject to guidelines issued by The Scheme may also use fixed income derivative instruments subjemaybe issued by SEBI and RBI and for such purposes as maybe permitte 'Investment in Securitized debt (excluding foreign securitized debt), if exceed 20% of the net assets of the Scheme. The cumulative gross exposure through equity, debt, units issued by derivative positions shall not exceed 100% of the net assets of the Scheme. The Scheme may seek investment opportunities in foreign securities in Foreign equity and debt securities subject to the Regulations. Such inve 50% of the net assets of the Scheme. The Scheme shall not invest in 1 and Credit Default Swaps. The Scheme may engage in Short S accordance with the framework relating to Short Selling and securities specified by SEBI. The Scheme may undertake repo transactions in corr accordance with the directions issued by RBI and SEBI from time to time be made subject to the guidelines which may be prescribed by the BC Asset Management Company and Trustee Company. The Scheme shall adhere to the following limits should it engage in Stoct 1. Not more than 20% of the net assets of the Scheme can generall Lending. 2. Not more than 5% of the net assets of the Scheme can generally Lending to any single counter party (as may be applicable). Credit Enhancement / Structured Obligations: The Scheme shall invest in debt instruments with special features viz. (absorbs losses before equity capital) and /or convertible to equity specified event for loss absorption referred in SEBI cincular March 10, 2 not invest more than 10% of its NAV | mpanies across market mpanies across market ts as permitted by SEBI cch purposes as maybe ind portfolio balancing, SEBI from time to time. Scut to the guidelines as ed from time to time. 'undertaken, would not by REITs & InvITs and ne. 'undertaken, would not 'stment shall not exceed foreign securitized debt selling of securities in . Such investment shall bard of Directors of the in the equity, debt and nold cash depending on cLending: y be deployed in Stock y be deployed in Stock is / credit enhancement subordination to equity upon trigger of a pre- t021. The Scheme shall n such instruments and instruments issued by a time to time.Pending f the Scheme, the AMC | The Scheme may invest in derivatives instruments to the extent permitted Regulations / guidelines issued by SEBI from time to derivatives for such purposes as maybe permitted by the Regulatio of hedging and portfolio balancing, based on the opportunitie guidelines issued by SEBI from time to time. The Scheme may als instruments subject to the guidelines as maybe issued by SEBI and as maybe permitted from time to time. The Scheme may such options, Stock & Index futures/stock futures and any such opermitted by SEBI/RBI from time to time. The margin money deployed on derivative positions would be incluinstruments. The numulative gross exposure through equity, debt, units issued derivative positions shall not exceed 100% of the net assets of the starting to Short Selling and securities lending and borrowing speshall not invest in foreign securitized debt and Credit Default Swap: "Securitized debt: Investment in Securitized debt (excluding undertaken, would not exceed 50% of the debt portion of the Scher Repo in Corporate debt securities: The Scheme may undertaker, would not exceed 50% of the debt portion of the Scher Repo in Corporate debt securities. The Scheme may undertaker to the prescribed by the Board of Directors of the Asset Managem Company. Credit Enhancement / Structured Obligations: The scheme wil having structured obligations / credit enhancement as per limit amended from time to time. Investment in Foreign Securities: The Scheme may seek invest securities including ADRs / GDRs / Foreign equity and det Regulations. Such investment shall not exceed 20% of the net assets of the Scheme expension of the Schem is usubjection to equity (adsorbs losses be convertible to equity upon trigger of a pre-specified event for loss circular March 10,2021. The Scheme shall not invest more than 5% of the scheme in such instruments issued by a single issuer or revised by SEBI from time to time. Stock Lending: The scheme shall adhere to the following limits aperial features viz. subordination to equity (asarybs eapplicable | of 50% of the Net Assets time. The Scheme may u ons, including for the purpo as available and subject of BI and for such purpos includeInterest Rate Swag wements, stock options, Ind other derivative instrumer uded in Debt & Money Mark- ued by REITs & InvITs a scheme. ordance with the framewor cified by SEBI. The Scher s. foreign securitized debt), me. opto transactions in corpora d SEBI from time to time. T e debt securities shall not limit as may be specified e guidelines which may nent Company and Trust of securities subject to t ets of the Scheme. vest in debt instrumer prescribed by SEBI and ment opportunities in forei of saborption referred in SE a sborption referred in Sto of its NAV of the debt portfo within such limits as may s should it engage in Sto nerally be deployed in Sto unds in securities in terms s of the Scheme in short ter delines issued by SEBI fro pove may change from time terms of the unit holde | |
| Differentiation with existing open ended equity/Hybrid schemes (as on September 30, 2021) | For comparison of Existing Schemes, Investment Objective, Asset Unc and number of folios, please refer to point no. 7A on page 43 to 46. | ier Management (AUM) | Such changes in the investment pattern will be for short term and for defensive considerations of In the event of deviations, the fund manager will carry out rebalancing within 30 Days. Where portfolio is not rebalanced within 30 Days, justification for the same shall be placed before Investment Review Committee and reasons for the same shall be recorded in writing. Investment Review committee shall then decide on the course of action. However, at all times portfolio will adhere to the overall investment objectives of the Scheme. | | |
| Investment strategy | The Scheme aims to generate long term capital appreciation by invest equity and equity related instruments across market capitalizations. The scheme will target undervalued companies that offer opportunitie capital gains from a medium-to-long term perspective. | es to generate superior | The Scheme has a dual objective of generating capital appreci- investing in mix of equity and equity related securities and debt a while attempting to manage risk from the market through active achieve this process, the Scheme will follow a top-down and botto process will lead to the active ongoing asset allocation decision b | nd money market securitie asset allocation. In order m-up strategy. The top dov | |
| | An indicative set of companies which can offer such potential incluc growth potential is not fully priced by the market, quality companies that term challenges but with strong long term potential, companies trading their fair value. The portfolio will be built utilising a bottom-up stock selection process, fo potential of individual stocks from a fundamental perspective. The AMC based research process to analyse the appreciation potential of each si value is a measure of the intrinsic worth of a company). The univers selected to include companies having robust business models and competitive advantages as compared to their competitors. The scheme by utilising a holistic risk management strategy will ender associated with investing in equity markets. The scheme has identified designed risk management strategies, which are embedded in the | are going through near g at a steep discount to cousing on appreciation employs a "Fair value" tock in its universe (Fair e of stocks is carefully d enjoying sustainable eavour to manage risks I the following risks and | the bottom up process would lead to construction of the portfolio us The Fund manager will determine the equity level in the portf methodology developed by the AMC. The methodology looks at parameters – momentum, volatility and valuations (in any cc appropriate allocation to the same. The methodology will be update ongoing research and development. The allocation to debt will be equity allocation. The asset allocation decision is reviewed o dynamically linked to movements in market variables. Equity: Within equity allocation the portfolio will be built utilising process, focusing on appreciation potential of individual si perspective. The AMC employs a "Fair value" based resear appreciation potential of each stock in its universe (Fair value is a r | sing specific securities. folio based on a proprieta equity markets across thr ombination)to decide the ed from time to time based a arrived at after deciding to an an ongoing basis and a bottom-up stock selection tocks from a fundament ch process to analyse to | |

| Investment strategy (Contd.) | manage these risks. i. Quality Risk: Risk of investing in unsustaina ii. Price Risk: Risk of overpaying for a compan iii. Liquidity Risk: High Impact cost of entry and iv. Concentration risk: Invest across the m | y. exit. | istries/ | robust business models and enjoying a competitors. Debt: The scheme will invest in a diver Fund manager will allocate the ass | ks is carefully selected to include companies having a sustainable competitive advantages as compared to their rsified range of debt and money market instruments. The ets of the scheme after taking into consideration the curve, yield spread & liquidity of the different instruments. |
|--|---|--|--|--|---|
| | sectors. v. Volatility Risk: Volatility in price due to comp vi. Event Risk: Price risk due to a company / se | | The portfolio duration and credit expor- macro-economic conditions, political other economic considerations. | sure will be based on a thorough research of the general and fiscal environment, inflationary expectations and strategies actively to manage the portfolio. | |
| Risk profile of the scheme | Mutual Fund Units involve investment risks including the possible loss of principal. Please read the SID carefully for details on risk factors before investment. Scheme specific risk factors are summarized below: The scheme carries risks associated with investing in equity and equity related securities, derivatives, debt and money market securities, securitized debt, debt instruments having credit enhancements, short selling and securities lending. No assurance can be given that the Fund Manager will be able to locate investment opportunities or to correctly exploit price discrepancies in the capital markets. Reduction in mispricing opportunities between the cash market and Future and Options market may lead to lower level of activity affecting the returns. In case of a large redemption, the scheme may need to reverse the spot-futures transaction before the date of futures' settlement. This eventuality may lead to basis risk. Investment in mutual fund units involves investment risks such as trading volumes, settlement risk, liquidity risk and default risk. Trading volume may restrict liquidity. The AMC may choose to invest in unlisted securities which may increase the risk on the portfolio. Also, the value of the Scheme investments may be affected by currency exchange rates, changes in law/policies of the government, taxation laws and political, economic or other developments. Investments. in debt and money market instruments are subject to interest rate risk, reinvestment risk, basis risk, credit risk, spread risk, prepayment risk, creation of segregated portfolio, etc. | | ors are urities, credit stment in mis- ead to action ement which rates, other sk, re- | The scheme attempts to manage rist historical analysis done by the AMC, su compared to a 100% equity investme asset allocation approach will be able to The scheme carries risks associated derivatives, debt and money market se enhancements, short selling and secu Investments in debt and money market | k through active asset allocation. According to detailed uch a scheme is able to generate a much lower risk profile nt strategy. However there is no certainty that the active o deliver the risk management going forward. I with investing in equity and equity related securities, accurities, securitized debt, debt instruments having credit ritles lending, etc. riket instruments are subject to interest rate risk, re- s, spread risk, prepayment risk,, creation of segregated |
| Creation of segregated | portfolio, etc. | | | | ay create segregated portfolio of debt and money market |
| Portfolio Risk management strategies | instruments in terms of applicable SEBI regulati The Fund, by utilizing a holistic risk manage associated with investing in equity markets. The risk control process involves identifying measurement tools. The Fund has identified designed risk management strategies, which manage such risks. | ment strategy, will endeavor to manage g & measuring the risk through variou d following risks of investing in equitie | management is critical to fund manage | I regulations/ circulars. integral part of the investment process. Effective risk ement for achieving financial soundness. Investments by investment objectives of the Scheme and provisions of | |
| F | Risk & description specific to equities | Risk mitigants/ Management strategy | | | |
| | Quality risk - Risk of investing in | Investment universe carefully selected to | | | |
| | unsustainable/ weak companies Price risk - Risk of overpaying for a company | only include high quality businesses "Fair value" based investment approach | | | |
| | Concentration risk | supported by comprehensive research Invest across the market capitalization spectrum and industries/ sectors | | | |
| | Liquidity risk - High impact costs of entry & exit | Control portfolio liquidity at portfolio construction stage | | | |
| | Volatility - Price volatility due to company or portfolio specific factors | Control risk class/ sector/ stock exposu control overall factors of portfolio volatili | | | |
| | Event risk - Price risk due to company or sector specific event | Understand businesses to respond effectively and speedily to events Usag derivatives: Hedge portfolios, if requirec case of predictable events with uncertai outcomes | e of d, in | | |
| Plans and Options | Plans: Axis Flexi Cap Fund - Regular Plan & Axis Flexi Cap Fund - Direct Plan Options: Growth and Income Distribution cum Capital Withdrawal (IDCW) Option* (IDCW Payout Facility & IDCW Reinvestment Facility Default Option: Growth (between Growth and IDCW); Default Facility: IDCW Reinvestment facility (between IDCW Reinvestment and IDCW Payout facility) Default plan would be Direct Plan (i.e plan whether Direct or Regular Plan and distributor code is not indicated in the application form) Default Option / Facility: The investor must clearly specify his choice of option/facility. In the absence of such clear instruction, it will be assumed that the investor has opted for 'default' option / facility and the application will be processed accordingly. The default option / facility are: *The amounts can be distributed out of investors capital (Equalization Reserve), which is | | | Options: Growth and Income Distrib Payout Facility & IDCW Reinvestment | owth and IDCW); Default Facility: IDCW Reinvestment |
| | Default Option / Facility: The investor must clu absence of such clear instruction, it will be as option / facility and the application will be process | sumed that the investor has opted for 'd sed accordingly. The default option / facilit stors capital (Equalization Reserve), wh | lefault' ty are: | | |
| | Default Option / Facility: The investor must cl absence of such clear instruction, it will be as option / facility and the application will be proces *The amounts can be distributed out of inve part of sale price that represents realized gains. Default Plan: The investor must clearly specify his choice of Investors should also indicate "Direct" in the AR name, the application will be processed under D | sumed that the investor has opted for 'd sed accordingly. The default option / facilit stors capital (Equalization Reserve), wh plan. Investors subscribing under Direct N column of the application form. Further, irrect Plan. The investors may refer to the f | lefault' ty are: hich is Plan of , if neithe following | er Distributor code is mentioned in the a table for applicability of Direct Plan/ Re | |
| | Default Option / Facility: The investor must clabsence of such clear instruction, it will be as option / facility and the application will be proces *The amounts can be distributed out of inve part of sale price that represents realized gains. Default Plan: The investor must clearly specify his choice of Investors should also indicate "Direct" in the AR name, the application will be processed under Direct Scenario Broker Code mention | sumed that the investor has opted for 'd ised accordingly. The default option / facilit stors capital (Equalization Reserve), wh plan. Investors subscribing under Direct N column of the application form. Further, irrect Plan. The investors may refer to the f oned by the investor | lefault' ty are: hich is Plan of , if neithe following | er Distributor code is mentioned in the a table for applicability of Direct Plan/ Re entioned by the investor | pplication form, nor Plan is indicated against the Scheme gular Plan under different scenario :- Default Plan to be captured |
| | Default Option / Facility: The investor must cliabsence of such clear instruction, it will be as option / facility and the application will be proces *The amounts can be distributed out of inve part of sale price that represents realized gains. Default Plan: The investor must clearly specify his choice of investors should also indicate "Direct" in the AR name, the application will be processed under Discert and the application will be processed under Di | sumed that the investor has opted for 'd ised accordingly. The default option / facilit stors capital (Equalization Reserve), wh plan. Investors subscribing under Direct N column of the application form. Further, birect Plan. The investors may refer to the f oned by the investor ntioned | lefault' ty are: hich is Plan of , if neithe following | er Distributor code is mentioned in the a table for applicability of Direct Plan/ Re entioned by the investor Not mentioned | pplication form, nor Plan is indicated against the Scheme gular Plan under different scenario :- Default Plan to be captured Direct Plan |
| | Default Option / Facility: The investor must clabsence of such clear instruction, it will be as option / facility and the application will be proces *The amounts can be distributed out of inve part of sale price that represents realized gains. Default Plan: The investor should also indicate "Direct" in the AR name, the application will be processed under Direct and the application will be application will be processed under Direct | sumed that the investor has opted for 'd ised accordingly. The default option / facilit stors capital (Equalization Reserve), wh plan. Investors subscribing under Direct N column of the application form. Further, irrect Plan. The investors may refer to the f oned by the investor | lefault' ty are: hich is Plan of , if neithe following | er Distributor code is mentioned in the a table for applicability of Direct Plan/ Re entioned by the investor | pplication form, nor Plan is indicated against the Scheme gular Plan under different scenario :- Default Plan to be captured |
| | Default Option / Facility: The investor must clabsence of such clear instruction, it will be as option / facility and the application will be proces *The amounts can be distributed out of inve part of sale price that represents realized gains. Default Plan: The investor must clearly specify his choice of investors should also indicate "Direct" in the AR name, the application will be processed under D Scenario Broker Code mention 1 Not mention 2 Not mention 3 Not mention 4 Mention | sumed that the investor has opted for 'd ised accordingly. The default option / facilit stors capital (Equalization Reserve), wh plan. Investors subscribing under Direct N column of the application form. Further, birect Plan. The investors may refer to the f oned by the investor ntioned | lefault' ty are: hich is Plan of , if neithe following | er Distributor code is mentioned in the a table for applicability of Direct Plan/ Re entioned by the investor Not mentioned Direct Regular Direct | pplication form, nor Plan is indicated against the Scheme gular Plan under different scenario :- Default Plan to be captured Direct Plan Direct Plan Direct Plan Direct Plan Direct Plan |
| | Default Option / Facility: The investor must cleasence of such clear instruction, it will be as option / facility and the application will be proces *The amounts can be distributed out of invep part of sale price that represents realized gains. Default Plan: The investor must clearly specify his choice of investors should also indicate "Direct" in the AR name, the application will be processed under D Scenario Broker Code mention 1 Not me 2 Not me 3 Not me 4 Ment 5 Dir | sumed that the investor has opted for 'd ised accordingly. The default option / facilit stors capital (Equalization Reserve), wh plan. Investors subscribing under Direct N column of the application form. Further, irrect Plan. The investors may refer to the f oned by the investor ntioned | lefault' ty are: hich is Plan of , if neithe following | er Distributor code is mentioned in the a table for applicability of Direct Plan/ Re entioned by the investor Not mentioned Direct Regular Direct Not mentioned | pplication form, nor Plan is indicated against the Scheme gular Plan under different scenario :- Default Plan to be captured Direct Plan Direct Plan Direct Plan Direct Plan Direct Plan Direct Plan |
| | Default Option / Facility: The investor must cleabsence of such clear instruction, it will be as option / facility and the application will be proces *The amounts can be distributed out of invep part of sale price that represents realized gains. Default Plan: The investor must clearly specify his choice of Investors should also indicate "Direct" in the AR name, the application will be processed under D Scenario Broker Code mention 1 Not me 2 Not me 3 Not me 4 Ment 5 Dir 6 Dir | sumed that the investor has opted for 'd ised accordingly. The default option / facilit stors capital (Equalization Reserve), wh plan. Investors subscribing under Direct N column of the application form. Further, virect Plan. The investors may refer to the for oned by the investor ntioned ntioned inioned ect ect | lefault' ty are: hich is Plan of , if neithe following | er Distributor code is mentioned in the a table for applicability of Direct Plan/ Re entioned by the investor Not mentioned Direct Regular Direct Not mentioned Regular | pplication form, nor Plan is indicated against the Scheme gular Plan under different scenario :- Default Plan to be captured Direct Plan Direct Plan Direct Plan Direct Plan Direct Plan Direct Plan Direct Plan Direct Plan |
| | Default Option / Facility: The investor must cleasence of such clear instruction, it will be as option / facility and the application will be proces *The amounts can be distributed out of inve part of sale price that represents realized gains. Default Plan: The investor must clearly specify his choice of Investors should also indicate "Direct" in the AR name, the application will be processed under D Scenario Broker Code mention 1 Not me 2 Not me 3 Not me 4 Ment 5 Dir 6 Dir 7 Ment | sumed that the investor has opted for 'd ised accordingly. The default option / facilit stors capital (Equalization Reserve), wh plan. Investors subscribing under Direct N column of the application form. Further, irrect Plan. The investors may refer to the f oned by the investor ntioned | lefault' ty are: hich is Plan of , if neithe following | er Distributor code is mentioned in the a table for applicability of Direct Plan/ Re entioned by the investor Not mentioned Direct Regular Direct Not mentioned | pplication form, nor Plan is indicated against the Scheme gular Plan under different scenario :- Default Plan to be captured Direct Plan Direct Plan Direct Plan Direct Plan Direct Plan Direct Plan |

| | | | Note: Direct Plan is for investors who purchase /subscribe units in a Scheme directly with the Fund and is not available for investors who route their investments through a Distributor. Direct Plan shall have a lower expense ratio excluding distribution expenses, commission, etc and no commission for distribution of units will be paid / charged under the Direct Plan. | | | | | |
|--|--|--|---|---|--|---|---|---|
| Applicable NAV | Please refer to point no. 1 | on page no. 42 | | | | | | |
| Minimum application and redemption | Purchase | Additional Purchase | Repurchase | F | Purchase | Additional Purchase | | Repurchase |
| amount/ number of units | ₹ 5,000 and in multiples of ₹ 1 thereafter | ₹ 100 and in multiples of ₹ 1 thereafter | There will be no minimum redemption criterion | c | ₹ 5,000 and in multiples of ₹ 1 thereafter ₹ 100 and in multiples of ₹ 1 thereafter There will be no minimum redemption criterion | | | edemption criterion |
| | Minimum application amo 6 (Monthly) | | ir | installments - 6 (Monthly) | | | month; Minimum number c | |
| Dispatch of repurchase (redemption) request | | - | STP/SWP facility please refer to the unit holders within 10 working days fro | | | | | cility please refer to the SID. lutual Fund. |
| Benchmark index | Nifty 500 TRI | | | 1 | NIFTY50 Hybrid Compos | ite Debt 50:50 Index (Bend | chmark) | |
| Dividend (IDCW) policy | | declaration of IDCW and fr | ailability o | of distributable surplus ca | lculated in accordance wit | | Regulations 1996 ('SEBI (MF Regulations and the decision | |
| Name of Fund Manager | Hitesh Das (for Foreign Se | ecurities) [Tenure as Fund I | heme as Fund Manager - 3 years) ar Manager - Less than 1 year] | | Mr. Anupam Tiwari and Manager-4years) | Mr. R. Sivakumar (Ter | nure of Mana | aging the Scheme as Fun |
| Name of the Trustee | Axis Mutual Fund Trustee | Axis Flexi Cap Fi | und - Nifty 500 TRI | | | Axis Balanced Adv | vantage 1 | NIFTY50 Hybrid Composite |
| Performance of the scheme (as on | Period | Regular Plan | ^ (Benchmark) | | Period | Fund - Regular I | | ebt 50:50 Index (Benchmar |
| September 30, 2021) | 1 year 3 years | 58.44% 21.98% | <u>62.87%</u> 19.44% | | 1 Year returns 3 Year returns | 27.31% 10.25% | | <u>30.49%</u> 15.34% |
| | Returns Since Inception (November 20, 2017) | 18.48% | 15.02% | F | 5 Year returns Returns since Inception (01-Aug-17) | - 8.98% | | - 12.32% |
| - | Absolute returns for th | e last 4 financial years. | 77.58% | | | e last 4 financial years | | |
| | Returns | 49.37% | Axis Elevi Can E | und - | 11. | 24.07% | | Axis Balanced Advantage Fund - Regular Plan |
| | CZ 0.30% -2.41% FY 17-18* 12.96% 9 FY 18-7 | 1113-20 | 20-21 Nifty 500 TRI (Benchmark) | | 2017-2018* 2018-20 | 2019-2020 | | VIFTY50 Hybrid Composite Debt 50:50 Index (Benchmar |
| | Period | Axis Flexi Cap F Direct Plan ^A | (Benchmark) | | Period | Axis Balanced Adv Fund - Direct Pl | | NIFTY50 Hybrid Composite ebt 50:50 Index (Benchmar |
| | 1 year 3 years | 60.50% 23.73% | 62.87% | | 1 Year returns 3 Year returns | 29.03% 11.72% | | <u> </u> |
| | Returns Since Inception | 20.27% | 15.02% | | 5 Year returns Returns since Inception | - 10.57% | | - 12.32% |
| | (November 20, 2017) Absolute returns for th | | 77.58% | (| (01-Aug-17) Absolute returns for th | | | 12.3270 |
| | -2.41% | • 9.73% FY 19-20 -7.16% -26.62% | | 4.70% 1.20% 7.26% 7.26% 2015-2019 | 38.3 25.84% | Fund NIFT Debt | Balanced Advantage - Direct Plan Y50 Hybrid Composite 50:50 Index (Benchmark) | |
| | ^Past performance may compounded annualized Growth Option NAVs. Diff which performance is give | (CAGR). *Inception till fina erent plans have different | ed on of of the formed of the | compounded annualized (CAGR). *Inception till financial year end. Calculations are based on | | | | |
| Portfolio holding (as on September 30, 2021) | | | | venue mited: H mited: H .08%; F .76%; L .45%; L IDES: L 0.87% S F 7 3 3 F | HDFC Bank Limited: 4.15 Industries Limited: 3.07% UltraTech Cement Limiter Top 10 holdings - Issuer Power Finance Corporal Agriculture and; Rural De Limited: 1.66%; National Limited: 1.05%; Food Cc Nirma Limited: 0.65% & N Sector Allocation FINANCIAL SERVICES: 7.71%; CONSUMER GO 3.06%; AUTOMOBILE: 2 POWER: 2.20%; SERV | 6; Tata Consultancy Servic %; Housing Development ; Avenue Supermarts Lim it 1.87% & Coforge Limited ion Limited: 5.92%; Axis velopment: 1.86%; NTPC Highways Auth Of Ind: 1. riporation Of India (Guara HPC Limited: 0.35% 30.31%; Cash & Cash Ec DDS: 7.42%; CEMENT & .94%; CONSUMER SER | Finance Corp ited: 2.53%; E 2: 1.75% Bank Limited: 1.78 4.33%; Indian antee from ; C quivalent: 19. CEMENT PR(VICES: 2.53% 1.83%; CHI | .30%; Infosys Limited: 4.27% poration Ltd.: 3.19%; Reliance Bajaj Finance Limited: 2.44% d: 4.80%; National Bank Fo 1%; Sikka Ports and Terminals Railway Finance Corporatior Government of India): 0.98% 37%; IT: 12.73%; OTHERS ^A ODUCTS: 3.99%; OLL & GAS %; CONSTRUCTION: 2.35% EMICALS: 0.97%; METALS |
| | Please visit www.axismf. | com to obtain schemes lat | est monthly portfolio. | | ^Triparty Repos / Mutua | | | |
| Portfolio turnover ratio* (as on September 30, 2021 | | | erivatives and Fixed Income Secu D/MFU/SLB are not considered.) | | | quity, equity derivatives an o/FD/Margin FD/MFU/SLI | | ome Securities transactions sidered.) |
| Expenses of the scheme | Entry load : Not Appli | | menths from the data of the state | | | | | |
| scneme (I) Load structure | • For 10% If redeem Units issu | % of investments: Nil. • For led / switched - out after 12 led on reinvestment of IDC ad structure shall be equal | I months from the date of allotment: remaining investments: 1%. months from the date of allotment: Nii W shall not be subject to Load. Ily applicable to the special products | | SIP, STP, switches, etc. | offered by the AMC. How | rever, no load | will be charged for switching |

| Francisco e f the | | ulan and Direct Director | | | | | |
|---|--|---|---|---|--|--|--|
| Expenses of the scheme | For switches between the Plans i.e. between Regular and Direct Plan or vice versa, load will be charged by the scheme. Exit load charged to the investors will be credited back to the scheme net of GST. The Investor is requested to check the prevailing Load structure of the Scheme before investing. | | | | | | |
| (I) Load structure (Contd.) | SEBI vide its circular no. SEBI/IMD/CIR No. 4/ 16 made by the investor, if any, shall be paid to the AF by the ARN Holder. | 8230/09 dated June 30 RN Holder (AMFI regist | 0, 2009 has decided that tered Distributor) directly | there shall be no entry Load for all Mutual Fund Schemes. The upfro by the investor, based on the investor's assessment of various factor | nt commission on investmer | | |
| | The Trustee/AMC reserves the right to change / m | nodify the Load structur | re from a prospective date | 3. | | | |
| (ii) Recurring expenses | follows: On the first ₹ 500 crores of the daily net as crores of the daily net assets: 1.60%, On the next increase of ₹ 5,000 crores of daily net assets or pa All fees and expenses charged in a Direct Plan (in heads in other than Direct Plan. Direct Plan shall under Direct Plan. The total expenses of the Scheme including the in In addition to the limits as specified in Regulation be charged to the Scheme namely; (a) expenses not exceeding of 0.30 per cent of the scheme, or; (ii) 15 per cent of the average Provided further that amount incurred as exyear from the date of investment. Provided further that, additional TER can be October 22, 2018 read with SEBI/HO/IMD/IC considered as inflows from "retail investor". (b) additional expenses, incurred towards differ (c) GST payable on investment and advisory se Further, brokerage and transaction costs w market transactions and 0.05 per cent in cas A. Within the Total Expense Limit chargea: (a) GST on other than investment and (b) Investor education and awareness B. AMC fees charged by Axis AMC to the 1 | sete: 2.25%, On the ne ₹ 5000 crores of the da art thereof, and On the ti- n percentage terms) un have a lower expense westment managemen 52(6) of SEBI (MF) Re daily net assets, if the r e assets under manage s than the higher of sut compared to the scheme of sut compared to the scheme of the e of derivatives transac able to the scheme, follo advisory fees, if any, (ir initiative fees of at leas Scheme will be within th all be charged / borne in seratios on its website | ext ₹ 250 crores of the da aliy net assets: 1.50%, O balance of the assets: 1.0 der various heads include ratio excluding distribut nt and advisory fee shall r egulations or the Total Re- new inflows from such cile ment (year to date) of th b-clause (i) or subclause nflows from such cities s utilized for distribution ex- nflows only from retail in ted March 25, 2019. For under Regulations 52(2) ei) charged by Axis Asset M he purpose of execution citions. owing will be charged to to ncluding on brokerage ar st 2 basis points on daily r he Total Expense Limit a: a accordance with the Re- e (www.axismf.com) atlea | ling the investment and advisory fee shall not exceed the fees and e ion expenses, commission, etc. and no commission for distribution not exceed the limit stated in Regulation 52(6) of the SEBI (MF) Regu curring Expenses (Total Expense Limit) as specified above, the follo ies as specified by SEBI/AMFI from time to time are at least (i) 30 pe e scheme, whichever is higher. (ii), such expenses on daily net assets of the scheme shall be charge hall be credited back to the scheme in case the said inflows are red expenses incurred for bringing inflows from such cities. vestors from B30 cities in terms of SEBI circular no. SEBI/HO/IMD this purpose inflows of amount upto ₹ 2,00,000/- per transaction, by and 52(4), not exceeding 0.05 per cent of daily net assets of the schere tanagement Company Limited ('Axis AMC'); of trade and is included in the cost of investment shall not exceed to he Scheme: id transaction costs on execution of trades) shall be borne by the Sch ret assets of respective Scheme. s prescribed by SEBI Regulations, with no sub-limit on said AMC fees gulations prevailing from time to time. | s: 1.75%, On the next ₹ 300 reduction of 0.05% for ever kpenses charged under suc of Units will be paid/ charge lations. wing costs or expenses ma r cent of gross new inflows i d on proportionate basis. seemed within a period of on /DF2/CIR/P/2018/137 dated individual investors shall b ne; 0.12 per cent in case of casi eme | | |
| | Ratio of Mutual Fund Schemes' section on https:// Actual expense for the financial year ended | | | -xpense Ratio (TER) details. Actual expense for the financial year ended March 31, 202 | 1 (audited): Regular Plan | | |
| | 1.80%**, Direct Plan: 0.53%** | | | 2.20%**, Direct Plan: 0.91%** | | | |
| Transaction charges | Please refer to point no. 2 on page no. 42 | | | | | | |
| Waiver of load for direct applications | Not applicable | | | | | | |
| Tax treatment for unit holders | Please refer to point no. 3 on page no. 43 | | | | | | |
| Daily Net Asset Value (NAV) publication | Please refer to point no. 4 on page no. 43 | | | | | | |
| For investor grievances please contact | Please refer to point no. 5 on page no. 43 | | | | | | |
| Unit holder's information | Please refer to point no. 6 on page no. 43 | | | | | | |
| Name of scheme | AXIS EQUITY HYBRID FUND (An Open ende equity and equity related instruments) Benchmark: CRISIL HYBRID 35+65-AGGRES This product is suitable for investors who are seeking*: | | esting predominantly in | Axis Growth Opportunities Fund (An Open-ended Equity Scl cap and mid cap stocks) Benchmark: NIFTY LARGE MIDCAP 250 TRI | neme investing in both large | | |
| | Capital appreciation along with generation of Investment in equity and equity related inst instruments. "Investors should consult their financial advisers if in doub PRODUCT RISKOMETER Moderate Moderate Moderate Moderate Moderate Moderate | tabout whether the product BENCHMARK | ebt and money market | Moderately High Mode | | | |
| | Capital appreciation along with generation of Investment in equity and equity related inst instruments. Investors should consult their financial advisers if in doub PRODUCT RISKOMETER Mederately | t about whether the product | ebt and money market tt is suitable for them. RISKOMETER Migh Very High TER ID 35+65 - | Capital appreciation over long term. Investment in a diversified portfolio predominantly consisting instruments both in India as well as overseas. Investors should consult their financial advisers if in doubt about whether the PRODUCT RISKOMETER PRODUCT RISKOMETER BENCHM Low to Moderate High High High<!--</td--><td>ARK RISKOMETER</td> | ARK RISKOMETER | | |
| Investment objective | Capital appreciation along with generation of Investment in equity and equity related inst instruments. *Investors should consult their financial advisers if in doub PRODUCT RISKOMETER Investors understand that their principal | ruments as well as de tabout whether the produc BENCHMARK Generation CRISIL HYBRI AGGRESSIV | ebt and money market tt is suitable for them. RISKOMETER defension type tere D 35+65 - ZE INDEX by investing in a mix of type market instruments. | Capital appreciation over long term. Investment in a diversified portfolio predominantly consisting instruments both in India as well as overseas. Investors should consult their financial advisers if in doubt about whether the PRODUCT RISKOMETER Investors understand that their principal | ARK RISKOMETER rate Mederately Fligh rate Mederately Fligh USKOMETER BE MIDCAP 250 TRI ersified portfolio of Equity & However, there can be n | | |
| Asset allocation | Capital appreciation along with generation of Investment in equity and equity related inst instruments. *Investors should consult their financial advisers if in doub PRODUCT RISKOMETER Investors understand that their principal will be at very high risk To generate long term capital appreciation along Equity and Equity related Instruments, debt In However, there is no assurance or guarantee the be achieved. The Scheme does not assure or gua Under the normal circumstances, the asset alloca | ruments as well as det tabout whether the produce BENCHMARK University of the product of the product CRISIL HYBRI AGGRESSIV g with current income to nstruments and mone at the investment object trantee any returns. | ebt and money market tt is suitable for them. RISKOMETER determines type termines type termines type | Capital appreciation over long term. Investment in a diversified portfolio predominantly consisting instruments both in India as well as overseas. Investors should consult their financial advisers if in doubt about whether the PRODUCT RISKOMETER PRODUCT RISKOMETER Investors understand that their principal Investors understand that their principal | ARK RISKOMETER rate Moderately High reader Moderately High UVERY HIGH UVER | | |
| Asset allocation | Capital appreciation along with generation of Investment in equity and equity related inst instruments. *Investors should consult their financial advisers if in doub PRODUCT RISKOMETER Investors understand that their principal will be at very high risk To generate long term capital appreciation along Equity and Equity related Instruments, debt In However, there is no assurance or guarantee the be achieved. The Scheme does not assure or guarantee the | ruments as well as det tabout whether the produce BENCHMARK University of the product of the product CRISIL HYBRI AGGRESSIV g with current income to nstruments and mone at the investment object trantee any returns. | ebt and money market tt is suitable for them. RISKOMETER defensive very High trac UD 35+65 - ZE INDEX by investing in a mix of parameter instruments. | Capital appreciation over long term. Investment in a diversified portfolio predominantly consisting instruments both in India as well as overseas. Investors should consult their financial advisers if in doubt about whether the PRODUCT RISKOMETER PRODUCT RISKOMETER Divestors understand that their principal will be at very high risk To generate long term capital appreciation by investing in a dive Equity Related Instruments both in India as well as overseas. | ARK RISKOMETER rate Moderately High rate Moderately High High Very High UKOMETER BE MIDCAP 250 TRI ersified portfolio of Equity. However, there can be neved. e: | | |
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| Asset allocation | Capital appreciation along with generation of Investment in equity and equity related inst instruments. "Investors should consult their financial advisers if in doub PRODUCT RISKOMETER | ruments as well as de tabout whether the produce BENCHMARK University of the produce BENCHMARK University of the product of the product CRISIL HYBRI AGGRESSIV g with current income to astruments and mone at the investment object trantee any returns. | ebt and money market ct is suitable for them. RISKOMETER Were High UD 35+65- //E INDEX by investing in a mix of ry market instruments. ctive of the Scheme will Normal Allocation (% of net assets) 65-80 20-35 0-10 | Capital appreciation over long term. Investment in a diversified portfolio predominantly consisting instruments both in India as well as overseas. Investors should consult their financial advisers if in doubt about whether the PRODUCT RISKOMETER PRODUCT RISKOMETER Investors understand that their principal Investors understand that their principal NIFTY LARG To generate long term capital appreciation by investing in a divequity Related Instruments both in India as well as overseas. assurance that the investment objective of the Scheme will be achi Under the normal circumstances, the asset allocation pattern will b Type of Instruments Equity & equity related instruments of Large Cap Stock #^ Equity & equity related instruments of Mid Cap Stock #^ | ARK RISKOMETER ARK RISKOMETER rate Hegh Very Hegh Jaskometer SE MIDCAP 250 TRI ersified portfolio of Equity However, there can be reved. e: Normal Allocation (% of net assets) 35 - 65 35 - 65 | | |

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| Asset allocation pattern of the scheme (Contd.) | The Scheme may seek investment opportunities in foreign securities including ADRs / GDRs / Foreign equity and debt securities subject to SEBI (MF) Regulations. Such Investment shall not exceed upto 50% of the Net Assets of the Scheme in accordance with guidelines issued by SEBI and RBI. The Scheme may invest in derivatives instruments to the extent of 100% of the NetAssets. The Scheme may use derivatives for such purposes as maybe permitted by the Regulations, including for the purpose of hedging and portfolio balancing, based on the opportunities available and subject to guidelines issued by SEBI from time to time. The Scheme may also use fixed income derivative instruments subject to the guidelines as maybe issued by SEBI and RBI and for such purposes as maybe permitted from time to time. The Scheme shall not invest in Credit Default Swaps. The margin money deployed on derivative positions would be included in Debt & Money Market Instruments. The Scheme may undertake repo transactions in corporate debt securities in accordance with the guidelines issued by RBI and SEBI. Such investment shall be made subject to the guidelines which may be prescribed by the Board of Directors of the Asset Management Company and Trustee Company. The cumulative gross exposure through equity, debt, units issued by REITs & InvITs and derivative positions should not exceed 100% of the net assets of the Scheme in accordance with SEBI Cir/IMD/DF/11/2010 dated August 18, 2010. Short Selling by the Fund The Scheme may endage in Short selling in accordance with the framework relating to Short Selling and securities lending and borrowing specified by SEBI. Stock Lending by the Fund The Scheme may endage in Short selling in accordance with the framework relating to Short Selling and securities lending and borrowing specified by SEBI. Not more than 25% of the net assets of the Scheme can generally be | The cumulative gross exposure through equity, debt, units issued by REITs & InvITs and derivative positions should not exceed 100% of the net assets of the Scheme in accordance with SEBI Cir/IMD/DF/11/2010 dated August 18, 2010. The Scheme may invest in foreign securities including ADRs / GDRs / other securities subject to SEBI (MF) Regulations. Such Investmentshall not exceed 35% of the net assets of the fund. ¹ Includes Foreign Equity & Equity related instruments up to 35% of the net assets of the fund. ¹ Includes Foreign Equity & Equity related instruments up to 35% of the net assets of the fund. ¹ The market capitalization classification viz large cap/mid cap/small cap of such instruments will be determined based on the range of market capitalization of list of stocks provided by AMFI, in accordance with methodology prescribed by SEBI. # including derivatives instruments to the extent of 70% of the Net Assets as permitted by the Regulations from time to time. The Scheme may use derivatives for such purposes as maybe permitted by the Regulations, including for the purpose of hedging and portfolio balancing, based on the opportunities available and subject to guidelines issued by SEBI from time to time. ¹ The Scheme may also use fixed income derivative instruments subject to the guidelines as maybe permitted by the Regulations, including foreign securitized debt), if undertaken, would not exceed 30% of the net assets of the Scheme. ¹ The Scheme may undertake repo transactions in corporate debt securities in accordance with the directions issued by RBI and SEBI from time to time. Such investment shall be made subject to the guidelines which may be prescribed by the Board of Directors of the Asset Management Company and Trustee Company. Pending deployment of the funds in securities in terms of investment objective of the Scheme, the AMC may park the funds of the Scheme in short term deposits of the Scheduled Commercial Ba |
| Differentiation with existing open ended equity/ hybrid schemes (as on September 30, 2021) | For comparison of Existing Schemes, Investment Objective, Asset Under Management (AUM) and number of folios, please refer to point no. 7B on page 46 to 48. | For comparison of Existing Schemes, Investment Objective, Asset Under Management (AUM) and number of folios, please refer to point no. 7A on page 43 to 46. |
| Investment strategy of the scheme | The scheme aims to generate long term capital appreciation along with current income by investing in a mix of Equity and Equity related securities, debt securities and money market instruments. The scheme proposes to invest in a diversified portfolio of debt & money market instruments to generate income. The portfolio duration and credit exposures will be decided based on a thorough research of the general macroeconomic condition, political and fiscal environment, systematic liquidity, inflationary expectations, corporate performance and other economic considerations. The fund manager will keep in mind the yield structure of different asset classes as well as the kinks within a particular yield curve while making investment decisions. Equity and Equity Related Instruments: The equity allocation will be managed actively. The focus would be to build a diversified portfolio of strong growth companies, reflecting our most attractive investment ideas, at all points of time. The portfoli will be built utilizing a botom-up stock selection process, focusing on appreciation potential of individual stocks from a fundamental perspective. The AMC employs a "Fair value" based research process to analyze the appreciation potential of each stock in its universe (Fair value is a measure of the intrinsic worth of a company). The universe of stocks is carefully selected to include companies having a robust business models and enjoying sustainable competitive advantages as compared to their competitors. The scheme by utilizing a holistic risk management strategy will endeavor to manage risks asociated with investing in equity markets. The scheme has identified the following risks as addesigned risk management strategies, which are embedded in the investment process to manage these risks: i. Quality Risk - Risk of overpaying for a company iii. Liquidity Risk - High Impact cost of entry and exit | The Scheme aims to generate long term capital appreciation by investing in a diversified portfolio of Equity & Equity Related Instruments both in India as well as overseas. Equity portfolio will be run as a diversified portfolio without any capitalization bias and sector preference with a balanced mix of large and mid cap stocks. The Scheme will look at the 3 pillars while constructing the portfolio: High quality portfolio Sustainable growth across market cycles Low chum Portfolio construction would be a combination of both top down and bottom up approach. The top down approach will be based on macro-economic analysis and will be used to arrive at the geographical market and sectors/themes while the bottom-up process will focus on appreciation potential of individual stocks from a fundamental perspective to arrive at the stock selection. The AMC employs a "Fair value" based research process to analyse the appreciation potential of each stock in its universe (Fair value is a measure of the intrinsic worth of a company). The universe of stocks is carefully selected to include companies having a robust business models and enjoying sustainable competitive advantages as compared to their competitors. Investment in foreign securities will be made to capture potential opportunities in equity markets of developed and/or emerging markets across geographies. Investment could also be made in themes/brands/market leaders present in these specific markets that cannot be played through the domestic economy either because these are not present or the companies are not listed on an exchange in India. The portfolio will have an absolute return focus. That is the fund manager will not look at the market cycles but will try to generate returns while minimizing the potential for downside. Thus the Scheme will have the leeway to take a higher allocation to cash in case the fund manager is not albe to find appropriate stocks a caceptable val |

| Risk profile of the | | | | | | | | | | | a nasaihla lasa of arinainal. Diasaa ras |
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| scheme | | fully for detai | | nt risks including t actors before inve | | | | the SID carefully for deta | | | |
| | derivatives, c enhancemer No assurann opportunities pricing oppo lower level of In case of a before the da Investment in risk, liquidity invest in unlii Scheme inve the governmu Investments | debt and mor nts, short sell ce can be g s or to correct rtunities bett f activity affect large redem ate of futures' n mutual func risk and defa sted securitia set securitia ent, taxation in debt and | ney market ling and sec given that tly exploit p ween the c cting the ret ption, the s ' settlement d units invo ault risk. Tra es which m y be affect laws and p d money m | ed with investing securities, securi zurities lending. the Fund Mana rice discrepancies cash market and turns. scheme may nee- t. This eventuality olves investment r ading volume may nay increase the r ed by currency ex olitical, economic narket instrumen isk, spread risk, sp | tized debt, ager will b s in the cap Future and d to revers may lead to risks such a restrict liq isk on the kchange ra or other de tts are sub | debt instrume be able to loo pital markets. F d Options mar se the spot-fut o basis risk. as trading volu uidity. The AMU portfolio. Also, ites, changes i evelopments. | nts having credit cate investment Reduction in mis- ket may lead to ures transaction mes, settlement C may choose to the value of the n law/policies of st rate risk, re- | enhancements, short selling and securities lending, foreign securities Investment/offshore securities, Repo in Corporate Debt. The value of Scheme's investments may be affected by factors affecting the Securities and price and volume volatility in the capital markets, interest rates, currency excidends in law/policies if the Government, taxation laws and political, econon developments which may have an adverse bearing on individual securities, a spee all sectors. Consequently, the NAV of the units of the Scheme may be affected. I foreign Securities involves a currency risk. To the extent that the assets of the Sc invested in securities denominated in foreign currencies, the Indian Rupee equival assets, distributions and income may be adversely affected by changes in the value of foreign currencies relative to the Indian Rupee. The repatriation of capital to India hampered by changes in regulations concerning exchange controls or political ci as well as the application to it of other restrictions on investment. | | | |
| Creation of segregated Portfolio | | edit event, the | vent, the Scheme may create segregated portfolio of debt and money market ns of applicable SEBI regulations/ circulars. | | | | - | | | | |
| Risk management strategies | The Scheme also relies on its own research as well as third party research. This involves one- to-one meetings with the managements, attending conferences and analyst meets and also tele-conferences. The analysis will focus, amongst others, on the predictability and strength of cash flows, value of assets, capital structure, business prospects, policy environment, strength of management, responsiveness to business conditions, etc. | | | | | management is critical to | fund manage | ment for achievi | the investment process. Effective ris ng financial soundness. Investments b ctives of the Scheme and provisions | | |
| Plans and Options | The Scheme offers the following Plans: 1. Axis Equity Hybrid Fund - Regular Plan 2. Axis Equity Hybrid Fund - Direct Plan Regular Plan Regular Plan is available for all type of investors investing through a Distributor. Direct Plan Direct Plan is only for investors who purchase /subscribe Units in a Scheme directly with the Fund and is not available for investors who route their investments through a Distributor. Each plan offers the following options: a) Growth Option | | | | | The Scheme offers the f 1. Axis Growth Opportu 2. Axis Growth Opportu Each plan offers the foll a. Growth Option b. Income Distribution of 1. IDCW Payout Fa 2. IDCW Reinvestr Regular Plan Regular Plan is available | nities Fund - F nities Fund - C owing option um Capital W Icility nent Facility | egular Plan irect Plan s: thdrawal (IDCW | | | |
| - | b) Income Distribution cum C Options Sub-option | | Im Capital Withdrawal (IDCW) Option^ ions Frequency of IDCW Record Date@ | | Direct Plan Direct Plan is only for investors who purchase/ subscribe Units in a Scheme directly with | | | | | | |
| | Growth | Sub-opt Nil | lons | N.A. | DCW | | I Date@ | | | | ibe Units in a Scheme directly with th vestments through a Distributor. |
| | IDCW | Regular* (& Reinves Monthly* (P Reinvest | stment) Payout & | N.A. Monthly | | Ν | IA he month | All the plans will have common portfolio. | | oounonte through a biothouton. | |
| | Interview Quarterly < | | | | | | | | | | |
| | @ Next busir | ness day if re | cord date h | appens to be a no | n -busines | s day. | | | | | |
| | ^The amoun | ts can be dis | stributed ou | ut of investors cap | oital (Equa | lization Reser | ve), which is part | of sale price that represen | ts realized ga | ns. | |
| | | | | | | | | 1 1 | | | |
| | Default Plan | 12 | | | | | | | | | |
| | The investor | must clearly | | | | | | for applicability of Direct Pla | n/Regular Pla | | |
| | | must clearly | | ode mentioned b | by the inve | | | for applicability of Direct Pla nentioned by the investor | n/Regular Pla | | fault Plan to be captured |
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| | The investor Scenari 1 | must clearly | | ode mentioned b Not mentione | o y the inve d d | | | for applicability of Direct Pla nentioned by the investor Not mentioned | n/Regular Pla | | fault Plan to be captured Direct Plan |
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| Applicable NAV Minimum application | The investor Scenari 1 2 3 4 4 5 6 6 7 7 8 In cases of w code within 3 transaction u Note: Direct 1 shall have a l Default Opti Default Opti Default Sub Default Facil facility). | must clearly o | I/ incomplet days of the Plan from th vestors who se ratio excl athly (betwe einvestmer | ode mentioned b Not mentioned Not mentioned Mentioned Direct Direct Mentioned Mentioned te ARN codes me receipt of the apple date of applicatio purchase /subsc luding distribution | y the inve d d d entioned on plication fo ion without cribe units n expenses thly & Quar | stor the applicatio the application trany exit load. in a Scheme di , commission, terly) | Plan m n form, the applic vestor/ distributor rectly with the Fun etc and no commi nd IDCW Payout | for applicability of Direct Pla tentioned by the investor Not mentioned Direct Regular Direct Not mentioned Regular Regular Not mentioned ation shall be processed ur In case, the correct code and and is not available for in ssion for distribution of units Default Option: Growth (Default Pacility: IDCW F | der Regular P s not receiver vestors who r will be paid / d between Grow | De l'lan. The AMC s I within 30 caler bute their invest charged under th vth and IDCW) acility (between | fault Plan to be captured Direct Plan Direct Plan Direct Plan Direct Plan Direct Plan Direct Plan Regular Plan Regular Plan hall contact and obtain the correct AR ddar days, the AMC shall reprocess th ments through a Distributor. Direct Pla e Direct Plan. |
| Minimum application and redemption | The investor Scenari 1 2 3 4 5 6 7 7 8 In cases of w transaction u Note: Direct shall have a Default Opti Default Opti Default Opti Default Facil facility). Please refer Purchase ₹ 5,000 and | must clearly o view of the second s | I/ incomplet days of the Plan from the vestors who se ratio excl athly (betwee investmen on page no Additior ₹ 100 an | ode mentioned b Not mentioned Not mentioned Not mentioned Direct Direct Mentioned Mentioned Mentioned te ARN codes me receipt of the app redate of applicati o purchase /subsc luding distribution een Regular, Mont tt facility (betweer o. 42 nal Purchase id in multiples | y the inve d d d d entioned on plication fo ion without cribe units i expenses thly & Quar n IDCW Re The | stor stor the applicatio rm from the in any exit load. in a Scheme di , commission, terly) einvestment ar Repurchas pre will be no n | Plan m Plan m n form, the applic vestor/ distributor vestor/ distributor incetly with the Fun etc and no comming the function of the function and IDCW Payout the function of the function the function of the function of the function the function of the fun | for applicability of Direct Pla nentioned by the investor Not mentioned Direct Regular Direct Not mentioned Regular Not mentioned ation shall be processed ur In case, the correct code and and is not available for ir ssion for distribution of units Default Option: Growth (Default Facility: IDCW F facility). Purchase ₹ 5,000 and in multiples | der Regular F s not receiver vestors who r will be paid / d between Grov einvestment f | De Plan. The AMC s I within 30 caler bute their invest tharged under th vth and IDCW) acility (between Purchase n multiples | fault Plan to be captured Direct Plan Direct Plan Direct Plan Direct Plan Direct Plan Direct Plan Regular Plan Regular Plan hall contact and obtain the correct AR indar days, the AMC shall reprocess the ments through a Distributor. Direct Pla te Direct Plan. IDCW Reinvestment and IDCW Payor Repurchase There will be no minimum |
| Minimum application | The investor Scenari 1 2 3 4 5 6 7 7 8 In cases of w code within 3 transaction u Note: Direct 1 shall have a l Default Opti Default Opti Default Goti Default Facil facility). Please refer Purchase ₹ 5,000 and of ₹ 1/- there | must clearly | I/ incomplet days of the Plan from the vestors who se ratio excl on page no Additior ₹ 100 an of ₹ 1, | ode mentioned b Not mentioned Not mentioned Not mentioned Direct Direct Mentioned Mentioned Mentioned te ARN codes me receipt of the applicati to purchase /subsc luding distribution een Regular, Mont at facility (betweer o. 42 nal Purchase | y the inve d d d d entioned on plication fo ion without cribe units i expenses thly & Quar n IDCW Re thly & Quar n IDCW Re | stor stor the applicatio rm from the in any exit load. in a Scheme di , commission, terly) einvestment ar Repurchas ere will be no n redemption crit | Plan m Plan m n form, the applic vestor/ distributor irectly with the Fun etc and no commi ad IDCW Payout se ninimum terion | for applicability of Direct Pla nentioned by the investor Not mentioned Direct Regular Direct Not mentioned Regular Not mentioned ation shall be processed ur In case, the correct code and and is not available for ir ssion for distribution of units Default Option: Growth (Default Facility: IDCW R facility). Purchase ₹ 5,000 and in multiples of ₹ 1/- thereafter | der Regular F s not receiver vestors who r will be paid / d between Grov einvestment f Additional ₹ 100 and i of ₹ 1/- ti | De Plan. The AMC s d within 30 caler bute their invest charged under th within and IDCW) acility (between Purchase n multiples lereafter | fault Plan to be captured Direct Plan Direct Plan Direct Plan Direct Plan Direct Plan Direct Plan Regular Plan Regular Plan hall contact and obtain the correct AR idar days, the AMC shall reprocess th ments through a Distributor. Direct Pla e Direct Plan. IDCW Reinvestment and IDCW Payou Repurchase |

| Dispatch of repurchase (redemption) request | Within 10 working days from | the receipt of the redemption r | equest at the Authorized Centre of Axi | s Mutual Fund. | | | | |
|--|---|--|---|---|--|--|--|--|
| Benchmark index | CRISIL Hybrid 35+65 - Aggr | essive Index | | NIFTY LargeMidcap 250 Inc | lexTRI | | | |
| Dividend (IDCW) policy | Regulations'). The actual de | claration of IDCW and frequen | subject to availability of distributable cy will inter-alia, depend on availabili ance or guarantee to the unit holders a | y of distributable surplus calcu | lated in accordance with SEBI (MI | | | |
| Name of Fund Manager | Fund Manager: 3 years) | | nar (for the debt portion) (Tenure as | Mr. Jinesh Gopani & Mr. Hitesh Das (for Foreign Securities) (Tenure as Fund Manager: 3 years) | | | | |
| Name of the Trustee | Axis Mutual Fund Trustee Li | | | | | NUCTV Lower Midson 000 | | |
| Performance of the | Period | Axis Equity Hybrid Fund - Regular Plan [^] | CRISIL Hybrid 35+65 - Aggressive Index (Benchmark) | Period | Axis Growth Opportunities Fund - Regular Plan [^] | NIFTY LargeMidcap 250 Index TRI (Benchmark) | | |
| scheme (as on September 30, 2021) | 1 year | 45.10% | 39.66% | 1 year | 67.91% | 67.62% | | |
| . , , | 3 Year returns | 17.81% | 16.97% | Returns Since Inception | | | | |
| | Returns Since Inception (August 09, 2018) | 15.42% | 14.69% | (October 22, 2018) 27.99% 24.2 | | 24.22% | | |
| | Absolute returns for the past 3 financial years. 45.15% 49.75% Axis Equity Hybrid Fund - Regular Plan | | Absolute returns for the past 3 financial years. | | | | | |
| | Period | Axis Equity Hybrid Fund - Direct Plan [^] | CRISIL Hybrid 35+65 - Aggressive Index (Benchmark) | Period | Axis Growth Opportunities Fu Direct Plan [^] | nd - NIFTY LargeMidcap 250 Index TRI (Benchmark) | | |
| | 1 year | 47.14% | 39.66% | 1 year | 70.43% | 67.62% | | |
| | 3 Year returns | 19.56% | 16.97% | Returns Since Inception | | | | |
| | Returns Since Inception (August 09, 2018) | 17.15% | 14.69% | (October 22, 2018) | 30.18% | 24.22% | | |
| | | 47.28% 49.75% Axis 47.28% 49.75% Axis 47.28% 49.75% Axis 12.9% FY20-21 Agg 12.93% Axis 49.75% Axis 49.7 | Equity Hybrid Fund - Direct Plan SIL Hybrid 35+65 - ressive Index (Benchmark) Returns upto 1 year are on absolute basis. with Option NAVs. Different plans have | Absolute returns for the past 3 financial years. 71.52% 85.91% 72.0% 13.05% FY19-20 -9.61% -27.48% FY20-21 Axis Growth Opportunities FY20-21 NIFTY LargeMidcap 250 Index TRI (Benchmark) *Past performance may or may not be sustained in the future. *Inception till financial year end. Returns greater than 1 year are compounded annualized (CAGR). Calculations are based on Growth | | | | |
| Portfolio holding (as | Top 10 holdings - Issuer W | n of the scheme for which performan | ce is given is indicated above. | Option NAVs. Different plans have different expense structure. Plan of the scheme for which performance is given is indicated above. Top 10 holdings - Issuer Wise | | | | |
| on September 30, 2021) | Tata Consultancy Services 5.49%; Avenue Supermarts 4.65%; Reliance Industries Development Finance Corp Top 10 holdings - Issuer W Bank of Barda: 2.52%; M Development: 1.68%; Air In 1.39%; Sikka Ports and T Railway Finance Corporatii Government of India): 1.08% <u>Sector Allocation</u> FINANCIAL SERVICES: AUTOMOBILE: 5.92%; FO CHEMICALS: 4.15%; COI POWER: 3.02%; CONSUM SERVICES: 1.37%; INDUS | Limited: 5.74%; Infosys Limi ; Limited: 4.75%; HDFC Bank , Limited: 4.18%; Kotak Mahir oration Limited: 3.66% & PI Ind Vise (Debt Shares) ITPC Limited: 2.35%; Nation dia Assets Holding Limited (Gt erminals Limited: 1.37%; Pur on Limited: 1.14%; Food Corp 6; TMF Holdings Limited: 0.96% 38.17%; IT: 12.55%; Cf HARMA: 4.91%; OTHERS NSTRUCTION: 3.52%; FERT IER GOODS: 2.08%; CEMEN STRIAL MANUFACTURING: ilent:: 0.63%; METALS: 0.54% | al Bank For Agriculture and Rural larantee from Government of India): njab National Bank: 1.29%; Indian oration Of India; (Guarantee from & DLF Limited: 0.80% DNSUMER SERVICES: 8.02%; ^: 4.26%; OIL & GAS: 4.18%; ILISERS & PESTICIDES: 3.04%; T & CEMENT PRODUCTS: 1.44%; I.25%; HEALTHCARE SERVICES: | Tata Elxsi Limited: 7.53%; P Limited: 5.61%; Astral Lim Limited: 4.90%; Info Edge Industries Limited: 2.05% Sector Allocation* IT: 19.51%; FINANCIAL S FERTILISERS & PESTICIE HEALTHCARE SERVICES GOODS: 4.18%; CHEM SERVICES: 3.20%; CONSL PHARMA: 1.57%; TEXTILE | I Industries Limited: 6.62%; Bajaj f ited: 5.18%; Sundaram Finance (India) Limited: 3.74%; TTK Pres ERVICES: 17.59%; INDUSTRIA DES: 7.60%; CONSUMER SERV : 4.74%; CONSUMER DISCRET ICALS: 4.10%; AUTOMOBILE JMER STAPLES: 3.15%; SERVIC | Limited: 5.16%; Torrent Powe tige Limited: 2.79% & Supreme AL MANUFACTURING: 9.09% ICES: 6.78%; POWER: 4.99% TONARY: 4.28%; CONSUMEF : 3.84%; COMMUNICATION ES: 2.71%; Cash & NCA: 1.93% | | |
| Portfolio turnover ratio* | Please visit www.axismf.co | m to obtain schemes latest mo | | *Industry classification is done as per Global Industry Classification Standard (GICS) by MSI and Standard & Poor's for Foreign Equity. | | | | |
| (as on September 30, 2021) | Triparty Repos/Repo/FD/Ma | ty, Equity derivatives and Fixed argin FD/MFU/SLB are not con: | Income securities transactions only. sidered.) | Triparty Repos/Repo/FD/Ma | y, equity derivatives and Fixed Inco argin FD/MFU/SLB are not conside | ered.) | | |
| Expenses of the scheme | - For 10% - For rema If redeeme Units issued on reinvestr The above mentioned loa as SIP, switches, STP, 4 Growth and IDCW Optic between the Plans i.e. bo by the scheme. Exit load charged to the in The Investor is requested t SEBI vide its circular no. | ed/switched out on or before 12 of investment: Nil aining investment: 1% ed/switched out after 12 months ment of IDCW shall not be subje ad structure shall be equally ap SWP, etc. offered by the AMC on, no load will be charged by etween Regular and Direct Pla nvestors will be credited back to to check the prevailing Load struc SEBI/IMD/CIR No. 4/ 168230/ | | be subject to Load. No load shall be levied on switches between options and sub-option the Scheme. bd bd For switches between the Plans i.e. between Regular and Direct Plan or vice versa, load be charged by the scheme. Exit load charged to the investors will be credited back to the scheme net of GST. Investor is requested to check the prevailing Load structure of the Scheme before investion SEBI vide its circular no. SEBI/IMD/CIR No. 4/ 168230/09 dated June 30, 2009 has decided be scheme before investion scheme before investin sc | | | | |

| Expenses of the scheme (Contd.) | investment made by the investor, if any, shall be paid to the ARN Holder (AMFI registered Distributor) directly by the investor, based on the investor's assessment of various factors including service rendered by the ARN Holder. | investment made by the investor, if any, shall be paid to the ARN Holder (AMFI registered Distributor) directly by the investor, based on the investor's assessment of various factors including service rendered by the ARN Holder. The Trustee/AMC reserves the right to change / modify the Load structure from a prospective date. | | | | | | |
|---|--|--|--|--|--|--|--|--|
| | follows: On the first ₹ 500 crores of the daily net assets: 2.25%, On the next ₹ 250 crores of the dai crores of the daily net assets: 1.60%, On the next ₹ 5000 crores of the daily net assets: 1.50%, On increase of ₹ 5,000 crores of daily net assets or part thereof, and On the balance of the assets: 1.0 All fees and expenses charged in a Direct Plan (in percentage terms) under various heads includ | ling the investment and advisory fee shall not exceed the fees and expenses charged under such | | | | | | |
| | heads in other than Direct Plan. Direct Plan shall have a lower expense ratio excluding distribution expenses, commission, etc. and no commission for distribution of Units will be paid/ charged under Direct Plan. The total expenses of the Scheme including the investment management and advisory fee shall not exceed the limit stated in Regulation 52(6) of the SEBI (MF) Regulations. In addition to the limits as specified in Regulation 52(6) of SEBI (MF) Regulations or the Total Recurring Expenses (Total Expense Limit) as specified above, the following costs or expenses may be observed to be Scheme nearbor. | | | | | | | |
| | be charged to the Scheme namely; (a) expenses not exceeding of 0.30 per cent of daily net assets, if the new inflows from such cities as specified by SEBI/AMFI from time to time are at least (i) 30 per cent of gross new inflows in the scheme, or; (ii) 15 per cent of the average assets under management (year to date) of the scheme, whichever is higher. Provided that if inflows from such cities is less than the higher of sub-clause (i) or subclause (ii), such expenses on daily net assets of the scheme shall be charged on proportionate basis. | | | | | | | |
| | Provided further that amount incurred as expense on account of inflows from such cities shall be credited back to the scheme in case the said inflows are redeemed within a period of on- year from the date of investment. Provided further that, expenses charged under this clause shall be utilized for distribution expenses incurred for bringing inflows from such cities. Provided further that, additional TER can be charged based on inflows only from retail investors from B30 cities in terms of SEBI circular no. SEBI/HO/IMD/DF2/CIR/P/2018/137 dater | | | | | | | |
| | considered as inflows from "retail investor". (b) additional expenses, incurred towards different heads mentioned under Regulations 52(2) at the second second | | | | | | | |
| | | of trade and is included in the cost of investment shall not exceed 0.12 per cent in case of cash | | | | | | |
| | (a) GST on other than investment and advisory fees, if any, (including on brokerage an (b) Investor education and awareness initiative fees of at least 2 basis points on daily r B. AMC fees charged by Axis AMC to the Scheme will be within the Total Expense Limit as | net assets of respective Scheme. | | | | | | |
| | Ratio of Mutual Fund Schemes' section on https://www.axismf.com/total-expense-ratio for Total E | ist three working days prior to the effective date of the change. Investors can refer 'Total Expense Expense Ratio (TER) details. | | | | | | |
| | Actual expense for the financial year ended March 31, 2021 (audited): Regular Plan: 2.14%**, Direct Plan: 0.74%** | Actual expense for the financial year ended March 31, 2021 (audited): Regular Plan: 2.13%**, Direct Plan: 0.51%** | | | | | | |
| Transaction charges | Please refer to point no. 2 on page no. 42 | | | | | | | |
| Waiver of load for direct applications Tax treatment for unit | | | | | | | | |
| holders Daily Net Asset Value | Please refer to point no. 3 on page no. 43 Please refer to point no. 4 on page no. 43 | | | | | | | |
| (NAV) publication | | | | | | | | |
| For investor grievances please contact | Please refer to point no. 5 on page no. 43 | | | | | | | |
| Unit holder's information | Please refer to point no. 6 on page no. 43 | | | | | | | |
| Name of scheme | AXIS ESG EQUITY FUND (An Open ended equity scheme investing in companies demonstrating sustainable practices across Environment, Social and Governance (ESG) theme) Benchmark: NIFTY 100 ESG TRI This product is suitable for investors who are seeking*: • Capital appreciation over long term • Investments in companies demonstrating sustainable practices across Environment, Social and Governance (ESG) parameters. | AXIS NIFTY 100 INDEX FUND (An Open Ended Index Fund tracking the NIFTY 100 Index) Benchmark: NIFTY 100 TRI This product is suitable for investors who are seeking*: • Long term wealth creation solution. • An index fund that seeks to track returns by investing in a basket of Nifty 100 Index stocks and aims to achieve returns of the stated index, subject to tracking error. * Investors should consult their financial advisers if in doubt about whether the product is suitable for them. | | | | | | |
| | *Investors should consult their financial advisers if in doubt about whether the product is suitable for them. PRODUCT RISKOMETER Low to Low to Lo | PRODUCT RISKOMETER | | | | | | |
| Investment objective | To generate long term capital appreciation by investing in a diversified portfolio of companies demonstrating sustainable practices across Environmental, Social and Governance (ESG) parameters. | To provide returns before expenses that closely corresponds to the total returns of the NIFTY 100 subject to tracking errors. However, there can be no assurance that the investment objective of the Scheme will be achieved. | | | | | | |
| | However, there can be no assurance that the investment objective of the Scheme will be achieved. | | | | | | | |

| Asset allocation | Under the normal circumstances, the asset allocation pattern will be: | | Under the normal circumstances, the asset allocation pattern will be: | | |
|--|--|---|---|--|--|
| pattern of the scheme | Type of Instruments | Normal Allocation (% of net assets) | Type of Instruments | Normal Allocation (% of net assets) | |
| | Equity and Equity related instruments of companies with favorable | 80, 100 | Equity & Equity related instrumentscomprising Nifty 100 Index* | 95 - 100 | |
| | Environmental, Social and Governance (ESG) criteria Other Equity and Equity related instruments | <u>80 - 100</u> 0 - 20 | Debt & Money Market Instruments The cumulative gross exposure through equity, debt and derivative pos | 0 - 5 | |
| | Debt & Money Market Instruments | 0 - 20 | 100% of the net assets of the Scheme. | SILIONS SHAIL NOT EXCEED | |
| | Units issued by REITs & InvITs | 0 - 10 | *Exposure to equity derivatives of the index itself or its constituent store | | |
| | | 0-10 ent of 50% of the Net te to time. The Scheme ations, including for the available and subject to s include Interest Rate ate Agreements, stock such other derivative by REITS & InvITs and eme in accordance with in securitized debt), if opportunities in foreign s subject to SEBI (MF) the Scheme. a repo transactions in 91 and SEBI from time to ate debt securities shall mit as may be specified delines which may be Company and Trustee a limits should it engage y be deployed in Stock or be deployed in Stock selling of securities in lending and borrowing ts shall not exceed 10% ments shall not exceed redit enhancement) is above instruments, as defined ations 2008. in securities in terms of | 1 | ase of corporate action itted by the Regulation as maybe permitted b alancing, based on th me to time. The Schem- nes as maybe issued b ne. ks constituting the Nift fly 100 in approximatel me may take exposur ay be specified by SEE Debt and money marke ternative investment for dity requirements of the s ittes in accordance with nt shall be made subject the Asset Managemer bjective of the Scheme Scheduled Commercia pril 16, 2007, as may b in the equity, debt and k Lending. ly be deployed in Stoc ce with the framewor y SEBI. E Indices Ltd. or is not to modify the Scheme e respective index and icheme. In such a casse on of the securities tha | |
| Differentiation with existing open ended | deposits of the Scheduled Commercial Banks, subject to the guideline time to time. The Scheme shall not invest in Credit Default Swaps. The Scheme retains the flexibility to invest across all the securities in t markets instruments, units issued by REITs & InvITs and mutual fund uni For comparison of Existing Schemes, Investment Objective, Asset Und and number of folios, please refer to point no. 7A on page 43 to 46. | he equity, debt, money ts. | The Scheme is not a minor modification of any other existing scheme Fund. Further, the existing products of Axis Mutual Fund are either | er debt, equity, hybrid | |
| equity schemes (as on September 30, 2021) | | | exchange traded fund or Fund of Fund and hence the Scheme under or compared with any other existing schemes. | | |
| Investment strategy of the scheme | ESG represents factors viz. Environmental (such as impact of business Social (such as business having undesirable social impact) and Govern which the company is run). Quality companies with a competitive advantage, sustainable business earnings growth are the best avenues for long term wealth genera complement traditional tools of evaluating and identifying quality busine the overall understanding of the company. Typically, it is seen that the companies that have strong ESG metrics a well governed and treat their responsibilities to the environment and so result are likely to avoid negative external shocks that can impact their b The investment strategy of the Scheme will be to invest in a basket combining existing traditional fundamental, bottom-up financial analysis analysis on the environmental, social and governance aspects of the analysis will be based on a comprehensive ESG framework adopted f best practices. The ESG process will be executed at various levels. Sector level screening: The scheme will exclude sectors/themes the from a societal perspective. We will avoid investment in compani industries and maintain that exclusion on an ongoing basis. For examp companies involved in Cluster Munitions, Anti-Personnel Mines, and C Weapons. We will not hold any security that is involved in the production and use of these weapons. Stock level screening: Apart from sector exclusion list, we will not inve- up ESG red flags as a part of our review, even if the company is from as the exclusion list. | nance (being the way in s model and visibility of tion. ESG factors can sesses and thus improve are companies that are ciety seriously and as a usiness models. of securities based on is along with a rigorous the company. The ESG rom some of the global at are deemed harmful es operating in those ple we will not invest in chemical and Biological on, stockpiling, transfer st in stocks which throw | The Scheme would invest in stocks comprising the underlying index and the benchmark index. The Scheme may also invest in debt and money compliance with Regulations to meet liquidity and expense requirendeavors to invest in stocks forming part of the underlying in the same the extent possible and to that extent follows a passive investment strate of meeting liquidity and expense requirements. Events like the becoming illiquid in cash market, the exchange changing the constit going ex but lag in its receipts, etc tend to increase the tracking error. In more prudent for the scheme to take exposure through derivatives constituent stocks in order to minimize the long term tracking error. | rements. The Schem- ratio as per the index to egy, except to the exter he constituent stock uents, a large dividen- n such events, it may b | |

| Investment strategy of the scheme (Contd.) | requires a detailed based investment inclusion/exclusion i in which we invest at within our investmer Step 1: Initial detail investment universe specific questionnai Thus every compan ground work before Step 2: Annual ongo carried out periodics case of any concern shareholder value o may lead to exclusio Step 3: In case of ar be carried out by the Step 4: Active enga disclosure of ESG m While the more trad basis of investment through size of pos secondly through ou face some of these focus on the longer currently occupying Mutual Fund units in Scheme specific R | volve investment risks including the possible loss of princip isk Factors are summarized below: | ing fundamental a scoring based of the companies G) value drivers is inclusion in the detailed sector- ith the company. the fundamental concerns will be are captured. In I to the long term safety measures, ew of the same to gement. trms of improved ind. strategy form the two ways – first al forecasts and n its readiness to We will primarily factors which are pal. | Mutual Fund units involve investment risks including the possible loss of principal. Scheme specific Risk Factors are summarized below: | | | |
|---|--|---|---|--|---|--|--|
| | securities, securitized Investment in mutua risk, liquidity risk and invest in unlisted se Scheme investment the government, tax. Investments in deb investment risk, bas | s risks associated with investing in ESG theme, equitia d debt, derivatives, repo transactions in Corporate Bonds, RI al fund units involves investment risks such as trading volt d default risk. Trading volume may restrict liquidity. The AM curities which may increase the risk on the portfolio. Also ts may be affected by currency exchange rates, changes ation laws and political, economic or other developments. t and money market instruments are subject to interes sis risk, credit risk, spread risk, prepayment risk, creatic ments having credit enhancements, etc. ID for further details. | EITs, InvITs etc. umes, settlement C may choose to , the value of the in law/policies of est rate risk, re- | derivatives, debt securities, money ma Investment in Mutual Fund units involv risk, liquidity risk and default risk. Th investments. Also, the value of the S currency exchange rates, changes political, economic or other developme Investments in debt and money ma | rket instruments, short selling and securities lending. es investment risks such as trading volumes, settlement ading volume may restrict liquidity in equity and debt cheme investments may be affected by interest rates, in law/policies of the government, taxation laws and nts. rket instruments are subject to interest rate risk, re- , spread risk, prepayment risk, etc. to the extent of the es. | | |
| Risk management strategies | | Risk management is going to be an integral part of the investment process. Effective risk management is critical to fund management for achieving financial soundness. Investments by the Scheme shall be made as per the investment objectives of the Scheme and provisions of the Regulations. | | | | | |
| Creation of segregated Portfolio | In case of credit event, the Scheme may create segregated portfolio of debt and money market instruments in terms of applicable SEBI regulations/ circulars. | | | | | | |
| Plans and Options | Axis ESG Equity Fur Axis ESG Equity Fur Each plan offers th Growth Income Distribut Facility) Regular Plan Regular Plan is avail Direct Plan Direct Plan is only fr | nd - Direct Plan | directly with the | Distributor. Direct Plan Direct Plan is only for investors who | r Plan Plan ns: //ithdrawal (IDCW) Option* s who purchase /subscribe Units in a Scheme through a purchase/ subscribe Units in a Scheme directly with the who route their investments through a Distributor. | | |
| | *The amounte can b | an distributed out of investors conital (Equalization Paper | va) which is part | | | | |
| | | be distributed out of investors capital (Equalization Reser | ve), which is part | or sale price that represents realized ga | uns. T | | |
| | Investors should als | learly specify his choice of plan. Investors subscribing ur o indicate "Direct" in the ARN column of the application for n will be processed under Direct Plan. The investors may n Broker Code mentioned by the investor | m. Further, if neith efer to the followin | er Distributor code is mentioned in the a | oplication form, nor Plan is indicated against the Scheme | | |
| | 11 | Notmentioned | | Notmentioned | Direct Plan | | |
| | 2 | Notmentioned | | Direct | Direct Plan | | |
| | 3 | Notmentioned | | Regular | Direct Plan | | |
| | 4 | Mentioned | | Direct | Direct Plan | | |
| | 5 | Direct Direct | | Notmentioned | Direct Plan Direct Plan | | |
| | 7 | Mentioned | | Regular Regular | Regular Plan | | |
| | 8 | Mentioned | | Not mentioned | Regular Plan | | |
| | In cases of wrong/ ir code within 30 caler transaction under Di Note: Direct Plan is f shall have a lower ex | valid/ incomplete ARN codes mentioned on the application ndar days of the receipt of the application form from the ir irect Plan from the date of application without any exit load. for investors who purchase /subscribe units in a Scheme d opense ratio excluding distribution expenses, commission, | ivestor/ distributor lirectly with the Fu etc and no commi | ation shall be processed under Regular : In case, the correct code is not receive nd and is not available for investors who ssion for distribution of units will be paid / | Plan. The AMC shall contact and obtain the correct ARN ad within 30 calendar days, the AMC shall reprocess the route their investments through a Distributor. Direct Plan charged under the Direct Plan. | | |
| | absence of such cle option / facility and th Default Option: Gro | on: The investor must clearly specify his choice of optic ear instruction, it will be assumed that the investor has on the application will be processed accordingly. The default op owth (between Growth and IDCW) DCW Re-investment facility (between IDCW Re-investor DCW Re-investment facility (between IDCW Re-investor) | Default Option: Growth (between Gro Default Facility: IDCW Reinvestment facility). | wth and IDCW). facility (between IDCW Reinvestment and IDCW Payout | | | |

| Applicable NAV | Please refer to point no. 1 or | n page no. 42 | | | | | |
|---|---|---|---|--|--|--|--|
| Minimum application | Purchase | Additional Purchase | Repurchase | Purchase | Additional Purchase | Repurchase | |
| and redemption amount/ number of | ₹ 5,000 and in multiples of ₹ 1 thereafter | t 100 and in multiples of ₹ 1 thereafter | There will be no minimum redemption criterion | ₹ 500 and in multiples of ₹ 1/- thereafter | ₹ 500 and in multiples of ₹ 1/- thereafter | There will be no minimum redemption criterion | |
| units | | ts through SIP/STP facilitie | | | ents through SIP/STP faciliti | | |
| Dispatch of repurchase | Within 10 working days from | the receipt of the redemption | request at the Authorized Centre of A | Axis Mutual Fund. | | | |
| (redemption) request | | | | | | | |
| Benchmark index | Nifty 100 ESG TRI | | | Nifty 100 Index TRI | | | |
| Dividend (IDCW) policy | Regulations'). The actual de | claration of IDCW and freque | V, subject to availability of distributa ency will inter-alia, depend on availab irance or guarantee to the unit holder | pility of distributable surplus ca | alculated in accordance with SE | Funds) Regulations 1996 ('SEBI (M EBI (MF) Regulations and the decision | |
| Name of Fund Manager | Mr. Jinesh Gopani and Mr. year) | Hitesh Das (for Foreign Sec | curities) (Tenure as Fund Manager: | 1 Mr. Ashish Naik (Tenure of | of Managing the Scheme as Fur | nd Manager - 2 years) | |
| Name of the Trustee | Axis Mutual Fund Trustee Li | | | | | | |
| Performance of the scheme (as on | Period | Axis ESG Equity Fund - Regular Plan [^] | Nifty 100 ESG TRI | Period | Axis Nifty 100 Index Fund - Regular Plan [^] | Nifty 100 Index TRI | |
| September 30, 2021) | 1 Year returns | 54.44% | 63.34% | 1 Year returns | 56.27% | 58.51% | |
| | Returns since Inception (February 12, 2020) | 35.94% | 33.08% | Returns since Inception (October 18, 2019) | 23.34% | 24.85% | |
| | Absolute returns for the last | | | Absolute returns for the la | et 2 financial vears | | |
| | FY 19-20* -9.80% | | s ESG Equity Fund - Regular Plan ly 100 ESG TRI (Benchmark) | 67.56 <u><u></u> FY 19-20*</u> | Axis Nifty 10 | 00 Index Fund - Regular Plan dex TRI (Benchmark) | |
| | Period | Axis ESG Equity Fund Fund - Direct Plan^ | Nifty 100 ESG TRI | Period | Axis Nifty 100 Ind | | |
| | 1 Year returns | 56.94% | 63.34% | 1 year | Fund - Direct Pla 57.63% | 58.51% | |
| | Returns since Inception | 38.15% | 33.08% | Returns since Inception | 24.35% | 24.85% | |
| | (February 12, 2020) Absolute returns for the last 2 | | | (October 18, 2019) Absolute returns for the la | | | |
| Portfolio holding (as on September 30, 2021) | Image: Pry 19-20* -9.60% Pry 20-21 APast performance may or may not be sustained in future. Returns greater than 1 year are compounded annualized (CAGR). Calculations are based on Growth Option NAVs. Different plans have different expense structure. Plan of the scheme for which performance is given is indicated above. "Inception date till linancial year end. Top 10 holdings - Issuer Wise (Equity Shares) Avenue Supermarts Limited: 10.35%; Bajaj Finance Limited: 9.90%; Nestle India Limited: 9.14%; Tata Consultancy Services Limited: 7.72%; Info Edge (India) Limited: 7.18%; Wipro Limited: 7.02%; HDFC Bank Limited: 6.76%; Housing Development Finance Corporation Ltd.: 6.12%; Kotak Mahindra Bank Limited: 4.16% & Torrent Power Limited: 3.47% Sector Allocation* FINANCIAL SERVICES: 30.52%; IT: 20.92%; CONSUMER SERVICES: 17.53%; CONSUMER GOODS: 10.15%; INDUSTRIAL MANUFACTURING: 6.19%; HEALTHCARE SERVICES: 3.86%; POWER: 3.47%; CONSUMER DISCRETIONARY: 2.77%; CONSUMER STAPLES: 1.71%; COMMUNICATION SERVICES: 1.26%; AUTOMOBILE: 0.89%; Cash & NCA: 0.85%; CHEMICALS: 0.06% & Grand Total: 100.0% | | | Top 10 holdings - Issue d: Reliance Industries Lim o Housing Development I Consultancy Services I Unilever Limited: 2.70%; Sector Allocation Sector Allocation 6; FINANCIAL SERVICES 11.25%; METALS: 4.51' PRODUCTS: 2.63%; CONSUMER SERVICES HEALTHCARE SERVICE 0.21%; Cash & NCA: -0.0 NCA: -0.0 | rt Wise (Equity Shares) itted: 9.10%; HDFC Bank Lim Finance Corporation Ltd.: 5.51 imited: 4.38%; Kotak Mahinu ITC Limited: 2.31% & Larsen & : 34.76%; IT: 15.18%; CONSI %; AUTOMOBILE: 4.17%; PH CONSTRUCTION: 2.59%; P S: 1.61%; SERVICES: 0.83%; F ES: 0.51%; CHEMICALS: 0.41 11% & Grand Total: 100.0% | future. Returns greater than 1 year on Growth Option NAVs. Different pla th performance is given is indicated abor wited: 7.80%; Infosys Limited: 6.94 3%; ICICI Bank Limited: 5.44%; Ti dra Bank Limited: 3.30%; Hindust Toubro Limited: 2.30% JMER GOODS: 11.95%; OIL & GA ARMA: 4.10%; CEMENT & CEMEI OWER: 2.48%; TELECOM: 2.10 "ERTILISERS & PESTICIDES: 0.72 %; INDUSTRIAL MANUFACTURIN | |
| Deutfalie tumousu retist | *Industry classification is do MSCI and Standard & Poor | 's for Foreign Equity. | lassification Standard (GICS) by | ^Triparty Repos / Mutual Fund units / Repo. Please visit www.axismf.com to obtain schemes latest monthly portfolio. 0.27 times (*Based on equity, equity derivatives and Fixed Income Securities transactions on | | | |
| Portfolio turnover ratio* (as on September 30, 2021) | | ty, Equity derivatives and Fixe argin FD/MFU/SLB are not co | ed Income securities transactions on Insidered.) | | duity, equity derivatives and Fix /Margin FD/MFU/SLB are not c | ed income Securities transactions or onsidered.) | |
| Expenses of the scheme | that there shall be no ent Exit load: If redeemed / switched-o For 10% of investment: N For remaining investmer If redeemed/switched ou The Investor is request investing. For any change in Load s Investor Service Centres Under the Scheme, the structure if it so deems | SEBI/IMD/CIR No. 4/ 16823 ry Load for all Mutual Fund So ut within 12 months - lii th: 1% t after 12 months from the date ed to check the prevailing L structure, AMC will issue an arc a. e AMC/ Trustee reserves the fit in the interest of smooth a e reserves the right to introdu | te of allotment: Nil .oad structure of the Scheme befo ddendum and display it on the websit e right to change / modify the Loa and efficient functioning of the Mutu uce / modify the Load depending upo | The above mentioned load structure shall be equally applicable to the special products su as SIP, STP, SWP, etc. offered by the AMC. Units issued on reinvestment of IDCW shall n be subject to Load. No load shall be levied on switches between options and sub-options the Scheme. For switches between the Plans i.e. between Regular and Direct Plan or vice versa, load v be charged by the scheme. Exit load charged to the investors will be credited back to the scheme net of GST. TI Investor is requested to check the prevailing Load structure of the Scheme before investing SEBI vide its circular no. SEBI/IMD/CIR No. 4/ 168230/09 dated June 30, 2009 has decid that there shall be no entry Load for all Mutual Fund Schemes. The upfront commission investment made by the investor, if any, shall be paid to the ARN Holder (AMFI register Distributor) directly by the investor, fany, shall be paid to the ARN Holder (AMFI register including service rendered by the ARN Holder. The Tustee/AMC reserves the right to change / modify the Load structure from | | | |

| with the Regulations prevailing from time to time. The mutual fund would update the current expense ratios on its website (www.axismf.com) at least three working days prior to the effective date of the change. Investors can refer Total Expense Ratio of Mutual Fund Schemes' section on https://www.axismf.com/total-expense-ratio for Total Expense Ratio (TER) details. The mutual fund would update the current expense ratios on its website (www.axismf.com/total-expense-ratio of Mutual Fund Schemes' section on https://www.axismf.com/total-expense-ratio for Total Expense Ratio (TER) details. Actual expense for the financial year ended March 31, 2021 (audited): Regular Plan: 2.28%**, Direct Plan: 0.43%** Actual expense for the financial year ended March 31, 2021 (audited): Regular Plan: 1.00%**, Direct Plan: 0.15%** | Expenses of the scheme (Contd.) | (ii) Recurring expenses The recurring expenses under the Scheme (including the Investment Management and Advisory Fees) shall be as per the limits prescribed under the SEBI (MF) Regulations. These are as follows: On the first ₹000 corres of the daily net assets - 2.25%, On the next ₹250 corres of the daily net assets - 1.76%, On the next ₹40000 corres of the daily net assets - 1.60%, On the next ₹40000 corres of the daily net assets - 1.60%, On the next ₹40000 corres of the daily net assets - 1.60%, On the next ₹40,000 corres of the daily net assets - 1.60%, On the next ₹40,000 corres of the daily net assets or part thereof. On the balance of the assets - 1.05%. The AMC will charge the Scheme such actual expenses incurred, subject to the statutory limit prescribers of the assets - 1.05%. The AMC will charge the Scheme such actual expenses incurred, subject to the statutory limit prescriber of the assets - 1.05%. The feas and expenses charged in a Direct Plan (in percentage terms) under various heads including the investment and advisory fee shall not exceed the fees and expenses charged under Direct Plans. The expenses towards investment Management and Advisory Fees under Regulation 52(4) of SEBI (MF) Regulations can be apportioned under various expense heads/ sub heads or fearming expenses mentioned under Regulation 52(4) of SEBI (MF) Regulations can be apportioned under various expense heads/ sub heads or levenses ratio for expense heads entioned under Regulation 52(4) of SEBI (MF) Regulations can be apportioned under various expense heads/ sub heads or levenses inder depenses under Regulation 52(2) and (4) sepecitively. Limite the advisory fees and/or towards other expense heads as stated above. These estimates have been made in good faith as per the infomation available to the investment Manager and are subject to c | (ii) Recurring expenses The AMC has estimated that up to 1.00% of the daily net assets of the Scheme will be charged to the Scheme as expenses. For the actual current expenses being charged, the Investor should refer to the website of the AMC. All fees and expenses charged in a Direct Plan (in percentage terms) under various heads including the investment and advisory fees shall not exceed the fees and expenses charged under such heads in other fhan Direct Plan. Direct Plan shall have a lower expense ratio of eses under Regulation 52 (2) and the various sub-heads of recurring expenses metioned under Regulation 52 (2) and the various sub-heads of recurring expenses metioned under systems beads without any sub limit, as permitted under the applicable regulations. Trus, there shall be no interest submitts within the expenser stall for recenses heads metinoed under Regulation 52 (2) and (4) respectively. Further, the additional expenses under Regulation 52 (2) and (4) respectively. Further, the additional expenses under Regulation 52 (2) and (4) respectively. Further, the additional expenses under Regulation 52 (2) and (4) respectively. Further, the additional expenses under Regulation 52 (2) and (4) respectively. Further, the additional expenses under Regulation 52 (2) and (4) respectively. Further, the additional expenses under Regulations. Thes AMC will charge the Scheme such actual expenses incurred, subject to prevailing Regulations. The AMC will charge the Scheme such actual expenses incurred, subject to the statutory limit prescribed in the Regulations. The total expenses of the Scheme including the investment and advisory fees shall not exceed the limit stated in Regulation 52(6) of the SEBI (MF) Regulations. The addition to the limits as prescribed in Regulation 52(6) of the State scheme anady: Additional expenses of the Scheme including the investment and advisory fees shall not exceed the limit state i |
|--|---------------------------------|--|--|
| Expenses over and above the prescribed limit shall be charged / borne in accordance with the Regulations prevailing from time to time. The mutual fund would update the current expense ratios on its website (www.axismf.com) atleast three working days prior to the effective date of the change. Investors can refer 'Total Expense Ratio of Mutual Fund Schemes' section on https://www.axismf.com/total-expense- ratio for Total Expense Ratio (TER) details. Actual expense for the financial year ended March 31, 2021 (audited): Regular Plan: Actual expense for the financial year ended March 31, 2021 (audited): Regular Plan: | | assets of respective Scheme. C. AMC fees charged by Axis AMC to the Scheme will be within the Total Expense Limit as | Total Expense Limit as prescribed by SEBI (MF) Regulations, with no sub-limit on said AMC fees. |
| The mutual fund would update the current expense ratios on its website (www.axismf.com) atleast three working days prior to the effective date of the change. Investors can refer 'Total Expense Ratio of Mutual Fund Schemes' section on https://www.axismf.com/total-expense-ratio for Total Expense Ratio (TER) details. least three working days prior to the effective date of the change. Investors can refer 'Total Expense Ratio of Mutual Fund Schemes' section on https://www.axismf.com/total-expense-ratio for Total Expense Ratio (TER) details. Actual expense for the financial year ended March 31, 2021 (audited): Regular Plan: Actual expense for the financial year ended March 31, 2021 (audited): Regular Plan: | | Expenses over and above the prescribed limit shall be charged / borne in accordance | with the Regulations prevailing from time to time. |
| | | The mutual fund would update the current expense ratios on its website (www.axismf.com) atleast three working days prior to the effective date of the change. Investors can refer 'Total Expense Ratio of Mutual Fund Schemes' section on https://www.axismf.com/total-expense- | least three working days prior to the effective date of the change. Investors can refer 'Total Expense Ratio of Mutual Fund Schemes' section on https://www.axismf.com/total-expense- |
| | | | |
| Transaction charges Please refer to point no. 2 on page no. 42 | | | |

| Low to develop the second seco | uity and equity related tis suitable for them. RISKOMETER High Very High TER CAP 100 TRI | Low to Moderate Moderate Low to Low Very High | uctis suitable for them. KRISKOMETER Medicately Heigh Very Heigh Very Heigh SOO TRI ed stocks facing special ing special situations like gy led disruption and e of the Scheme will be red prices on all Business is days from the date of |
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| Please refer to point no. 5 on page no. 43 Please refer to point no. 6 on page no. 43 AXIS SMALL CAP FUND (An open ended equity scheme predominantly stocks) Benchmark: NIFTY SMALLCAP 100 TRI This product is suitable for investors who are seeking*: Capital appreciation over long term. Investors should consult their financial advisers if in doubt about whether the product about about whether the product about whether the product about the at very high risk. To generate long-term capital appreciation from a diversified portfolio of equity related instruments of small cap companies Under the normal circumstances, the asset allocation pattern will be: | uity and equity related tis suitable for them. RISKOMETER High Very High TER CAP 100 TRI | situations theme) Benchmark: NIFTY 500 TRI This product is suitable for investors who are seeking*: • Capital appreciation over long term. • Investment in stocks based on special situations theme. *Investors should consult their financial advisers if in doubt about whether the prod PRODUCT RISKOMETER PRODUCT RISKOMETER Investors understand that their principal Investors understand that their principal will be at very high risk To generate long-term capital appreciation by investing in mis-pric situations. The mis-pricing of stocks can occur due to companies fac regulatory/policy changes, management restructuring, technolo innovation or any temporary challenges in the operating environment. However, there can be no assurance that the investment objective achieved. The Scheme offers Units for Subscription and Redemption at NAV base Days on an ongoing basis, commencing not later than 5 busines allotment. Under normal circumstances the AMC shall dispatch the ree 10 business days from date of receipt of request from the Unit holder. | uctis suitable for them. KRISKOMETER Medicately Heigh Very Heigh Very Heigh SOO TRI ed stocks facing special ing special situations like gy led disruption and e of the Scheme will be red prices on all Business is days from the date of |
| Please refer to point no. 6 on page no. 43 AXIS SMALL CAP FUND (An open ended equity scheme predominantly stocks) Benchmark: NIFTY SMALLCAP 100 TRI This productis suitable for investors who are seeking*: Capital appreciation over long term. Investment in a diversified portfolio predominantly consisting of equits instruments of small cap companies. Threedows and the financial advisers if in doubt about whether the product whether the product is suitable for investors understand that their principal Investors understand that their principal Investors understand that their principal To generate long-term capital appreciation from a diversified portfolio of equity related instruments of small cap companies. Under the normal circumstances, the asset allocation pattern will be: | uity and equity related tis suitable for them. RISKOMETER High Very High TER CAP 100 TRI | situations theme) Benchmark: NIFTY 500 TRI This product is suitable for investors who are seeking*: • Capital appreciation over long term. • Investment in stocks based on special situations theme. *Investors should consult their financial advisers if in doubt about whether the prod PRODUCT RISKOMETER PRODUCT RISKOMETER Investors understand that their principal Investors understand that their principal will be at very high risk To generate long-term capital appreciation by investing in mis-pric situations. The mis-pricing of stocks can occur due to companies fac regulatory/policy changes, management restructuring, technolo innovation or any temporary challenges in the operating environment. However, there can be no assurance that the investment objective achieved. The Scheme offers Units for Subscription and Redemption at NAV base Days on an ongoing basis, commencing not later than 5 busines allotment. Under normal circumstances the AMC shall dispatch the ree 10 business days from date of receipt of request from the Unit holder. | uctis suitable for them. KRISKOMETER Medicately Heigh Very Heigh Very Heigh SOO TRI ed stocks facing special ing special situations like gy led disruption and e of the Scheme will be red prices on all Business is days from the date of |
| AXIS SMALL CAP FUND (An open ended equity scheme predominant stocks) Benchmark: NIFTY SMALLCAP 100 TRI This product is suitable for investors who are seeking*: • Capital appreciation over long term. • Investment in a diversified portfolio predominantly consisting of equits in the investor should consult their financial advisers if in doubt about whether the product PRODUCT RISKOMETER Investors understand that their principal will be at very high risk To generate long-term capital appreciation from a diversified portfolio of equity related instruments of small cap companies. | uity and equity related tis suitable for them. RISKOMETER High Very High TER CAP 100 TRI | situations theme) Benchmark: NIFTY 500 TRI This product is suitable for investors who are seeking*: • Capital appreciation over long term. • Investment in stocks based on special situations theme. *Investors should consult their financial advisers if in doubt about whether the prod PRODUCT RISKOMETER PRODUCT RISKOMETER Investors understand that their principal Investors understand that their principal will be at very high risk To generate long-term capital appreciation by investing in mis-pric situations. The mis-pricing of stocks can occur due to companies fac regulatory/policy changes, management restructuring, technolo innovation or any temporary challenges in the operating environment. However, there can be no assurance that the investment objective achieved. The Scheme offers Units for Subscription and Redemption at NAV base Days on an ongoing basis, commencing not later than 5 busines allotment. Under normal circumstances the AMC shall dispatch the ree 10 business days from date of receipt of request from the Unit holder. | uctis suitable for them. KRISKOMETER Medicately Heigh Very Heigh Very Heigh SOO TRI ed stocks facing special ing special situations like gy led disruption and e of the Scheme will be red prices on all Business is days from the date of |
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| equity related instruments of small cap companies. Under the normal circumstances, the asset allocation pattern will be: | predominantly equity & | situations. The mis-pricing of stocks can occur due to companies fac regulatory/policy changes, management restructuring, technolo innovation or any temporary challenges in the operating environment. However, there can be no assurance that the investment objective achieved. The Scheme offers Units for Subscription and Redemption at NAV bas Days on an ongoing basis, commencing not later than 5 business allotment. Under normal circumstances the AMC shall dispatch the red 10 business days from date of receipt of request from the Unit holder. | ng special situations like gy led disruption and e of the Scheme will be ed prices on all Business s days from the date of |
| | | Days on an ongoing basis, commencing not later than 5 busines allotment. Under normal circumstances the AMC shall dispatch the rec 10 business days from date of receipt of request from the Unit holder. | s days from the date of |
| | | Under the normal circumstances the asset allocation pattern will be: | |
| Type of Instruments | Normal Allocation (% of net assets) | Type of Instruments | Normal Allocation (% of net assets) |
| Equity and Equity related instruments of small cap companies Equity and Equity Related Instruments of non-small cap Companies | 65-100 0-35 | Equity & Equity related instruments of special situations theme*#\$ Other Equity and Equity related instruments#\$ | 80 - 100 0 - 20 |
| Debt* & Money Market Instruments | 0-35 | Debt & Money Market Instruments\$ | 0 - 20 |
| Units issued by REITs & InvITs | 0 - 10 | Units issued by REITs & InvITs | 0 - 10 |
| exceed 35% of the net assets of the Scheme. Including derivatives instruments to the extent of 50% of the Net Assets Circular no. DNPD/Cir 29/2005 dated September 14, 2005 and SEBI (30/2006 dated January 20, 2006 and SEBI circular No. SEBI/DN September 22, 2006 and SEBI Circular No. Cir/ IMD/ DF/ 11/ 2010 dated circular no. SEBI/HO/IMD/DF2/ CIR/P/2017/13 dated February 20, 201 SEBI/HO/IMD/DF2/CIR/P/2017/109 dated September 27, 2017. The Scheme may also use derivatives for such purposes as ma Regulations, including for the purpose of hedging and portfolio bal opportunities available and subject to guidelines issued by SEBI from tim may also use fixed income derivative instruments subject to the guideling SEBI and RBI and for such purposes as maybe permitted from time to tim The cumulative gross exposure through equity, debt, units issued b derivative positions should not exceed 100% of the net assets of the S with SEBI Cir/IMD/DF/11/2010 dated August 18, 2010. Foreign Securities The Scheme may seek investment opportunities in foreign securities in | may use derivatives for such purposes as maybe permitted by the Reg purpose of hedging and portfolio balancing, based on the opportunitie guidelines issued by SEBI from time to time. The Scheme may also us instruments subject to the guidelines as maybe issued by SEBI and R as maybe permitted from time to time. Derivative instruments inclu Interest Rate Forwards, Interest Rate Futures, Forward Rate Agreemu options, Stock & Index futures/stock futures and any such othe permitted by SEBI/RBI from time to time. The cumulative gross exposure through equity, debt, units issued derivative position should not exceed 100% of the net assets of the Sc SEBI circular no. Cir/MD/DF/11/2010 dated August 18, 2010. Securitized debt : Investment in Securitized debt (excluding for undertaken, would not exceed 10% of the net assets of the Scheme. Investment in Foreign Securities \$: The Scheme may seek inv foreign securities including ADRs / GDRs / Foreign equity and debt s (MF) Regulations. Such investment shall not exceed 35% of the net as Repo in Corporate debt securities : The Scheme may underta corporate debt securities in accordance with the directions issued by F time. Such investment shall be made subject to the guidelines which | ulations, including for the s available and subject to e fixed income derivative BI and for such purposes de Interest Rate Swaps, ents, stock options, Index r derivative instruments by REITs & InvITs and heme in accordance with eign securitized debt), if estment opportunities in ecurities subject to SEBI sets of the Scheme. kke repo transactions in RB and SEBI from time to may be prescribed by the | |
| The Scheme may undertake repo transactions in corporate debt securit the directions issued by RBI and SEBI from time to time. Such investmer to the guidelines which may be prescribed by the Board of Directors of the Company and Trustee Company. Stock Lending The Scheme shall adhere to the following limits should it engage in Stock 1. Not more than 25% of the net assets of the Scheme can generally Lending. Not more than 5% of the net assets of the Scheme can generally Lending to any single counter party (as may be applicable). Short Selling The Scheme may engage in Short Selling of securities in accordance relating to Short Selling and securities lending and borrowing specified bis Short Term Deposits Pending deployment of the funds in securities in terms of investment of the AMC may park the funds of the Scheme in short term deposits of the Scheme in short term | t shall be made subject he Asset Management Lending. / be deployed in Stock be deployed in Stock ce with the framework y SEBI. jective of the Scheme, Scheduled Commercial | Board of Directors of the Asset Management Company and Trustee Co. Stock Lending by the Scheme : The Scheme shall adhere to the engage in Stock Lending: Not more than 25% of the net assets of the Scheme can genera Lending. Short Selling by the Scheme : The Scheme may engage in sho accordance with the framework relating to short selling and securitie specified by SEBI. Other Limits : The investment by the Scheme in the following instr 10% of the debt portfolio of the scheme and the group exposure in ste exceed 5% of the debt portfolio of the scheme: a. Unsupported rating of debt instruments (i.e. without factoring-in below investment grade and b. Supported rating of debt instruments (i.e. after factoring-in credi investment grade. These limits shall not be applicable on investments in securitized debt | mpany. following limits should it ally be deployed in Stock rt selling of securities in is lending and borrowing uments shall not exceed uch instruments shall not credit enhancements) is a enhancement) is above t instruments, as defined |
| | Units issued by REITs & InVITs "Investment in Securitized debt (excluding foreign securitized debt), if exceed 35% of the net assets of the Scheme. Including derivatives instruments to the extent of 50% of the Net Assets Circular no. DNPD/Cir 29/2005 dated September 14, 2005 and SEBI Circular No. SEBI/DN September 22, 2006 and SEBI Circular No. Cir/IMD/DF/ 11/2010 dated circular no. SEBI/HO/IMD/DF2/ CIR/P/2017/13 dated February 20, 201 SEBI/HO/IMD/DF2/CIR/P/2017/130 dated September 27, 2017. The Scheme may also use derivatives for such purposes as ma Regulations, including for the purpose of hedging and portfolio bal opportunities available and subject to guidelines issued by SEBI from tim may also use fixed income derivative instruments subject to the guidelin SEBI and RBI and for such purposes as maybe permitted from time to tim The cumulative gross exposure through equity, debt, units issued b derivative positions should not exceed 100% of the net assets of the S with SEBI Cir/IMD/DF/11/2010 dated August 18, 2010. Foreign Securities The Scheme may seek investment opportunities in foreign securities in Foreign equity and debt securities The Scheme may undertake repo transactions in corporate debt securit the directions issued by RBI and SEBI from time to time. Such investment to the guidelines which may be prescribed by the Board of Directors of t Company and Trustee Company. Stock Lending The Scheme shall adhere to the following limits should it engage in Stock 1. Not more than 25% of the net assets of the Scheme can generally Lending. 2. Not more than 5% of the net assets of the Scheme can generally Lending to any single counter party (as may be applicable). Short Selling The Scheme may en | Units issued by REITs & InvITs 0 - 10 *Investment in Securitized debt (excluding foreign securitized debt), if undertaken, would not exceed 35% of the net assets of the Scheme. Including derivatives instruments to the extent of 50% of the Net Assets as permitted vide SEBI Circular no. DNPD/Cir 29/2005 dated September 14, 2005 and SEBI Circular No. DNPD/Cir-31/2006 dated September 22, 2006 and SEBI Circular No. CI/I IMD/ DF111/2010 dated August 18, 2010. SEBI circular no. SEBI/HO/IMD/DF2/ CIR/P/2017/13 dated February 20, 2017 and SEBI circular no. SEBI/HO/IMD/DF2/ CIR/P/2017/109 dated September 27, 2017. The Scheme may also use derivatives for such purposes as maybe permitted by the Regulations, including for the purpose of hedging and portfolio balancing, based on the opportunities available and subject to guidelines issued by SEBI from time to time. The Scheme may also use fixed income derivative instruments subject to the guidelines as maybe issued by SEBI and RBI and for such purposes as maybe permitted from time to time. The cumulative gross exposure through equity, debt, units issued by REITs & InvITs and derivative positions should not exceed 100% of the net assets of the Scheme in accordance with SEBI Cir/IMD/DF/11/2010 dated August 18, 2010. Foreign equity and debt securities SEBI from time to time. Such Investment shall not exceed 50% of the net assets of the Scheme. Repo in Corporate debt securities SEBI from time to time. Such investment shall be made subject to the guidelines which may be prescribed by the Board of Directors of the Asset Management Company and Trustee Company. Stock Lending 1. Not more than 25% of the net assets of the Scheme can | Units issued by REITs & InvITs 0-10 Units issued by REITs & InvITs Investment in Securitized debt (excluding foreign securitized debt), if undertaken, would not Derivatives in struments in derivatives instruments bub the the concertaints is a permitted vide SEBI from Itme to the eta sasets of the Scheme. Circular no. DNPO/Cir 2/2006 dated September 14, 2005 and SEBI Circular No. SCBI MONIDOF / 11/2010 dated Fotury 20, 2017 and SEBI circular no. a maybe permitted from time to time. The Scheme may also use derivative instruments induinterest Rate Forwards, Interest Rate Forwards, In |

| Asset allocation pattern of the scheme (Contd.) | | deposits of the Scheduled Commercial Banks, subject to the guidelines issued by SEBI from time to time. The Scheme shall not invest in Credit Default Swaps. The Scheme retains the flexibility to invest across all the securities in the equity, debt, money markets instruments, units issued by REITs & InvITs and mutual fund units. |
|---|--|--|
| Differentiation with existing open ended equity schemes (as on September 30, 2021) | For comparison of Existing Schemes, Investment Objective, Asset Under Management (AUM) and number of folios, please refer to point no. 7A on page 43 to 46. | For comparison of Existing Schemes, Investment Objective, Asset Under Management (AUM) and number of folios, please refer to point no. 7A on page 43 to 46. |
| Investment strategy | The scheme intends to generate long term capital appreciation from a diversified portfolio of predominantly equity & equity related instruments of small cap companies. Small cap companies of tody are likely to become the large caps in future. They are usually in growing industries with nimble operations, which can adapt swiftly to changing market conditions & seize opportunities. The portfolio will be built utilising a bottom-up stock selection process, focusing on appreciation potential of individual stocks from a fundamental perspective. The AMC employs a "Fair value" based research process to analyse the appreciation potential of acts tocks is carefully selected to include companies having a robust business models and enjoying sustainable competitive advantages as compared to their competitors. The universe of stocks is carefully storng growth companies & take advantage of their future appreciation. The scheme by utilising a holitor icid knanagement strategy will medeavour to manage risks associated with investing in equity markets. The scheme thas identified the following risks and designed risk management strategies, which are embedded in the investment process to manage these risks. 1. Quality Risk - Risk of investing for a company iii. Liquidity Risk - Risk of investing for a company iii. Liquidity Risk - Risk of investing for a company iii. Liquidity Risk - Nigh Impact cost of entry and exit iv. Volatility Risk - Violatility in price due to company or portfolio specific factors v. Event Risk - Price risk due to a company / sector specific or market event iii. Violatility Risk - Price risk due to a company / sector specific or market event iii. Liquidity Risk - Price risk due to a company / sector specific or market event iii. Some and the risk results and the risk results are appreciation in the risk results are appreciated in the risk resul | The scheme's investment objective is to generate long-term capital appreciation by investing in stocks facing special situations. The Special situations can occur due to companies facing / undergoing issues like technology led disruption and innovation, regulatory/job(c) changes, management restructuring, or any prolonged cyclical challenges in the operating environment. The effect of these special situations on the companies' business model could be medium to long term in nature. Financial markets are inefficient and often slow to recognize the impact of special situations such as genuice disruptive innovation or are relucant to accept the impact of special situations such as genuice disruptive innovation or are relucant to accept the impact of special situations such as genuice disruptive innovation or are relucant to accept the impact of special situations in with dramatic changes in the regulatory environment (GST, RERA, etc.). Further disruptive and the global tech glast zet innovatize and adaptors in and adoption rates further. The reward of disruptive growth is not limited only to the disruptors – it creates opportunity investors and the global tech glast. This has actal/zeal innovation and adoption rates further. The further value chan, Apart from disruptors beneficianes could in cluck enablers (companies that are facilitating the change) and adaptors (incumbents who positive) respond to the changed reality). Met funding from disruptive change (disruptors, enablers, adaptors) on account of technology, regulatory, or any other factors or Witnessing a significant turnorund potential from a prolonged cyclical slowdown. Such opportunities can be available at company level, industry/sector level or market level. The special situations which cover some of the disruptive changes are given below: 1) Shift from unorganized <i>D</i> vares a host of sectors, reforms such as GST are pushing businesses to gain market there from the unorganize |
| Risk profile of the scheme | Mutual Fund units involve investment risks including the possible loss of principal. Scheme specific Risk Factors are summarized below: Small cap stocks are more volatile & less liquid than large cap companies. Investors therefore should assume that illiquidity risks are higher in this fund than in a normally diversified equity fund. Thus, relative to larger, more liquid stocks, investing in small cap stocks, involves potentially greater volatility and risk. The scheme carries risks associated with investing in equity and equity related securities, derivatives, foreign securities, debt securities, securitized debt, debt instruments having credit | Mutual Fund units involve investment risks including the possible loss of principal. Scheme specific Risk Factors are summarized below: The scheme carries risks associated with investing in equities, fixed income securities, debt and money market securities, securitized debt, derivatives, foreign securities, repo transactions in Corporate Bonds, REITs, InvITs etc. Investment in mutual fund units involves investment risks such as trading volumes, settlement risk, liquidity risk and default risk. Trading volume may restrict liquidity. The AMC may choose to invest in unlisted securities which may increase the risk on the portfolio. Also, the value of the |

| Risk profile of the scheme (Contd.) | transaction in Corpora Investment in Mutual risk, liquidity risk and investments. The AM on the portfolio. Also, currency exchange a political, economic or- Investments in debt reinvestment risk, bas | ttion in Corporate Bond. nent in Mutual Fund units involves investment risks such as trading volumes, settlement juidity risk and default risk. Trading volume may restrict liquidity in equity and debt nents. The AMC may choose to invest in unlisted securities which may increase the risk p | | | | laws and political, economic d money market instrumen | ts are subject to interest rate risk, re- prepayment risk, creation of segregated |
|--|--|---|--|---|---|--|--|
| Risk management strategies | associated with invest The risk control pro measurement tools. designed risk manage manage such risks. | ting in equity markets. cess involves identifying The Fund has identified gement strategies, which | ment strategy, will endeavor f g & measuring the risk throu d following risks of investing are embedded in the invest | igh various risk in equities and ment process to | Risk management is go management is critical to the Scheme shall be may the Regulations. | ing to be an integral part o fund management for achier de as per the investment ob | f the investment process. Effective risk ving financial soundness. Investments by jectives of the Scheme and provisions of |
| | Risk & description s Quality risk - Risk of i | | Risk mitigants/ Managemer Investment universe carefully | | - | | |
| | unsustainable/ weak | 0 | only include high quality bus | | | | |
| | | verpaying for a company | "Fair value" based investmen | t approach | - | | |
| | | | supported by comprehensive | | | | |
| | Concentration risk | | Invest across the industries/ | | - | | |
| | Liquidity risk - High in | npact costs | Control portfolio liquidity at po construction stage | ortfolio | | | |
| | | ility due to company or | Control risk class/ sector/ sto | ck exposures to | - | | |
| | portfolio specific facto | | control overall factors portfol | , | - | | |
| | Event risk - Price risk sector specific event | due to company or | Understand businesses to re- effectively and speedily to ev derivatives: | | | | |
| | Hedge portfolios, if required, in case of predictable events with uncertain outcomes | | | | | | |
| Creation of Segregated Portfolio | - | | | | In case of credit event, th instruments in terms of ap | e Scheme may create segre plicable SEBI regulations/ ci | gated portfolio of debt and money market rculars. |
| | Image: New Scheme offers the following Plans: 1. Axis Small Cap Fund - Regular Plan 2. Axis Small Cap Fund - Direct Plan Each plan offers the following options: a) Growth Option b) Income Distribution cum Capital Withdrawal (IDCW) Option* 1. IDCW Payout Facility 2. IDCW Reinvestment Facility 2. IDCW Reinvestment Facility | | | | Axis Special Situations Fund - Regular Plan Axis Special Situations Fund - Direct Plan Each plan offers the following option: • Growth • Income Distribution cum Capital Withdrawal (IDCW) Option* (Payout and Re investment Facility) Regular Plan: Regular Plan is available for all type of investors investing through a Distributor. Direct Plan Direct Plan is only for investors who purchase /subscribe Units in a Scheme directly with th Fund and is not available for investors who route their investments through a Distributor. | | |
| | *The emounte can be | diatributed out of invest | ors capital (Equalization Reser | vo) which is part | | | |
| | Default plan: The inv | vestor must clearly speci stors should also indicate | fy his choice of plan. Investors "Direct" in the ARN column of th | er Direct Plan of a Scheme n. The investors may refer to | will have to indicate "Direc the following table for applie | t Plan" against the Scheme name in the cability of Direct Plan/ Regular Plan under | |
| | Scenario Broker Code mentioned by the investor Plan | | | | nentioned by the investor | D | efault Plan to be captured |
| | 1 | Not mentioned | | | Notmentioned | | Direct Plan |
| | 2 | Notmentioned | | | Direct | | Direct Plan |
| | 3 | Notmentioned | | | Regular | | Direct Plan |
| | 4 5 | | Mentioned | | Direct Not mentioned | | Direct Plan Direct Plan |
| | 6 | | Direct | | | | Direct Plan |
| | 7 | | ioned | | Regular Direct Plan Regular Regular Plan | | |
| | 8 | Ment | | | Not mentioned Regular Plan | | |
| | code within 30 calend | lar days of the receipt of t | | | ation shall be processed ur | | shall contact and obtain the correct ARN endar days, the AMC shall reprocess the |
| | Default Option / facility Growth (between Growth and IDCW) Default Facility: IDCW Reinvestment facility (between IDCW Reinvestment and IDCW Payout facility) | | | | absence of such clear in option / facility and the ap Default Option : Growth | struction, it will be assumed blication will be processed ac (between Growth and IDCW) | ecify his choice of option/ facility. In the d that the investor has opted for 'default' ccordingly. The default option / facility is:) tween IDCW Re-investment and IDCW |
| Applicable NAV | Please refer to point r | no. 1 on page no. 42 | | | | | |
| Minimum application and redemption | Purchase | Additional Purcha | se Repurchas | se | Purchase | Additional Purchase | Repurchase |
| amount/ number of units | ₹ 5,000 and in multip of ₹ 1 thereafter | les ₹ 100 and in multip of ₹ 1 thereafter | | | ₹ 5,000 and in multiples of ₹ 1 thereafter | ₹ 100 and in multiples of ₹ 1 thereafter | There will be no minimum redemption criterion |
| | Minimum application amount through SIP - ₹ 500 per month. Minimum number of installments - 6 (Monthly). Fresh/ additional subscriptions/switch-ins will be allowed/ accepted only for an amount less than or equal to ₹ 5 Lakhs per investor per day (across all folios), till further notice, from the effective date; Fresh/ new registrations through Systematic Investment Plan ("SIP") or Systematic Transfer Plan ("STP") or such other special product will be allowed/ accepted wherein the value of per installment would be less than or equal to ₹ 5 Lakhs per investor (across all folios) till further notice, from the effective date. | | | | For details of investmen | U/transaction through SIP/S | TP/SWP facility please refer to the SID. |

| Minimum application and redemption | well as special products acro | oss all folios per investor per day/ | able cumulatively on lump sum as per installment. Further, the limits requests received for switches | | | |
|---|--|---|--|--|--|--|
| amount/ number of units (Contd.) | between Plans/ Options with | | | | | |
| Dispatch of repurchase (redemption) request | Within 10 working days from Axis Mutual Fund. | n the receipt of the redemption re | equest at the Authorized Centre of | | shall be dispatched to the unit holde n request at the Authorised Center of | |
| Benchmark index | Nifty Smallcap 100 TRI | | | NIFTY 500 TRI | | |
| Dividend (IDCW) policy | Regulations'). The actual de | claration of IDCW and frequency | | ty of distributable surplus calc | lance with the SEBI (Mutual Funds) ulated in accordance with SEBI (MF it will be paid regularly. | |
| Name of Fund Manager | Securities) [Tenure as Fund I | Manager - Less than 1 year] | and Mr. Hitesh Das (for Foreign | Mr. Ashish Naik and Mr. Hi than 1 year) | itesh Das (for foreign securities) (Te | enure as Fund Manager - Less |
| Name of the Trustee | Axis Mutual Fund Trustee Lir | | | | | |
| Performance of the scheme (as on | Period | Axis Small Cap Fund - Regular Plan ^ | Nifty Smallcap 100 TRI (Benchmark) | Period | Axis Special Situations Fund-Regular Plan [^] | Nifty 500 TRI |
| September 30, 2021) | 1 Year returns 3 Year returns | 75.86% 31.32% | 88.75% 22.12% | Returns since Inception (December 24, 2020) | 30.20% | 34.06% |
| | 5 Year returns | 21.30% | 13.24% | Absolute returns for the las | st 1 financial vear. | |
| | Returns since Inception (November 29, 2013) | 25.23% | 18.20% | | | |
| | ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ | 05% 12.52% FY 18-19 0.73% - FY 17-18 -13.52% -12 | 127.45% 80.92% FY 19-20 2.41% FY 20-21 -45.30% | ی بی 2020- | 7.92% Axis Specia Fund - Reg NIFTY 500 (Benchmark 2021* | ular Plan TRI |
| | Period | Axis Small Cap Fund - | Nifty Smallcap | Period | Axis Special Situations | Nifty 500 TRI |
| | 1 Year returns | Direct Plan^ 78.68% | 100 TRI (Benchmark) 88.75% | Returns since Inception | Fund - Direct Plan [^] 31.80% | 34.06% |
| | 3 Year returns | 33.26% | 22.12% | (December 24, 2020) | | 34.00% |
| | 5 Year returns Returns since Inception (November 29, 2013) Absolute returns for the last 5 | 22.91% 26.80% | 13.24% 18.20% 127.45% | Absolute returns for the last 1 financial year. | | |
| | 27.72% 44.12% FY 16-17 | | FY 19-20 -11.15% FY 20-21 -45.30% | 202 202 | Fund - D | |
| | compounded annualized (CA | may not be sustained in future GR). Calculations are based on G | e. Returns greater than 1 year are rowth Option NAVs. Different plans formance is given is indicated above. | Calculations are based on Growth Option NAVs. Different plans have different expense | | |
| Portfolio holding (as on September 30, 2021) | Top 10 holdings - Issuer Wi Tata Eksi Limited: 5.59%; 4.09%; JK Lakshmi Cemenl Hrudayalaya Limited: 3.41%; 3.01%; PNC Infratech Limited Sector Allocation CHEMICALS: 18.31%; MANUFACTURING: 9.70% Cash & NCA: 7.06%; HEALT | ise (Equity Shares) Galaxy Surfactants Limited: 5.3 t Limited: 3.62%; CCL Products Fine Organic Industries Limited: 3 d: 2.84% & Krishna Institute Of Merc IT: 15.24%; CONSTRUCT ; CONSUMER GOODS: 9.57%; THCARE SERVICES: 6.76%; CE | 0%; Brigade Enterprises Limited: (India) Limited: 3.42%; Narayana 8.16%; Chemplast Sanmar Limited: | Top 10 holdings - Issuer W Bajaj Finance Limited: 6.53 Supermarts Limited: 4.02% Limited: 2.73%; Info Edge (2.30% & Indian Railway Ca Sector Allocation*: FINANCIAL SERVICES: 2 MANUFACTURING: 7.719 SERVICES: 4.21%; CONS PHARMA: 3.20%; CHEMIC 2.27%; POWER: 1.86%; 1.41%; Cash & NCA: CONSUMER STAPLES: 0. | | C Bank Limited: 4.38%; Avenue %; Honeywell Automation India nited: 2.63%; Siemens Limited RVICES: 9.97%; INDUSTRIAI GAS: 4.29%; HEALTHCARE NICATION SERVICES: 3.45% TIONARY: 2.41%; SERVICES S: 1.50%; CONSTRUCTION T & PUBLICATION: 1.09% |
| | Please visit www.axismf.cor | m to obtain schemes latest mont | thly portfolio. | and Standard & Poor's for F | oreign Equity. | |
| Portfolio turnover ratio* (as on September 30, 2021) | only. Triparty Repos/Repo/F | ity, Equity derivatives and Fixed D/Margin FD/MFU/SLB are not | | 0.43 times (*Based on equity, equity derivatives and Fixed Income Securities transactions only. Triparty Repos/Repo/FD/Margin FD/MFU/SLB are not considered.) | | |
| Expenses of the scheme (I) Load structure | - For 10% - For rem If redeem The above mentioned load s SIP, switches, STP, SWP, etc IDCW Option, no load will be i.e. between Regular and Dire | c. offered by the AMC. Further, for s charged by the scheme. Howeve ect Plan or vice versa, load will be c | s from the date of allotment: NIL le to the special products such as switches between the Growth and er, for switches between the Plans | that there shall be no entry I Exit load: If redeemed / switched-out For 10% of investment : Nil For remaining investment : If redeemed/switched out a The Investor is requested investing. | EBI/IMD/CIR No. 4/ 168230/09 date Load for all Mutual Fund Schemes. within 12 months - | nent: Nil ucture of the Scheme before |

| (I) Load structure (Contd.) | Distributor) directly by the investor, based on the investor's assessment of various factors including service rendered by the ARN Holder. The Trustee /AMC reserve the right to change/ modify the Load Structure from a prospective date. | prevailing at that time subject to maximum limits as prescribed under the Regulations. |
|---|--|---|
| (I) Load structure (Contd.) (II) Recurring expenses | · · · · · · | Trustee reserves the right to introduce / modify the Load depending upon the circumstances prevailing at that time subject to maximum limits as prescribed under the Regulations. These are as follows: The recurring expenses under the Scheme (including the Investment Management and Advisory Fees) shall be as per the limits prescribed under the SEBI (MF) Regulations. These are as follows: On the first ₹ 500 crores of the daily net assets - 2.25%, On the next ₹ 250 crores of the daily net assets - 1.05%, On the next ₹ 1250 crores of the daily net assets - 1.05%, On the next ₹ 3000 crores of the daily net assets - 1.05%, On the next ₹ 2000 crores of the daily net assets - 1.05%, On the next ₹ 40,000 crores of the daily net assets - Total expense ratio reduction of 0.05% for every increase of ₹ 5,000 crores of the daily net assets - 100%, On the next ₹ 40,000 crores of the daily net assets - 100%, On the next ₹ 40,000 crores of the daily net assets - 100%, On the next ₹ 40,000 crores of the daily net assets - 100% on the law terms in the daily net assets - 105%. All fees and expenses charged in a Direct Plan (in percentage terms) under various heads including the investment and advisory fee shall not exceed the fees and expenses charged under such heads in Regular Plan. Direct Plan shall have a lower expense ratio excluding distribution expenses, commission, etc. and no commission for distribution of Units will be paid/ charged under Direct Plan. The expenses towards Investment Management and Advisory Fees under Regulation 52(2) and (4) respectively. Further, the additional expenses ender Regulation 52(A) or 32(A) and the rexpense ratio for expense heads such ads without any sub limit, as permitted under the applicable regulations 52(A) (c) may be incurred either towards investment & advisory fees and/or towards other expense heads as stated above. These estimates have been made in good faith as per the information available to the Investment Managem and are subject to change inter-se or in total subject to pre |
| | Regulations prevailing from time to time. The mutual fund would update the current expense ratios on its website (www.axismf.com) atleast three working days prior to the effective date of the change. Investors can refer 'Total Expense Ratio of Mutual Fund Schemes' section on https://www.axismf.com/total-expense- ratio for Total Expense Ratio (TER) details. | 25, 2019. For this purpose inflows of amount upto ₹ 2,00,000/- per transaction, by individual investors shall be considered as inflows from "retail investor". additional expenses, incurred towards different heads mentioned under Regulations 52(2) and 52(4), not exceeding 0.05 per cent of daily net assets of the Scheme Goods & Service Tax (GST) payable on investment and advisory service fees ('AMC fees') charged by Axis Asset Management Company Limited ('Axis AMC)'; Further, brokerage and transaction costs which are incurred for the purpose of execution of trade and is included in the cost of investment shall not exceed 0.12 per cent in case of cash market transactions and 0.05 per cent in case of derivatives transactions. B. Within the Total Expense Limit chargeable to the Scheme, following will be charged to the Scheme: |
| | | (a) GST on other than investment and advisory fees, if any, (including on brokerage and transaction costs on execution of trades) shall be borne by the Scheme (b) Investor education and awareness initiative fees of at least 2 basis points on daily net assets of respective Scheme. |
| | | C. AMC fees charged by Axis AMC to the Scheme will be within the Total Expense Limit as prescribed by SEBI Regulations, as amended from time to time. The mutual fund would update the current expense ratios on its website (www.axismf.com) atleast three working days prior to the effective date of the change. Investors can refer 'Total Expense Ratio of Mutual Fund Schemes' section on https://www.axismf.com/total-expense-ratio for Total Expense Ratio (TER) details. |
| | Actual expense for the financial year ended March 31, 2021 (audited): Regular Plan: 2.11%**, Direct Plan: 0.36%** | Actual expense for the financial year ended March 31, 2021 (audited): Regular Plan: 2.03%**, Direct Plan:0.37%** |
| Transaction charges | Please refer to point no. 2 on page no. 42 | |
| Waiver of load for direct applications | Notapplicable | |
| Tax treatment for unit holders | Please refer to point no. 3 on page no. 43 | |
| Daily Net Asset Value (NAV) publication | Please refer to point no. 4 on page no. 43 | |
| For investor grievances please contact | Please refer to point no. 5 on page no. 43 | |
| - | | |

| Name of scheme | AXIS QUANT FUND (An open ended equity scheme following a quantit Benchmark: S&P BSE 200 TRI This product is suitable for investors who are seeking*: • Capital appreciation over long term. • An equity scheme that invests in equity and equity related instrume quant model. *Investors should consult their financial advisers if in doubt about whether the product | AXIS VALUE FUND (An open ended equity scheme following a value investment strategy) Benchmark: S&P SE 200 TRI This product is suitable for investors who are seeking*: Capital appreciation over long term. Investment predominantly in a portfolio of equity and equity related securities by following a value investment strategy. *Investors should consult their financial advisers if in doubt about whether the product is suitable for them. | | |
|---|--|---|---|--|
| | PRODUCT RISKOMETER Moderate Low to Moderate Low to RISKOMETER Investors understand that their principal will be at very high risk | Inderately High Very High | PRODUCT RISKOMETER | Inderately High Very High |
| Investment objective | To generate long-term capital appreciation by investing primarily in eq instruments selected based on a quantitative model. However, there can be no assurance that the investment objective achieved. | | To generate consistent long-term capital appreciation by investing predequity related securities by following value investing strategy. However, there can be no assurance that the investment objective achieved. | |
| Liquidity | The Scheme offers Units for Subscription and Redemption at NAV based Days on an ongoing basis, commencing not later than 5 business allotment. Under normal circumstances the AMC shall dispatch the reder 10 business days from date of receipt of request from the Unit holder. | days from the date of | The Scheme offers Units for Subscription and Redemption at NAV based Days on an ongoing basis, commencing not later than 5 business allotment. Under normal circumstances the AMC shall dispatch the rede 10 business days from date of receipt of request from the Unit holder. | days from the date of |
| Asset allocation pattern of the scheme | Under the normal circumstances, the asset allocation pattern will be: | | Under the normal circumstances, the asset allocation pattern will be: | |
| pattern of the scheme | Type of Instruments | Normal Allocation (% of net assets) | Type of Instruments | Normal Allocation (% of net assets) |
| | Equity & Equity related instruments of selected companies based on a quantitative model# | 80% - 100% | Equity and Equity related instruments | 80% - 100% |
| | Other Equity and Equity related instruments# | 0% - 20% | Debt & Money Market Instruments Units issued by REITs & InvITs | 0% - 20% |
| | Debt & Money Market Instruments# | 0% - 20% | Derivatives: Investment in derivatives instruments shall be to the ex | |
| | Derivatives#: Investment in derivatives instruments shall be to the exAssets as permitted by Regulations / guidelines issued by SEBI from tim may use derivatives for such purposes as maybe permitted by the Regul purpose of hedging and portfolio balancing, based on the opportunities a guidelines issued by SEBI from time to time. The Scheme may also use instruments subject to the guidelines as maybe issued by SEBI and RBI as maybe permitted from time to time. The cumulative gross exposure through equity, debt, units issued by derivative position should not exceed 100% of the net assets of the Scheme SEBI circular no. Cir/MD/DF/11/2010 dated August 18, 2010. Securitized debt: Investment in Securitized debt (excluding foreig undertaken, would not exceed 10% of the net assets of the Scheme. Investment in Foreign Securities: The Scheme may undertake report to securities including ADRs / GDRs / Foreign equity and debt securities: Regulations. Such Investment shall not exceed 35% of the net assets of the Scheme to raps or the Scheme to report transactions in corporate debt more than 10% of the net assets of the Scheme or such higher limit a SEBI. Further, such investment shall be made subject to the guid prescribed by the Board of Directors of the Asset Management Company. Short Selling by the Scheme: The Scheme may engage in short accordance with the framework relating to short selling and securities specified by SEBI. Credit Enhancement / Structured Obligations: The Scheme will inve having structured obligations / credit enhancement as per limit prescriment objective of the Scheme, the AMC may park the funds of the depsits of the Scheme to Tredit Default Swaps. The Scheme retains the flexibility to invest across all the securities in timarkets instruments, units issued by REITS & InvITs and mutual fund unit | te to time. The Scheme ations, including for the available and subject to fixed income derivative and for such purposes y REITs & InvITs and ome in accordance with n securitized debt), if opportunities in foreign s subject to SEBI (MF) he Scheme. Insactions in corporate I from time to time. The securities shall not be is may be specified by lelines which may be company and Trustee Ilowing limits should it be deployed in Stock selling of securities in lending and borrowing st in debt instruments ribed by SEBI and as n securities in terms of s Scheme in short term s issued by SEBI from he equity, debt, money | may use derivatives for such purposes as maybe permitted by the Regul purpose of hedging and portfolio balancing, based on the opportunities a guidelines issued by SEBI from time to time. Derivative instruments Swaps, Interest Rate Forwards, Interest Rate Futures, Forward Rate options, Index options, Stock & Index futures/stock futures and any instruments permitted by SEBI/RBI from time to time. The cumulative gross exposure through equity, debt, units issued b derivative position should not exceed 100% of the net assets of the Schee SEBI circular no. Cir/MD/DF/11/2010 dated August 18, 2010. Securitized debt : Investment in Securitized debt (excluding foreig undertaken, would not exceed 10% of the net assets of the Scheme. Investment in Foreign Securities: The Scheme may undertake repo tre debt securities in Clark / BORs / Foreign equity and debt securities regulations. Such Investment shall not exceed 30% of the net assets of the SEBI gross exposure of the Scheme to repo transactions in corporate debt more than 10% of the net assets of the Scheme or such higher limit a SEBI. Further such investment shall be made subject to the guidelines we by the Board of Directors of the Asset Management Company and Truste Stock Lending by the Fund: The Scheme may engage in short saccordance with the framework relating to short selling and securities specified by SEBI. Not more than 20% of the net assets of the Scheme can generally Lending. Not more than 5% of the net assets of the Scheme in the following instrument of the debt portfolio of the scheme: a. Unsupported rating of debt instruments (i.e. after factoring-in credit einvestment grade and b. Supported rating of debt instruments (i.e. after factoring-in credit einvestment grade and b. Supported rating of debt instruments (i.e. after factoring-in credit einvestment grade and b. Supported rating of debt instruments (i.e. after factoring-in credit einvestment grade and b. Supported | available and subject to include Interest Rate the Agreements, stock such other derivative y REITs & InvITs and eme in accordance with n securitized debt), if opportunities in foreign s subject to SEBI (MF) the Scheme. ansactions in corporate I from time to time. The securities shall not be is may be precised by hich |
| Differentiation with existing open ended equity schemes (as on September 30, 2021) | For comparison of Existing Schemes, Investment Objective, Asset Und and number of folios, please refer to point no. 7A on page 43 to 46. | er Management (AUM) | For comparison of Existing Schemes, Investment Objective, Asset Und and number of folios, please refer to point no. 7A on page 43 to 46. | er Management (AUM) |

| | | rect Plan from the date of application without any exit load. | | | a main oo oalondar days, morano shanreprocess (II | | |
|-------------------------------------|--|--|---|---|--|--|--|
| | | nvalid/ incomplete ARN codes mentioned on the application and ar days of the receipt of the application form from the in | | | | | |
| | 8 | Mentioned | | Notmentioned | Regular Plan | | |
| | 7 | Mentioned | | Regular | Regular Plan | | |
| | 6 | Direct | | Regular | Direct Plan | | |
| | 5 | Direct | | Not mentioned | Direct Plan | | |
| | 3 4 | Not mentioned Mentioned | | Regular Direct | Direct Plan Direct Plan | | |
| | 2 | Not mentioned | | Direct | Direct Plan | | |
| | 1 | Not mentioned | | Not mentioned | Direct Plan | | |
| | Scenario | Broker Code mentioned by the investor | Plai | n mentioned by the investor | Default Plan to be captured | | |
| | application form e.g. "Axis Quant Fund – Direct Plan". Investors should also indicate "Direct" in the ARN column of the application form. The investors may refer to the following table for applicability of Direct Plan/ Regular Plan under different scenario: | | | application form e.g. "Axis Value Fund – Direct Plan". Investors should also indicate "Direct the ARN column of the application form. | | | |
| | Distributor. Default Plan: The ir Direct Plan of a Sch | ad and is not available for investors who route their invest nvestor must clearly specify his choice of plan. Investors so neme will have to indicate "Direct Plan" against the Sche | Distributor. Default Plan: The investor must clear Direct Plan of a Scheme will have to | ly specify his choice of plan. Investors subscribing und indicate "Direct Plan" against the Scheme name in ti | | | |
| | Regular Plan: Regu Direct Plan : Direct | ion cum Capital Withdrawal (IDCW) (Payout and Re-invest Ilar Plan is available for all type of investors investing throug t Plan is only for investors who purchase /subscribe Un | | | | | |
| | • Growth | | Each plan offers the following option: • Growth | | | | |
| Plans and Options | The Scheme offers Axis Quant Fund - R Axis Quant Fund - D | | | The Scheme offers the following Plans: Axis Value Fund - Regular Plan Axis Value Fund - Direct Plan | | | |
| Creation of Segregated Portfolio | | nt, the Scheme may create segregated portfolio of debt and | d money market in: | | - | | |
| Risk management strategies | | s going to be an integral part of the investment process. If de as per the investment objectives of the Scheme and pro | | | t for achieving financial soundness. Investments by t | | |
| | Investments in deb investment risk, bas | curities which may increase the risk on the portfolio. t and money market instruments are subject to intere sis risk, credit risk, spread risk, prepayment risk, creatio ments having credit enhancements, etc. ID for further details. | | | | | |
| | investment principal will generate higher Scheme specific Ris The scheme carrie securitized debt, del strategy, etc. Investment in mutua risk, liquidity risk and | signed on the basis of rigorous back -testing and research s and tenets of factor investing. There is no guarantee that returns as compared to the benchmark. kk Factors are summarized below: is risks associated with investing in equities, fixed inc ivatives, repo transactions in Corporate Bonds, REITs, Inv al fund units involves investment risks such as trading volu default risk. Trading volume may restrict liquidity. The AM provide which may increase the order of the protection | the factor model come securities, /ITs, covered call umes, settlement | r model Investment in mutual fund units involves investment risks such as trading volumes, settlem risk, liquidity risk and default risk. Trading volume may restrict liquidity. Also, the value of 1 Scheme investments may be affected by currency exchange rates, changes in law/policies the government, taxation laws and political, economic or other developments. Investments in debt and money market instruments are subject to interest rate risk, investment risk, basis risk, credit risk, spread risk, prepayment risk, creation of segregal portfolio, debt instruments having credit enhancements, etc. | | | |
| Risk profile of the scheme | proposes to invest screening, selecting This model will facto | volve investment risks including the possible loss of princip in a diversified portfolio of equity and equity related and weighting stocks based on an in-house proprietary qu r in various fundamental, technical, quantitative and qualita | instruments by antitative model. ative factors. The | Scheme specific Risk Factors are sum The scheme carries risks associated | isks including the possible loss of principal. marized below: I with investing in Value theme, equities, fixed incor s, repo transactions in Corporate Bonds, REITs, Invl | | |
| | considering valuation the model may const to equity ratio • Ea Earnings yield Technical Factors: stock price moveme follows: • Liquidity Risk factors: While levels of the stocks. look at some quantiti aspects that model r The Fund Manager • deletion of the parai selection of stocks. The systematic and optim The portfolio of the S at least monthly bas maintain the model The same will be rev | n parameters for every company. For this, the indicative lis ider are as follows: • Return on Equity • Sales growth • O rnings growth • Price to book • Price to earnings • I • The model will look to capture the behavioral attributes nt. For this, the indicative list of aspects that the model may • Stock price momentum • Volatility • Historical performa • looking for the attractiveness of a stock, the model will also While some risks are can be measured, some cannot. Fun- tative factors to gauge the risk level of a stock. For this, the may consider are as follows: • Volatility • Beta • Risk ratic can change the above quantitative and qualitative parameter meters, to enable the Scheme to take exposure to the spe "he process from universe selection to portfolio construction ized with the aim of maximizing the return while minimizing a Scheme will be reviewed monthly and rebalanced by the F is based on the output of the model. The fund manager will including variables) on an ongoing basis and make change iewed periodically by the Investment Review Committee of so invest in equity and equity related instruments which ai | st of aspects that Cash flow • Debt Dividend yield • a reflecting in the y consider are as nce b analyse the risk d managers may e indicative list of os • Liquidity ers by addition or ecified factors for would be largely ctive risk. und Manager on a laso review and es as necessary. f the AMC. | company maintains competitive advantages, and have temporarily fallen out of favor reasons that are considered non-recurring or short-term; whose value is not currently well known; or whose value is not fully recognized by the public. While identifying value stocks, the fund will focus on companies that maintain strong bala sheets and have experienced management. Some of the measures that fund can use to look at the valuation of a company to detern whether it qualifies as a value stock may include: present value of discounted projected cash flows; price-to-sales ratio. | | | |
| vvestment strategy | instruments selected The investment pro- generating superior are the ones that ha investors in their pro- for investability us subsequently factor from a risk and return | rm capital appreciation by investing primarily in equity ar d based on an in-house proprietary quantitative model. cess will be based on a fundamental factor based approac risk adjusted returns compared to the benchmark. The fa ve a strong academic basis and / or are considered centra access as can be seen from the list below. The Universe wo ing quantitative measures like data availability, liquidi 's described below would be used to evaluate the stock or perspective The parameters that the model will consider a ors: The model will look at various factors to gauge grow | companies that are selected using attributes of value investing. The approach of value investing is to identify stocks which trade at valuations lower than the overall market, their own historia vareage valuations or relative to their fundamental valuations. Stocks that trade at lo valuations have the potential to provide appreciation in the future. However, value investing needs to keep in mind the risk of value traps – that is stocks appear optically cheap but are not really so since the cheap valuation is backed by p fundamentals. Hence the fund's strategy would be to select value stocks while making sure they have sound business models. | | | | |

| Plans and Options (Contd.) | absence of such clear instruction, it will be assumed that the investor has opted for 'default' option / facility and the application will be processed accordingly. The The default option / facility is: Default Option: Growth (between Growth and IDCW) Default Facility: IDCW Re-investment facility (between IDCW Re-investment and IDCW) Default Facility: | | | | instruction, it will be assu application will be process Default Option: Growth (| med that the investor has sed accordingly. The defau between Growth and IDCV | s opted for 'd Ilt option / faci N) | In the absence of such clear lefault' option / facility and the liity is: W Re-investment and IDCW |
|---|--|---|--|---|---|---|--|--|
| Applicable NAV | Please refer to point no. 1 | on page no. 42 | | | | | | |
| Minimum application and redemption | Purchase | Additional Purchase | Repu | ırchase | Purchase | Additional Purchase | | Repurchase |
| amount/ number of units | ₹ 5,000 and in multiples of ₹ 1 thereafter For details on investments | ₹ 100 and in multiples of ₹ 1 thereafter sthrough SIP/STP/SWP fa | redempti | e no minimum on criterion to the SID. | ₹ 5,000 and in multiples of ₹ 1 thereafter For details of investment | ₹ 100 and in multiples of ₹ 1 thereafter //transaction through SIP | r | redemption criterion acility please refer to the SID. |
| Dispatch of repurchase (redemption) request | The redemption proceeds the receipt of the redemption | shall be dispatched to th on request at the Authoris | e unit holders within ed Center of Axis Mut | 10 working days from tual Fund. | The redemption proceed the receipt of the redempt | s shall be dispatched to th ion request at the Authorise | e unit holder ed Center of A | rs within 10 working days from Axis Mutual Fund. |
| Benchmark index | S&PBSE 200 TRI | | | | S&PBSE 200 TRI | | | |
| Dividend (IDCW) policy | | pend on availability of dis | tributable surplus cal | culated in accordance | with SEBI (MF) Regulations | | | ctual declaration of IDCW and a final in this regard. |
| Name of Fund Manager | Mr. Deepak Agarwal and M than 1 year) | | securities) (Tenure a | s Fund Manager: Less | Mr. Jinesh Gopani and M than 1 year) | r. Hitesh Das (for Foreign S | Securities) (T | enure as Fund Manager: Less |
| Name of the Trustee | Axis Mutual Fund Trustee | Limited Axis Quant Fu | nd | | | Axis Value Fu | nd | |
| Performance of the scheme (as on | Period | - Regular Plan | | P BSE 200 TRI | Period | - Regular Plar | | S&P BSE 200 TRI |
| September 30, 2021) | Returns since Inception (1-Jul-21) | 9.00% | | 12.24% | Returns since Inception (22-Sep-21) | 0.90% | | 0.42% |
| | Period | Axis Quant Fu - Direct Plan | | AP BSE 200 TRI | Period | Axis Value Fu - Direct Plan | | S&P BSE 200 TRI |
| | Returns since Inception (1-Jul-21) | 9.50% | | 12.24% | Returns since Inception (22-Sep-21) | 0.90% | | 0.42% |
| | ^Past performance may o Option NAVs. Different pla performance is given is inc | ans have different expension | future. Calculations se structure. Plan of | are based on Growth the scheme for which | ^Past performance may or may not be sustained in future. Calculations are based on Growth Option NAVs. Different plans have different expense structure. Plan of the scheme for which performance is given is indicated above. | | | |
| Portfolio holding (as on September 30, 2021) | HDFC Bank Limited: 8.84 Industries Limited: 3.47%; Limited: 3.05%; Grasim I Limited: 2.29% Sector Allocation FINANCIAL SERVICES: MANUFACTURING: 9.81 PRODUCTS: 5.01%; Car 2.64%; CHEMICALS: 2. AUTOMOBILE: 0.50%; SE Please visit www.axismf.c | Titan Company Limited: 3 ndustries Limited: 2.89% 28.09%; IT: 15.30%; COI %; OIL & GAS: 6.98%; sh & NCA: 4.37%; PHAI 15%; POWER: 1.32%; RVICES: 0.48% & Grand | 1.44%; Tata Steel Lim ; Tech Mahindra Lin ISUMER GOODS: METALS: 6.11%; (RMA: 4.00%; HEAL FERTILISERS & I Total: 100.00% | nited: 3.28%; MindTree nited: 2.33% & Wipro 12.24%; INDUSTRIAL CEMENT & CEMENT THCARE SERVICES: PESTICIDES: 1.00%; | Investment Limited: 7.83' Limited: 5.14%; Mahi Communications Limited 4.32% & Tech Mahindra L Sector Allocation : Cash & NCA: 32.06%; FI PHARMA: 8.10%; MEDI. | %; HCL Technologies Limi ndra & Mahindra Fina : 4.94%; Mahindra & Mah imited: 3.90% NANCIAL SERVICES: 21. | ted: 6.88%; Z ancial Servi hindra Limite .28%; AUTOI 2UBLICATIOI | hited: 8.10%; Bajaj Holdings & Zee Entertainment Enterprises ices Limited: 4.98%; Tata d: 4.54%; RBL Bank Limited: MOBILE: 13.02%; IT: 10.78%; N: 5.14%; TELECOM: 4.94%; |
| Portfolio turnover ratio* | 0.27 times* (*Based on E | | | | | equity, equity derivatives a o/FD/Margin FD/MFU/SLE | | come Securities transactions |
| (as on September 30, 2021) Expenses of the scheme (I) Load structure | In only. Triparty Repos/Repo/FD/Margin FD/MFU/SLB are not considered.) Entry load: Not applicable SEBI vide its circular no. SEBI/IMD/CIR No. 4/ 168230/09 dated June 30, 2009 has decided that there shall be no entry Load for all Mutual Fund Schemes. Exit load: If redeemed / switched-out within 12 months: • For 10% of investment: Nil • For remaining investment: 1% If redeemed/switched out after 12 months from the date of allotment: Nil The Investor is requested to check the prevailing Load structure of the Scheme before investing. For any change in Load structure, AMC will issue an addendum and display it on the website/ Investor Service Centres. Under the Scheme, the AMC/Trustee reserves the right to change / modify the Load structure if it so deems fit in the interest of smooth and efficient functioning of the Mutual Fund. The AMC/ Trustee reserves the right to introduce / modify the Load depending upon the circumstances prevailing at that time subject to maximum limits as prescribed under the Regulations. | | | | there shall be no entry Load for all Mutual Fund Schemes. Exit load: If redeemed / switched-out within 12 months - • For 10% of investment: Nil • For remaining investment: 1% If redeemed/switched out after 12 months from the date of allotment: Nil The Investor is requested to check the prevailing Load structure of the Scheme bef investing. For any change in Load structure, AMC will issue an addendum and display it on the webs Investor Service Centres. Under the Scheme, the AMC/Trustee reserves the right to change / modify the Load structure. MMC/ it so deems fit in the interest of smooth and efficient functioning of the Mutual Fund. The AM | | | June 30, 2009 has decided that ent: Nil icture of the Scheme before a and display it on the website/ ge / modify the Load structure if of the Mutual Fund. The AMC/ nding upon the circumstances |
| (II) Recurring expenses | These are the fees and Investment Management Agents' fee, marketing and The AMC has estimated th as expenses. For the actu- website of the AMC. All fees and expenses ch including the investment under such heads in Regu Direct Plan shall have a lo and no commission for disi The expenses towards In and the various sub-head (MF) Regulations can be sub limit, as permitted un | Advisory Fees) shall be a are as follows: On the first of the daily net assets - 2. next ₹ 3000 crores of the assets - 1.50%; On the reduction of 0.05% for eve balance of the assets - 1.0 The AMC will charge the prescribed in the Regulati All fees and expenses c | as per the limits prescribed ₹ 500 crores of the daily n 20%; On the next ₹ 1250 c daily net assets – 1.60%; next ₹ 40,000 crores of 1 ery increase of ₹ 5,000 cror 55% Scheme such actual expe ons and amendments ther harged in a Direct Plan (i and advisory fee shall no | d under the S tet assets - 2. rores of the d ; On the next the daily net res of daily ne enses incurre reto. n percentage | nvestment Management and SEBI (MF) Regulations. These 25%; On the next ₹ 250 crores aily net assets - 1.75%; On the ₹ 5000 crores of the daily net assets – Total expense ratio at assets or part thereof. On the d, subject to the statutory limit e terms) under various heads e fees and expenses charged | | | |

| (II) Recurring expenses (Contd.) | respectively. Further, the additional expenses under Regulation 52(6A)(c) may be incurred internet additional expenses and/or towards other expense heads as stated | Direct Plan shall have a lower expense ratio excluding distribution expenses, commission, etc. and no commission for distribution of Units will be paid/charged under Direct Plan. | | | | |
|--|---|---|--|--|--|--|
| | above. These estimates have been made in good faith as per the information available to the Investment Manager and are subject to change inter-se or in total subject to prevailing Regulations. The AMC will charge the Scheme such actual expenses incurred, subject to the statutory limit | The expenses towards Investment Management and Advisory Fees under Regulation 52(2) and the various sub-heads of recurring expenses mentioned under Regulation 52(4) of SEBI (MF) Regulations can be apportioned under various expense heads/ sub heads without any sub limit, as permitted under the applicable regulations. Thus, there shall be no internal sub-limits within the expense ratio for expense heads mentioned under Regulation 52(2) and (4) respectively. Further, the additional expenses under Regulation 52(6A)(c) may be incurred | | | | |
| | prescribed in the Kegulations. The recurring expenses under the Scheme (including the Investment Management and Advisory Fees) shall be as per the limits prescribed under the SEBI (MF) Regulations. These are as follows: On the first ₹ 500 crores of the daily net assets - 1.50%; On the next ₹ 250 crores of the daily net assets - 1.50%; On the next ₹ 3000 crores of the daily net assets - 1.50%; On the next ₹ 40,000 crores of the daily net assets - 1.50%; On the next ₹ 3000 crores of the daily net assets - 1.50%; On the next ₹ 40,000 crores of the daily net assets - 1.50%; On the next ₹ 5000 crores of the daily net assets - 1.50%; On the next ₹ 5000 crores of the daily net assets - 1.50%; On the next ₹ 5000 crores of the daily net assets - 1.50%; The total expenses of the Scheme(s) including the investment management and advisory fee shall not exceed the limits as specified in Regulation 52(6) of the SEBI (MF) Regulations and amendments thereto. Expenses charged to the Scheme: A. In addition to the limits as specified in Regulation 52(6) of SEBI (MF) Regulations 1996 or the Total expenses for gross new inflows from specified above, the following costs or expenses may be charged to the scheme namely. Additional expenses for gross new inflows from specified cities a. expenses not exceeding of 0.30 per cent of daily net assets, if the new inflows from such cities as specified by SEBI/AMFI from time to time are at least - (i) 30 per cent of the average assets under management (year to date) of the scheme shall be charged on proportionate basis. Provided further that, expenses charged under this clause shall be utilised for distribution expenses incurred for bringing inflows from retail investors from such cities. Provided further that, aduitonal TER can be charged based on inflows only from retail investors in terms of SEBI (incular no. SEBI/HO/IMD/DF2/CIR/P/2018/137 dated October 22, 2018 and SEBI circular no. SEBI/HO/IMD/DF2/CIR/P/2018/137 date | either towards investment & advisory fees and/or towards other expense heads as stated above. These estimates have been made in good faith as per the information available to the Investment Manager and are subject to change inter-se or in total subject to prevailing Regulations. The total expenses of the Scheme(s) including the investment management and advisory fee shall not exceed the limit stated in Regulation 52(6) of SEBI (MF) Regulations 1996 or the Total Recurring Expenses (Total Expense Limit) as specified above, the following costs or expenses may be charged to the scheme namely- a) expenses not exceeding of 0.30 per cent of daily net assets, if the new inflows from such cities as specified by SEBI/AMFI from time to time are at least - (i) 30 per cent of gross new inflows in the scheme, or; (ii) 15 per cent of the average assets under management (year to date) of the scheme, whichever is higher. Provided that if inflows from retail investors from such cities is less than the higher of sub-clause (i) or sub-clause (ii), such expenses on adily net assets of the scheme shall be charged on proportionate basis. Provided further that, expenses charged under this clause shall be utilised for distribution expenses incurred for bringing inflows from retail investors from such cities shall be credited back to the scheme in case the said inflows are redeemed within a period of one year from the date of investment. Provided further that, additional TER can be charged based on inflows only from retail investors in terms of SEBI circular no. SEBI/HO/IMD/DF2/CIR/P2019/142 dated October 22, 2018 and SEBI circular no. SEBI/HO/IMD/DF2/CIR/P2019/142 dated October 22, 2019 and SEBI circular no. SEBI/HO/IMD/DF2/CIR/P2019/142 dated March 25, 2019. For this purpose, inflows of amount upt ₹ 2,00,000/ per transaction, by individual investors shall be considered as inflows from "retail investor". b) additional expenses, incured to cots of | | | | |
| Transaction charges | ratio for Total Expense Ratio (TER) details. Please refer to point no. 2 on page no. 42 | | | | | |
| Waiver of load for direct applications | Not applicable | | | | | |
| Tax treatment for unit holders | Please refer to point no. 3 on page no. 43 | | | | | |
| Daily Net Asset Value (NAV) publication | Please refer to point no. 4 on page no. 43 | | | | | |
| For investor grievances please contact | Please refer to point no. 5 on page no. 43 | | | | | |
| Unit holder's | Please refer to point no. 6 on page no. 43 | | | | | |

| Name of scheme | in Schroder International Selection Fund Global Disruption) Benchmark: MSCIACWI INDEX (INR) This product is suitable for investors who are seeking*: Capital appreciation over long term. Investment in Schroder International Selection Fund Global Disruption, an equity fund that aims to provide capital growth by investing companies worldwide which benefit from disruption. Investors should consult their financial advisers if in doubt about whether the product is suitable for them. | | AXIS GREATER CHINA EQUITY FUND OF FUND (An o investing in Schroder International Selection Fund Greater CF Benchmark: MSCI GOLDEN DRAGON (INR) This product is suitable for investors who are seeking*: • Capital appreciation over long term. • Investment in Schroder International Selection Fund G provide capital growth by investing in equity and equ Republic of China, Hong Kong SAR and Taiwan companie *Investors should consult their financial advisers if in doubt about wheth | hina) reater China, a fund that aims to ity related securities of People's s. |
|---|--|---|--|---|
| | PRODUCT RISKOMETER Woderate Uow to Uow to RISKOMETER Investors understand that their principal will be at very high risk | High High Very High ER | Moderate High Hoderate Low to Noderate Low Very High DISKOMETER | CHMARK RISKOMETER Moderately High High Very High RISKOMETER GOLDEN DRAGON (INR) |
| Investment objective | To provide long term capital appreciation by predominantly investing International Selection Fund Global Disruption, an equity fund that a growth by investing companies worldwide which benefit from disruption corpus in debt, money market instruments and / or units of liquid sche liquidity requirements from time to time. However, there can be no assurance that the investment objective of the Sc | ims to provide capital and to invest a part of emes in order to meet | To provide long term capital appreciation by predominating International Selection Fund Greater China, a fund that aims to in equity and equity related securities of People's Republic of C companies. The Scheme may also invest a part of its corpus and / or units of liquid schemes in order to meet liquidity requirer However, there can be no assurance that the investment object | provide capital growth by investing China, Hong Kong SAR and Taiwan in debt, money market instruments ments from time to time. |
| Liquidity | The Scheme offers Units for Subscription and Redemption at NAV based Under normal circumstances the AMC shall dispatch the redemption proc | | s days from date of receipt of request from the Unit holder. | |
| Asset allocation pattern of the scheme | Under the normal circumstances, the asset allocation pattern will be: Type of Instruments | Normal Allocation (% of net assets) | Under the normal circumstances, the asset allocation pattern Type of Instruments | will be: Normal Allocation (% of net assets) |
| | Units / shares of Schroder International Selection Fund Global Disruption Debt, Money market instruments and / or units of liquid schemes* Under normal circumstances, at least 95% of the Net Assets of the Sch | 95 - 100 | Units / shares of Schroder International Selection Fund Gre Debt, Money market instruments and / or units of liquid sch Under normal circumstances, at least 95% of the Net Assets Schroder International Selection Fund Greater China, sul Investment Amount and the terms of offer of Schroder Inte | eater China 95 - 100 emes* 0 - 5 s of the Scheme will be invested in bject to the availibility of Eligible |
| Differentiation with | Investment Amount and the terms of offer of Schroder International S Disruption. Limits for investment in Overses Securities for a period of six months fror NFO: The Scheme intends to initially invest US \$150 million in oversea maximum limit specified in SEBI circulars. The above limits will be valid of closure of the New Fund Offer. Thereafter, the unutilized limit, if any, shal Scheme for investment in overseas securities and shall be available industry wide limits. Further, after 6 months of closure of New fund offer r investment in overseas securities for ongoing scheme as specified in circulars shall be followed by the Scheme. In the event, AMC exhaust its limits on overseas investments, it may take as needed depending on the context and horizon, which may include incr on a temporary basis, adjusting the deployment levels or putting restrict fund inflows, etc. Securitized debt: "Investment in Securitized debt (excluding foreig undertaken, would not exceed 5% of the net assets of the Scheme. Repo in Corporate debt securities: The Scheme may undertake corporate debt securities in accordance with the directions issued by RB time. The gross exposure of the Scheme to repo transactions in corpora not be more than 5% of the net assets of the Scheme. Further, such inv subject to the guidelines which may be prescribed by the Board of I Management Company and Trustee Company. The Scheme shall not invest in Credit Default Swaps. Credit Enhancement / Structured Obligations: The Scheme will inve having structured obligations / credit enhancement as per limit presc amended from time to time. Investment in Short Term Deposits: Pending deployment of the funds of the deposits of the Scheduled Commercial Banks, subject to the guidelines time to time. | In the date of closure of is securities subject to for six months from the not be available to the towards the unutilized iorms as applicable for in the applicable SEBI appropriate measures easing cash allocation ons as needed into the in securitized debt), if repo transactions in and SEBI from time to te debt securities shall setment shall be made Directors of the Asset east in debt instruments ribed by SEBI and as in securities in terms of Scheme in short term is issued by SEBI from | China. Limits for investment in Overses Securities for a period of six NFO: The Scheme intends to initially invest US \$50 million maximum limit specified in SEBI circulars. The above limits v closure of the New Fund Offer. Thereafter, the unutilized limit Scheme for investment in overseas securities and shall be industry wide limits. Further, after 6 months of closure of New investment in overseas securities for ongoing scheme as circulars shall be followed by the Scheme. In the event, AMC exhaust its limits on overseas investments, as needed depending on the context and horizon, which may on a temporary basis, adjusting the deployment levels or putf fund inflows, etc. Securitized debt: *Investment in Securitized debt (exclu undertaken, would not exceed 5% of the net assets of the Sch Repo in Corporate debt securities: The Scheme may corporate debt securities in accordance with the directions is time. The gross exposure of the Scheme to repo transaction: not be more than 5% of the net assets of the Scheme. Furthe subject to the guidelines which may be prescribed by the Management Company and Trustee Company. The Scheme shall not invest in Credit Default Swaps. Credit Enhancement / Structured Obligations: The sche having structured obligations / credit enhancement as per amended from time to time. Investment in Short Term Deposits: Pending deployment of investment objective of the Scheme, the AMC may park the deposits of the Scheduled Commercial Banks, subject to th time to time. | in overseas securities subject to vill be valid for six months from the , if any, shall not be available to the e available towards the unutilized v fund offer norms as applicable for specified in the applicable SEBI , it may take appropriate measures include increasing cash allocation ting restrictions as needed into the uding foreign securitized debt), if neme. v undertake repo transactions in sued by RBI and SEBI from time to s in corporate debt securities shall er, such investment shall be made a Board of Directors of the Asset timit prescribed by SEBI and as of the funds in securities in terms of funds of the Scheme in short term ie guidelines issued by SEBI from |
| Differentiation with existing Fund of Funds (as on September 30, 2021) | f Funds er 30, and number of folios, please refer to point no. 7C on page 48 to 49. | | For comparison of Existing Schemes, Investment Objective, and number of folios, please refer to point no. 7C on page 48 t | 0 () |
| Investment strategy | | | The investment objective of the Scheme is to provide long terr in Schroder International Selection Fund Greater China, a growth by investing in equity and equity related securities of Kong SAR and Taiwan companies. The Scheme may also money market instruments and / or units of liquid schemes to time to time. However, there can be no assurance that the investment realized. The Scheme will be passively managed fund investing p Schroder International Selection Fund Greater China. The International Selection Fund Greater China The International Selection Fund Greater China. Schroder International Selection Fund Greater China: Schroder International Selection Fund Greater China Schroder International Selection Fund Greater China | fund that aims to provide capital People's Republic of China, Hong invest a part of its corpus in debt, o meet liquidity requirements from objective of the Scheme will be predominantly in unit / shares of investment made in the Schroder the subscriptions and redemptions by SEBI/RBI. -GC") invests at least two-thirds of ina, Hong Kong and Taiwan. SISF- |

| Investment strategy (Contd.) | or otherwise, can expe seeks to invest in comp expectations. | companies benefitting from or rience a rapid acceleration panies benefiting from disrup | in, and durability of, grow otion before this is fully re | th. The manager flected in market | its assets in China A-Sha GC may also hold cash. For more details please re | | - | n-Hong Kong Stock Connect. SISF ument of the Scheme. |
|--|--|---|---|--|--|--|----------------|--|
| Risk profile of the scheme | For more details please refer the Scheme Information Document of the Scheme. Mutual Fund units involve investment risks including the possible loss of principal. Please read the SID carefully for details on risk factors before investment. Scheme specific Risk Factors are summarized below: The scheme carries risks associated with investing in fund of fund schemes, investments in the underlying scheme (Schroder International Selection Fund Greater China), investmen Overseas Mutual Fund, General Risks, Investment Objective Risk, Regulatory Risk, Business, Legal and Tax Risks, Risk Factors Relating to Industry Sectors / Geographic Areas, Eme Less Developed Markets Securities Risk, Currency risk, Settlement Risks, Risks associated with investing in China Market, Equity and Equity related instruments, Fixed Income Securitized Debt, creation of segregated portfolio, etc. | | | | | rs / Geographic Areas, Emerging an | | |
| Risk management strategies | | bing to be an integral part of | | | | management for a | chieving finar | ncial soundness. Investments by the |
| Creation of Segregated Portfolio | Scheme shall be made as per the investment objectives of the Scheme and provisions of the Regulations. In case of credit event, the Scheme may create segregated portfolio of debt and money market instruments in terms of applicable SEBI regulations/ circulars. | | | | | | | |
| Plans and Options | Axis Global Innovation F Each plan offers the fo • Growth • Income Distribution Regular Plan: Regular Direct Plan: Direct Plan | Fund of Fund - Regular Plan Fund of Fund - Direct Plan | f investors investing throu purchase /subscribe Un | igh a Distributor. hits in a Scheme | | | | stors investing through a Distributor. hase /subscribe Units in a Schem ho route their investments through |
| | Default plan: The investor must clearly specify his choice of plan. Investors subscribing under Direct Plan of a Scheme will have to indicate "Direct Plan" against the Scheme name in the application form. Investors should also indicate "Direct" in the ARN column of the application form. The investors may refer to the following table for applicability of Direct Plan/ Regular Plan under different scenario:- | | | | | | | |
| - | | | nentioned by the investor | | Defau | ult Plan to be captured | | |
| | 1 | Not mention | ied | | Not mentioned | | | Direct Plan |
| | 2 | Not mention | | | Direct | | | Direct Plan |
| | 3 | Not mentior | | | Regular | | | Direct Plan |
| | 4 5 | Mentione Direct | d | | Direct | | | Direct Plan Direct Plan |
| | 6 | Direct | | | Not mentioned Regular | | | Direct Plan |
| | 7 | Mentione | d | | Regular | | | Regular Plan |
| | 8 Mentioned | | | Not mentioned Regular Plan | | | | |
| | In cases of wrong/ invalid/ incomplete ARN codes mentioned on the application form, the application shall be processed under Regular Plan. The AMC shall contact and obtain the correct ARN code within 30 calendar days of the receipt of the application form from the investor/ distributor. In case, the correct code is not received within 30 calendar days, the AMC shall reprocess the transaction under Direct Plan from the date of application without any exit load. | | | | | | | |
| | Default Plan/Option: The investor must clearly specify his choice of option/ facility. In the absence of such clear instruction, it will be assumed that the investor has opted for 'defaul' option/ facility and the application will be processed accordingly. The default option / facility is: Default Option: Growth (between Growth and IDCW) Default Facility: IDCW Re-investment facility (between IDCW Re-investment and IDCW Payout facility). | | | | tt absence of such clear instruction, it will be assumed that the investor has opted for defau option / facility and the application will be processed accordingly. The default option / facility is: Default Option : Growth (between Growth and IDCW) | | | |
| Applicable NAV | Please refer to point no. | . 1 on page no. 42 | | | 1 | 1 | | |
| Minimum application and redemption amount/ number of | Purchase ₹ 5 000 and in multiple | Additional Purchase | Repurcha | | Purchase | Additional Purc | | Repurchase |
| units | ₹ 5,000 and in multiple of ₹ 1 thereafter For details on investm | s ₹ 100 and in multiples of ₹ 1 thereafter | There will be no r redemption cri lities, please refer to the | iterion | ₹ 5,000 and in multiples of ₹ 1 thereafter For details on investme | of ₹ 1 thereat | fter | There will be no minimum redemption criterion |
| Dispatch of repurchase (redemption) request | | | | | | | | |
| Benchmark index | MSCI AC World INR (TF | | | | MSCI Golden Dragon Index (in INR Terms) | | | |
| Expense ratio of underlying scheme | - | , | | | Actual expenses of Schro | der ISF Greater Ch given above is for | shareclass C | Acc) as on March 31, 2021: 0.75% of SISF Greater China. The Scherr) |
| Dividend (IDCW) policy | frequency will inter-alia, | vor to declare the Dividend as , depend on availability of dis or guarantee to the Unit holde | tributable surplus calculate | ed in accordance v | vith SEBI (MF) Regulations | | | he actual declaration of Dividend ar shall be final in this regard. |
| Name of Fund Manager | Mr. Hitesh Das (for forei | gn securities) (Tenure as fun | d manager : less than 1 ye | ar) | Mr. Hitesh Das (for foreigr | n securities) (Tenur | e as Fund Mar | nager: less than one year) |
| Name of the Trustee | Axis Mutual Fund Truste | ee Limited | | | | | | |
| | | | | | | | | |

| Performance of the scheme (as on | Period | Axis Global Innovation Fund of Fund - Regular Plan^ | MSCI AC World INR (TRI) | Period | Axis Greater China Equity Fund of Fund-Regular Plan^ | MSCI Golden Dragon Index | |
|---|--|---|--|--|--|---|--|
| September 30, 2021) | Returns since Inception (28-May-21) | 3.70% | 2.76% | Returns since Inception (10-Feb-21) | -15.60% | -18.31% | |
| | Period | Axis Global Innovation Fund of Fund - Direct Plan [^] | MSCI AC World INR (TRI) | Absolute returns for the las | t 1 financial year. | | |
| | Returns since Inception (28-May-21) | 4.20% | 2.76% | 2020-21 | Axis Greater China Equ Fund of Fund- Regular | | |
| | APast performance may or may not be sustained in future. | | | MSCI Golden Dragon Index | | | |
| | · · · · · · · · · · · · · · · · · · · | | -9.30% -9.81% (Benchmark) | | | | |
| | | | | Period | Axis Greater China Equity Fund of Fund-Direct Plan ^A | MSCI Golden Dragon Index | |
| | | | | Returns since Inception (10-Feb-21) | -14.90% | -18.31% | |
| | | | | Absolute returns for the last 1 financial year. | | | |
| | | | | 2020-21 Axis Greater China Equity Fund of Fund- Direct Plan ^A → MSCI Golden Dragon Index (Benchmark) | | | |
| | | | | compounded annualized (C | or may not be sustained in future. Retu CAGR). Calculations are based on Grow se structure. Plan of the scheme for whice | rth Option NAVs. Different | |
| Portfolio holding (as on September 30, | Top 10 holdings - Issuer Wi | i <mark>se (Equity Shares)</mark> ition Class X Acc: 97.44% & Debt, Casl | h & other current assets: | Top 10 holdings - Issuer W | <mark>/ise (Equity Shares)</mark> a Class X Acc Others: 106.09% & Debt, Ca | ash & other current assets: | |
| 2021) | 2.56% Sector Allocation | 1001 01333 X ACC. 37.99 /0 & Debt, 0431 | | -6.09% | | asing other current assets. | |
| | | & Cash Equivalent: -0.55% & Grand Total | : 100.00% | Sector Allocation : OTHERS^: 106.70%, Cash | & Cash Equivalent: -6.70% & Grand Total | : 100.0% | |
| | ^Triparty Repos / Mutual Fu | nd units / Repo. Please visit www.ax | kismf.com to obtain schen | nes latest monthly portfolio. | | | |
| Portfolio turnover ratio (as on September 30, 2021 | Not Applicable | | | | | | |
| Expenses of the scheme | Entry load: Not applicable | BI/IMD/CIR No. 4/ 168230/09 dated June | 20, 2000 bas desided that | there shall be no entry load for | roll Mutual Fund Schomoo | | |
| (I) Load structure | Exit load: | 5/11VID/CIR NO. 4/ 106230/09 dated Julie - | 50, 2009 has decided that | ulere shall be no entry Load for | an Mutuai Fund Schemes. | | |
| | | ithin 12 months from the date of allotment | t- | | | | |
| | For 10% of investment: Nil For remaining investment: 10 | % | | | | | |
| | | er 12 months from the date of allotment: N | | | | | |
| | | check the prevailing Load structure of the sture, AMC will issue an addendum and dis | - | estor Service Centres. | | | |
| | | C/ Trustee reserves the right to change / r introduce / modify the Load depending up | | | | | |
| (ii) Recurring expenses | | eme such actual expenses incurred, subj ed in a Direct Plan (in percentage terms) | , , | • | | enses charged under such | |
| | Direct Plan shall have a lowe The expenses towards Inve Regulations can be apportion expense ratio for expense he | r expense ratio excluding distribution exp stment Management and Advisory Fees ned under various expense heads/ sub he aads mentioned under Regulation 52(2) a ds other expense heads as stated above. | under Regulation 52(2) ar eads without any sub limit, ind (4) respectively. Furthe | nd the various sub-heads of re as permitted under the applica | curring expenses mentioned under Regulations. Thus, there shall be no in | ulation 52(4) of SEBI (MF) ternal sub-limits within the | |
| | These estimates have been made in good faith as per the information available to the Investment Manager and are subject to change inter-se or in total subject to prevailing Regulations. The investors will bear the recurring expenses of the scheme in addition to the expenses of other scheme in which Axis Global Innovation Fund of Fund will make investment. | | | | | | |
| | The total expense ratio of the Provided that the total exper | Scheme including weighted average of the scheme in dudice see ratio to be charged over and above the by the underlying scheme, subject to the | he total expense ratio levie e weighted average of the | ed by the underlying scheme sh total expense ratio of the unde | nall not exceed 2.25 per cent of the daily ne | et assets of the Scheme. | |
| | The total expenses of the Scheme including the investment management and advisory fee shall not exceed the limit stated in Regulation 52(6) of the SEBI (MF) Regulations and amendments thereto. The AMC will charge the Scheme such actual expenses incurred, subject to the statutory limit prescribed in the Regulations. | | | | | | |
| | Expenses charged to the Scheme: A. In addition to the limits as specified in Regulation 52(6) of SEBI (MF) Regulations 1996 or the Total Recurring Expenses (Total Expense Limit) as specified above, the following costs or expenses may be charged to the Scheme namely- | | | | | | |
| | Additional expenses for gross new inflows from specified cities a. expenses not exceeding of 0.30 per cent of daily net assets, if the new inflows from such cities as specified by SEBI/AMFI from time to time are at least- (i) 30 per cent of gross new inflows in the scheme, or; | | | | | | |
| | (i) So per cent of yours new ninves in the scheme, or, (ii) 15 per cent of the average assets under management (year to date) of the scheme, whichever is higher.: Provided that if inflows from retail investors from such cities is less than the higher of sub-clause (i) or sub- clause (ii), such expenses on daily net assets of the scheme shall be charged on proportionate basis. | | | | | | |
| | Provided further that, expenses charged under this clause shall be utilised for distribution expenses incurred for bringing inflows from retail investors from such cities. Provided further that amount incurred as expense on account of inflows from such cities shall be credited back to the scheme in case the said inflows are redeemed within a perio | | | | | | |
| | Provided further t 2018 and SEBI ci | one year from the date of investment. Provided further that, additional TER can be charged based on inflows only from retail investors in terms of SEBI circular no. SEBI/HO/IMD/DF2/CIR/P/2018/137 dated October 2018 and SEBI circular no. SEBI/HO/IMD/DF2/CIR/P/2019/42 dated March 25, 2019. For this purpose inflows of amount upto ₹ 2,00,000/- per transaction, by individual invess shall be considered as inflows from "retail investor". | | | | | |
| | b) Goods & Serv | enses, incurred towards different heads r ice Tax (GST) payable on investment and e and transaction costs which are incurre | advisory service fees ('AN | /IC fees') charged by Axis Asse | et Management Company Limited ('Axis A | MC)'; | |
| | | | 39 | | | | |

| (ii) Recurring expenses (Contd.) | B. Within the Total Expense Limit chargeable to the Scheme, following will be charged to the Scheme: (a) GST on other than investment and advisory fees, if any, (including on brokerage and transaction costs on execution of trades) shall be borne by the Scheme (b) Investor education and awareness initiative fees of at least 2 basis points on daily net assets of respective Scheme. C. AMC fees charged by Axis AMC to the Scheme will be within the Total Expense Limit as prescribed by SEBI Regulations, as amended from time to time. Expenses over and above the prescribed limit shall be charged / borne in accordance with the Regulations prevailing from time to time. The mutual fund would update the current expense ratios on its website (www.axismf.com/total-expense-ratio for Total Expense Ratio of Mutual Fund Schemes' section on https://www.axismf.com/total-expense-ratio for Total Expense Ratio (TER) details. Please refer to point no. 2 on page no. 42 | | | | |
|---|---|--|--|--|--|
| Waiver of load for direct | Notapplicable | | | | |
| applications Tax treatment for unit holders | Please refer to point no. 3 on page no. 43 | | | | |
| Daily Net Asset Value (NAV) publication | Please refer to point no. 4 on page no. 43 | | | | |
| For investor grievances please contact | Please refer to point no. 5 on page no. 43 | | | | |
| Unit holder's information | Please refer to point no. 6 on page no. 43 | | | | |
| Name of scheme | AXIS GLOBAL EQUITY ALPHA FUND OF FUND (An open ended fund of fund scheme investing in Schroder International Selection Fund Global Equity Alpha) Benchmark: MSCI WORLD INR This product is suitable for investors who are seeking*: • Capital appreciation over long term. • Investment in Schroder International Selection Fund Global Equity Alpha, an equity fund that aims to provide capital growth by investing in equity and equity related securities of companies worldwide. *Investors should consult their financial advisers if in doubt about whether the product is suitable for them. | | | | |
| Investment objective | To provide long term capital appreciation by predominantly investing in Schroder International Selection Fund Global Equity Alpha, a fund that aims to provide capital growth by investing in equity and equity related securities of companies worldwide. The Scheme may also invest a part of its corpus in debt, money market instruments and / or units of liquid schemes in order to meet liquidity requirements from time to time. However, there can be no assurance that the investment objective of the Scheme will be realized. | | | | |
| Liquidity | The Scheme offers Units for Subscription and Redemption at NAV based prices on all Business Days on an ongoing basis, commencing not later than 5 business days from the date of allotment. Under normal circumstances the AMC shall dispatch the redemption proceeds within 10 business days from date of receipt of request from the Unit holder. | | | | |
| Asset allocation pattern of the scheme Differentiation with existing an open ended fund of fund scheme of Axis Mutual Fund (as on September 30, 2021) | Under the normal circumstances, the asset allocation pattern will be: Normal Allocation (% of net assets) Type of Instruments 05-100 Units / shares of Schroder International Selection Fund Global Equity Alpha 0-5 Under normal circumstances, at least 95% of the Net Assets of the Scheme will be invested in Schroder International Selection Fund Global Equity Alpha, subject to the availability of Eligible Investment Amount and the terms of offer of Schroder International Selection Fund Global Equity Alpha. Securitized debt *Investment in Securitized debt (excluding foreign securitized debt), if undertaken, would not exceed 5% of the net assets of the Scheme. Repo in Corporate debt securities Normal Allocation in corporate debt securities shall not be more than 5% of the net assets of the Scheme or such higher limit as may be specified by SEBI. Further, such investment to shall not be more than 5% of the net assets of the Scheme and the group exposure in such instruments shall not exceed 5% of the debt portfolio of the scheme: a. Unsupported rating of debt instruments (i.e. without factoring-in credit enhancements) is above investment grade and b. Supported rating of debt instruments (i.e. without factoring-in credit enhancements) is above investment grade. These hinds of the funds in securitized debt instruments, as defined in SEBI (Public Offer and Listing of Securitized Debt Instruments) Regulations 2008. Investment Instruments (i.e. without factoring-in credit enhancement) is above investment grade. Under times Intern | | | | |
| on September 30, 2021) Investment Strategy of the Scheme | The investment objective of the Scheme is to provide long term capital appreciation by predominantly investing in Schroder International Selection Fund Global Equity Alpha, a fund that aims to provide capital growth by investing in equity and equity related securities of companies worldwide. The Scheme may also invest a part of its corpus in debt, money | | | | |
| Risk Profile of the | Intar aims to provide capital growth by investing in equity and equity related securities of companies worldwide. The Scheme may also invest a part of its corpus in debt, money market instruments and / or units of liquid schemes in order to meet liquidity requirements from time to time. However, there can be no assurance that the investiment objective of the Scheme will be realized. The Scheme will be passively managed fund investing predominantly in unit / shares of Schroder International Selection Fund Global Equity Alpha will be based on the subscriptions and redemptions received in the Scheme and within the overall limits specified by SEBI/RBI. About Schroder International Selection Fund Global Equity Alpha will be based on the subscriptions and redemptions received in the Scheme and within the overall limits specified by SEBI/RBI. About Schroder International Selection Fund Global Equity Alpha will be based on the subscriptions and redemptions received in the Scheme and within the overall limits specified by SEBI/RBI. The Fund invests at least two-thirds of its assets in equity and equity related securities of companies worldwide. 'Alpha' funds invest in companies in which the manager has a high conviction that the current share price does not reflect the future prospects for that business. As the Fund is index-unconstrained it is managed without reference to an index. The manager seeks to identify companies which he believes will deliver future earnings growth above the level expected by the market typically on a 3-5-year horizon (we term this as 'a positive growth gap'). The Fund is managed with reference to material environmental, social and governance factors. This means issues such as climate change, environmental performance, labor standards or board componies. For more details please refer the Scheme Information Document of the Scheme. Mutual Fund units involve investment risks including the possible loss of principal. Please read the SID carefully for details on risk factors before invest | | | | |
| Scheme | Scheme specific Risk Factors are summarized below: The Scheme carries risks associated with investing in fund of fund schemes, investments in the underlying scheme (Schroder International Selection Fund Global Equity Alpha), investments in the Overseas Mutual Fund, General Risks, Investment Objective Risk, Regulatory Risk, Business, Legal and Tax Risks, Risk Factors Relating to Industry Sectors / Geographic Areas, Emerging and Less Developed Markets Securities Risk, Currency risk, Settlement Risks, Risks associated with investing in Global Market, Equity and Equity related instruments, Fixed Income Securities and Securitized Debt, creation of segregated portfolio, etc. | | | | |
| Risk Management | Risk management is going to be an integral part of the investment process. Effective risk management is critical to fund management for achieving financial soundness. Investments by the Scheme shall be made as per the investment objectives of the Scheme and provisions of the Regulations. | | | | |
| Creation of Segregated Portfolio | In case of credit event, the Scheme may create segregated portfolio of debt and money market instruments in terms of applicable SEBI regulations/ circulars. | | | | |

| Plans and Options | The Scheme offers the following Plans: Axis Global Equity Alpha Fund of Fund - Regular Plan Axis Global Equity Alpha Fund of Fund - Direct Plan | | | | | | |
|---|---|---|--|---|--|---|--|
| | Each plan offers the following option: Growth Income Distribution cum Capital Withdrawal (IDCW) Option* (Payout and Re-investment Facility) | | | | | | |
| | *The amounts can be dia Regular Plan | stributed out of investors capital (Equ | ualization Reserve), which is pa | | ealized gains. | | |
| | Direct Plan | or all type of investors investing throu | • | und and is not available for inves | stors who route their investments throu | ugh a Dietributor | |
| | Default Plan The investor must clearly s | specify his choice of plan. Investors s | subscribing under Direct Plan c | of a Scheme will have to indicate | e "Direct Plan" against the Scheme na | | |
| | | Fund of Fund – Direct Plan". Investor the following table for applicability of | | | luon ionn. | | |
| | | oker Code mentioned by the invest | | entioned by the investor | Default Plan to be | captured | |
| | 1 | Notmentioned | | Notmentioned | Direct Pla | | |
| | 2 | Notmentioned | | Direct | Direct Pla Direct Pla | | |
| | 4 | Not mentioned Mentioned | | Regular Direct | Direct Pla | | |
| | 5 | Direct | | Not Mentioned | Direct Pla | | |
| | 6 | Direct | | Regular | Direct Pla | in | |
| | 7 | Mentioned | | Regular | Regular Pl | an | |
| | 8 | Mentioned | | Not Mentioned | Regular Pl | an | |
| | code within 30 calendar da | incomplete ARN codes mentioned c ays of the receipt of the application f lan from the date of application witho | form from the investor/ distribu | lication shall be processed und tor. In case, the correct code is | ler Regular Plan. The AMC shall conta not received within 30 calendar days | act and obtain the correct ARI s, the AMC shall reprocess th | |
| | The investor must clearly s will be processed accordin | specify his choice of option/facility. Ir gly. The default option / facility is: between Growth and IDCW) | the absence of such clear inst | truction, it will be assumed that t | the investor has opted for 'default' opt | ion / facility and the application | |
| | | e-investment facility (between IDCW | Re-investment and IDCW Pay | out facility). | | | |
| Applicable NAV | Please refer to point no. 1 | on page no. 42 | | | | | |
| Minimum Application | Purchase | | Additio | nal Purchase | Repu | ırchase | |
| Amount/Number of Units | ₹ 5,000 and in multiples of | | | tiples of ₹ 1/- thereafter | There will be no minir | num redemption criteria. | |
| | | nts through SIP/STP facilities, ple | | | | | |
| Despatch of Redemption (Redemption) Request | The redemption proceeds | The redemption proceeds shall be dispatched to the unit holders within 10 working days from the receipt of the redemption request at the Authorised Center of Axis Mutual Fund. | | | | | |
| , . | MSCI World TP, INP | | | | | | |
| Benchmark Index | MSCI World TR INR | | | · · · | | | |
| Expense ratio of | Expense ratio of SISF Glo | obal Equity Alpha as on March 31, 2 given above is for share class C of | | e Scheme may invest in any of | the share class of SISF Global Equi | | |
| Expense ratio of underlying scheme Dividend (IDCW) policy | Expense ratio of SISF Glo (Note: The expense ratio The Trustee will have the Regulations'). The actual of the Trustee shall be fina | given above is for share class C of discretion to declare the IDCW, sub declaration of IDCW and frequency v I in this regard. There is no assurance | SISF Global Equity Alpha. The ject to availability of distributal vill inter-alia, depend on availat e or guarantee to the unit holde | ble surplus calculated in accord | dance with the SEBI (Mutual Funds) culated in accordance with SEBI (MF) | ity Alpha.) Regulations 1996 ('SEBI (MF | |
| Benchmark Index Expense ratio of underlying scheme Dividend (IDCW) policy Name of the Fund Manager Name of the Trustee Company | Expense ratio of SISF Gld (Note: The expense ratio The Trustee will have the Regulations'). The actual of the Trustee shall be fina | given above is for share class C of discretion to declare the IDCW, sub declaration of IDCW and frequency v I in this regard. There is no assurance n securities) [Tenure as Fund Mana | SISF Global Equity Alpha. The ject to availability of distributal vill inter-alia, depend on availat e or guarantee to the unit holde | ble surplus calculated in accord | dance with the SEBI (Mutual Funds) culated in accordance with SEBI (MF) | ity Alpha.) Regulations 1996 ('SEBI (MF | |
| Expense ratio of underlying scheme Dividend (IDCW) policy Name of the Fund Manager Name of the Trustee Company Performance of the | Expense ratio of SISF Glo (Note: The expense ratio The Trustee will have the Regulations'). The actual of the Trustee shall be fina Mr. Hitesh Das (for foreign | given above is for share class C of discretion to declare the IDCW, sub declaration of IDCW and frequency v I in this regard. There is no assurance n securities) [Tenure as Fund Mana | SISF Global Equity Alpha. The ject to availability of distributal vill inter-alia, depend on availat e or guarantee to the unit holde | ble surplus calculated in accord | dance with the SEBI (Mutual Funds) culated in accordance with SEBI (MF) | ity Alpha.) Regulations 1996 ('SEBI (MF | |
| Expense ratio of underlying scheme Dividend (IDCW) policy Name of the Fund Manager Name of the Trustee Company Performance of the scheme (as on | Expense ratio of SISF Glo (Note: The expense ratio The Trustee will have the Regulations'). The actual of the Trustee shall be fina Mr. Hitesh Das (for foreign Axis Mutual Fund Trustee | given above is for share class C of discretion to declare the IDCW, sub declaration of IDCW and frequency w lin this regard. There is no assurance n securities) [Tenure as Fund Mana e Limited Axis Global Equity Alpha | SISF Global Equity Alpha. The ject to availability of distributal vill inter-alia, depend on availab e or guarantee to the unit holde ger - Less than 1 year] MSCI World TR | ble surplus calculated in accorr pility of distributable surplus calc rs as to the rate of IDCW nor tha | dance with the SEBI (Mutual Funds) sulated in accordance with SEBI (MF) it it will be paid regularly. | ity Alpha.) Regulations 1996 ('SEBI (MF Regulations and the decision: MSCI World TR | |
| Expense ratio of underlying scheme Dividend (IDCW) policy Name of the Fund Manager Name of the Trustee Company Performance of the scheme (as on | Expense ratio of SISF Gld (Note: The expense ratio The Trustee will have the Regulations'). The actual of the Trustee shall be fina Mr. Hitesh Das (for foreig) Axis Mutual Fund Trustee Period | given above is for share class C of discretion to declare the IDCW, sub declaration of IDCW and frequency v l in this regard. There is no assurance n securities) [Tenure as Fund Mana e Limited Axis Global Equity Alpha FoF-Regular Plan [^] | SISF Global Equity Alpha. The ject to availability of distributal will inter-alia, depend on availat e or guarantee to the unit holde ger - Less than 1 year] MSCI World TR INR (Benchmark) | ble surplus calculated in accorr pility of distributable surplus calc rs as to the rate of IDCW nor that Period | dance with the SEBI (Mutual Funds) culated in accordance with SEBI (MF) t it will be paid regularly. Axis Global Equity Alpha FoF - Direct Plan^ | ity Alpha.) Regulations 1996 ('SEBI (MF Regulations and the decisions MSCI World TR INR (Benchmark) | |
| Expense ratio of underlying scheme Dividend (IDCW) policy Name of the Fund Manager Name of the Trustee Company Performance of the scheme (as on | Expense ratio of SISF Gld (Note: The expense ratio The Trustee will have the Regulations'). The actual of of the Trustee shall be fina Mr. Hitesh Das (for foreign Axis Mutual Fund Trustee Period 1 year Returns since Inception (September 24, 2020) Absolute returns for | given above is for share class C of discretion to declare the IDCW, sub declaration of IDCW and frequency v lin this regard. There is no assurance n securities) [Tenure as Fund Mana e Limited Axis Global Equity Alpha FoF-Regular Plan [^] 27.70% 27.30% the last 1 financial year. 2.44% | SISF Global Equity Alpha. The ject to availability of distributal vill inter-alia, depend on availat e or guarantee to the unit holde ger - Less than 1 year] MSCI World TR INR (Benchmark) 29.62% 32.65% | ble surplus calculated in accorr bility of distributable surplus calculated in accorr rs as to the rate of IDCW nor that Period 1 year Returns since Inception (September 24, 2020) Absolute returns for 22.44 | dance with the SEBI (Mutual Funds) sulated in accordance with SEBI (MF) it it will be paid regularly. Axis Global Equity Alpha FoF - Direct Plan^ 29.33% 28.92% the last 1 financial year. % | ity Alpha.) Regulations 1996 ('SEBI (MF Regulations and the decision MSCI World TR INR (Benchmark) 29.62% 32.65% | |
| Expense ratio of underlying scheme Dividend (IDCW) policy Name of the Fund Manager Name of the Trustee Company Performance of the scheme (as on | Expense ratio of SISF Gld (Note: The expense ratio The Trustee will have the Regulations'). The actual of the Trustee shall be fina Mr. Hitesh Das (for foreign Axis Mutual Fund Trustee Period 1 year Returns since Inception (September 24, 2020) Absolute returns for 2 | given above is for share class C of discretion to declare the IDCW, sub declaration of IDCW and frequency w lin this regard. There is no assurance n securities) [Tenure as Fund Mana a Limited Axis Global Equity Alpha FoF-Regular Plan [^] 27.70% 27.30% the last 1 financial year. 2.44% Axis Global | SISF Global Equity Alpha. The ject to availability of distributal vill inter-alia, depend on availab e or guarantee to the unit holde ger - Less than 1 year] MSCI World TR INR (Benchmark) 29.62% 32.65% | Period 1 year Returns since Inception (September 24, 2020) Absolute returns for 22,44 | dance with the SEBI (Mutual Funds) sulated in accordance with SEBI (MF) it it will be paid regularly. Axis Global Equity Alpha FoF - Direct Plan^ 29.33% 28.92% the last 1 financial year. 1% Axis Global Equi | ity Alpha.) Regulations 1996 ('SEBI (MF Regulations and the decision MSCI World TR INR (Benchmark) 29.62% 32.65% ity Alpha | |
| Expense ratio of underlying scheme Dividend (IDCW) policy Name of the Fund Manager Name of the Trustee Company | Expense ratio of SISF Gld (Note: The expense ratio The Trustee will have the Regulations'). The actual of the Trustee shall be fina Mr. Hitesh Das (for foreign Axis Mutual Fund Trustee Period 1 year Returns since Inception (September 24, 2020) Absolute returns for 2 | given above is for share class C of discretion to declare the IDCW, sub declaration of IDCW and frequency w lin this regard. There is no assurance n securities) [Tenure as Fund Mana a Limited Axis Global Equity Alpha FoF-Regular Plan [^] 27.70% 27.30% the last 1 financial year. 2.44% Axis Globa FoF - Regu | SISF Global Equity Alpha. The ject to availability of distributal vill inter-alia, depend on availab e or guarantee to the unit holde ger - Less than 1 year] MSCI World TR INR (Benchmark) 29.62% 32.65% I Equity Alpha lar Plan Id TR INR | ble surplus calculated in accorr bility of distributable surplus calculated in accorr rs as to the rate of IDCW nor that Period 1 year Returns since Inception (September 24, 2020) Absolute returns for 22.44 | dance with the SEBI (Mutual Funds) sulated in accordance with SEBI (MF) it it will be paid regularly. Axis Global Equity Alpha FoF - Direct Plan^ 29.33% 28.92% the last 1 financial year. % Axis Global Equi FoF - Direct Plan MSCI World TR | ity Alpha.) Regulations 1996 ('SEBI (MF Regulations and the decision MSCI World TR INR (Benchmark) 29.62% 32.65% ity Alpha | |
| Expense ratio of underlying scheme Dividend (IDCW) policy Name of the Fund Manager Name of the Trustee Company Performance of the scheme (as on | Expense ratio of SISF Gld (Note: The expense ratio The Trustee will have the Regulations'). The actual of the Trustee shall be fina Mr. Hitesh Das (for foreign Axis Mutual Fund Trustee Period 1 year Returns since Inception (September 24, 2020) Absolute returns for 2 | given above is for share class C of discretion to declare the IDCW, sub declaration of IDCW and frequency w lin this regard. There is no assurance n securities) [Tenure as Fund Mana e Limited Axis Global Equity Alpha FoF-Regular Plan [^] 27.70% 27.30% the last 1 financial year. 2.44% Axis Globa FoF - Regu | SISF Global Equity Alpha. The ject to availability of distributal vill inter-alia, depend on availab e or guarantee to the unit holde ger - Less than 1 year] MSCI World TR INR (Benchmark) 29.62% 32.65% I Equity Alpha lar Plan Id TR INR | Period 1 year Returns since Inception (September 24, 2020) Absolute returns for 22,44 | dance with the SEBI (Mutual Funds) sulated in accordance with SEBI (MF) it it will be paid regularly. Axis Global Equity Alpha FoF - Direct Plan^ 29.33% 28.92% the last 1 financial year. % Axis Global Equi FoF - Direct Plan | ity Alpha.) Regulations 1996 ('SEBI (MF Regulations and the decision MSCI World TR INR (Benchmark) 29.62% 32.65% ity Alpha | |
| Expense ratio of underlying scheme Dividend (IDCW) policy Name of the Fund Manager Name of the Trustee Company Performance of the scheme (as on | Expense ratio of SISF Gld (Note: The expense ratio The Trustee will have the Regulations'). The actual of the Trustee shall be fina Mr. Hitesh Das (for foreign Axis Mutual Fund Trustee Period 1 year Returns since Inception (September 24, 2020) Absolute returns for 2 | given above is for share class C of discretion to declare the IDCW, sub declaration of IDCW and frequency w lin this regard. There is no assurance n securities) [Tenure as Fund Mana a Limited Axis Global Equity Alpha FoF-Regular Plan [^] 27.70% 27.30% the last 1 financial year. 2.44% Axis Globa FoF - Regu MSCI Worl (Benchmar | SISF Global Equity Alpha. The ject to availability of distributal vill inter-alia, depend on availab e or guarantee to the unit holde ger - Less than 1 year] MSCI World TR INR (Benchmark) 29.62% 32.65% I Equity Alpha lar Plan Id TR INR | Period 1 year Returns since Inception (September 24, 2020) Absolute returns for 22,44 | Axis Global Equity Alpha FoF - Direct Plan [*] 29.33% 28.92% the last 1 financial year. % Axis Global Equity Alpha FoF - Direct Plan [*] Canada State St | ity Alpha.) Regulations 1996 ('SEBI (MI Regulations and the decision MSCI World TR INR (Benchmark) 29.62% 32.65% ity Alpha | |
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| | The Investor is requested to check the prevailing Load structure of the Scheme before investing. For any change in Load structure, AMC will issue an addendum and display it on the website/ Investor Service Centres. |
|---|--|
| | Under the Scheme, the AMC/ Trustee reserves the right to change / modify the Load structure if it so deems fit in the interest of smooth and efficient functioning of the Mutual Fund. The AMC/ Trustee reserves the right to introduce / modify the Load depending upon the circumstances prevailing at that time subject to maximum limits as prescribed under the Regulations. |
| | (ii) Recurring expenses The AMC will charge the Scheme such actual expenses incurred, subject to the statutory limit prescribed in the Regulations and amendments thereto. |
| | All fees and expenses charged in a Direct Plan (in percentage terms) under various heads including the investment and advisory fee shall not exceed the fees and expenses charged under |
| | such heads in Regular Plan. |
| | Direct Plan shall have a lower expense ratio excluding distribution expenses, commission, etc. and no commission for distribution of Units will be paid/ charged under Direct Plan. The expenses towards Investment Management and Advisory Fees under Regulation 52(2) and the various sub-heads of recurring expenses mentioned under Regulation 52(4) of SEBI (MF) Regulations can be apportioned under various expense heads/ sub heads without any sub limit, as permitted under the applicable regulations. Thus, there shall be no internal sub- limits within the expense ratio for expense heads mentioned under Regulation 52(2) and (4) respectively. Further, the additional expenses under Regulation 52(6A)(c) may be incurred either towards investment & advisory fees and/or towards other expense heads as stated above. |
| | These estimates have been made in good faith as per the information available to the Investment Manager and are subject to change inter-se or in total subject to prevailing Regulations. The investors will bear the recurring expenses of the scheme in addition to the expenses of other scheme in which Axis Global Equity Alpha Fund of Fund will make investment. |
| | The investors will be a the recenting expenses of the scheme in addition to the expenses of other scheme in which exits Global Equity April 4 bit of Fund will make investment. The total expense ratio of the Scheme including weighted average of the total expense ratio levied by the underlying scheme(s) shall not exceed 2.25 per cent of the daily net assets of the Scheme. |
| | Provided that the total expense ratio to be charged over and above the weighted average of the total expense ratio of the underlying scheme shall not exceed two times the weighted average of the total expense ratio levied by the underlying scheme, subject to the overall ceilings as stated above. |
| | The total expenses of the Scheme(s) including the investment management and advisory fee shall not exceed the limit stated in Regulation 52(6) of the SEBI (MF) Regulations and amendments thereto. |
| | The AMC will charge the Scheme such actual expenses incurred, subject to the statutory limit prescribed in the Regulations. Expenses charged to the Scheme: |
| | A. In addition to the limits as specified in Regulation 52(6) of SEBI (MF) Regulations 1996 or the Total Recurring Expenses (Total Expense Limit) as specified above, the following costs or expenses may be charged to the Scheme namely- Additional expenses for gross new inflows from specified cities |
| | a.expenses not exceeding of 0.30 per cent of daily net assets, if the new inflows from such cities as specified by SEBI/AMFI from time to time are at least - (i) 30 per cent of gross new inflows in the scheme, or; |
| | (ii) 15 per cent of the average assets under management (year to date) of the scheme, whichever is higher.: |
| | Provided that if inflows from retail investors from such cities is less than the higher of sub-clause (i) or sub- clause (ii), such expenses on daily net assets of the scheme shall be charged on proportionate basis. |
| | Provided further that, expenses charged under this clause shall be utilised for distribution expenses incurred for bringing inflows from retail investors from such cities. Provided further that amount incurred as expense on account of inflows from such cities shall be credited back to the scheme in case the said inflows are redeemed within a period of |
| | one year from the date of investment. Provided further that, additional TER can be charged based on inflows only from retail investors in terms of SEBI circular no. SEBI/HO/IMD/DF2/CIR/P/2018/137 dated October 22, 2018 and SEBI circular no. SEBI/HO/IMD/DF2/CIR/P/2019/42 dated March 25, 2019. For this purpose inflows of amount upto ₹2,00,000/- per transaction, by individual investors shall be considered as inflows from "retail investor". |
| | a) additional expenses, incurred towards different heads mentioned under Regulations 52(2) and 52(4), not exceeding 0.05 per cent of daily net assets of the Scheme; b) Goods & Service Tax (GST) payable on investment and advisory service fees ('AMC fees') charged by Axis Asset Management Company Limited ('Axis AMC)'; Further, brokerage and transaction costs which are incurred for the purpose of execution of trade and is included in the cost of investment shall not exceed 0.12 per cent in case of |
| | cash market transactions. B. Within the Total Expense Limit chargeable to the Scheme, following will be charged to the Scheme: |
| | (a) GST on other than investment and advisory fees, if any, (including on brokerage and transaction costs on execution of trades) shall be borne by the Scheme |
| | (b) Investor education and awareness initiative fees of at least 2 basis points on daily net assets of respective Scheme. |
| | C. AMC fees charged by Axis AMC to the Scheme will be within the Total Expense Limit as prescribed by SEBI Regulations, as amended from time to time. The mutual fund would update the current expense ratios on its website (www.axismf.com) atleast three working days prior to the effective date of the change. Investors can refer 'Total Expense Ratio of Mutual Fund Schemes' section on https://www.axismf.com/total-expense-ratio for Total Expense Ratio (TER) details. Actual expense for the financial year ended March 31, 2021 (audited): Regular Plan: 1.66%**, Direct Plan:0.39%** |
| Transaction charges | Please refer to point no. 2 on page no. 42 |
| Waiver of load for direct | Not applicable |
| applications | |
| Tax treatment for unit holders | Please refer to point no. 3 on page no. 43 |
| Daily Net Asset Value | Please refer to point no. 4 on page no. 43 |
| (NAV) publication | |
| For investor grievances please contact | Please refer to point no. 5 on page no. 43 |
| Unit holder's information | Please refer to point no. 6 on page no. 43 |

Information Common to Schemes

| 1. Application NAV | Subscriptions/Purchases including Switch- ins: The following cut-off timings shall be observed by the Mutual Fund in respect of purchase of units of the Scheme and the following NAVs shall be applied for such purchase: 1. Where the application is received upto 3.00 pm on a Business day and funds are available for utilization before the cut-off time – the closing NAV of the Business day shall be applicable; 2. Where the application is received after 3.00 pm on a Business day and funds are available for utilization on the same day or before the cut-off time of the next Business Day - the closing NAV of the next Business Day and funds are available for utilization on the same day or before the cut-off time of the next Business Day - the closing NAV of the next Business Day - the closing NAV of the next Business Day - the closing NAV of the next Business Day - the closing NAV of the next Business Day - the closing NAV of the next Business Day - the closing NAV of the next Business day on which the funds are available for utilization shall be applicable. 3. Irrespective of the time of receipt of application, where the funds are not available for utilization before the cut-off time - the closing NAV of Business day on which the funds are available for utilization shall be applicable. For determining the applicable NAV for allotment of units in respect of purchase / switch in the Scheme, it shall be ensured that: Application is received before the applicable cut-off time Funds for the entire amount of subscription/purchase as per the application are credited to the bank account of the Scheme before the cutoff time. The funds are available for utilization before the cut-off time. The funds are available for utilization before the c |
|------------------------|---|
| 2. Transaction charges | As per SEBI circular dated August 22, 2011, Transaction Charge per subscription of ₹ 10,000/- and above shall be charged from the investors and shall be payable to the distributors/ brokers (who have not opted out of charging the transaction charge) in respect of applications routed through distributor/broker relating to Purchases / subscription / new inflows only (lumpsum and SIP), |
| | subject to the following: For Existing / New investors: ₹100/₹150 as applicable per subscription of ₹10,000/- and above. Transactions charge for SUB about the period of \$10,000/- and above. |
| | Transaction charge for SIP shall be applicable only if the total commitment through SIP amounts to ₹ 10,000/- and above. In such cases the transaction charge would be recovered in maximum 4 successful installments. There shall have a charge an eutherniate below ₹ 10,000/- |
| | There shall be no transaction charge on subscription below ₹ 10,000/ There shall be no transaction charges on direct investments. |

| 2. Transaction charge (Contd.) | The requirement of minimum application amount shall not be applicable if the investment amount falls below the minimum requirement due to deduction of transaction charges from the subscription amount. However, the option to charge "transaction charges" is at the discretion of the distributors. Investors may note that distributors can opt to receive transaction charges based on type of the Scheme. Accordingly, the transaction charges would be deducted from the subscription amounts, as applicable. | | |
|---|--|--|--|
| 3. Tax treatment for un holders | it Investors are advised to refer to the paragraph on Taxation in the "Statement of Additional Information" and to consult their own tax implications arising out of their participation in the Scheme. | advisors with respect to the specific amount of tax and other | |
| 4. Daily Net Asset Valu (NAV) publication | e The NAV will be declared on all business days. NAV can also be viewed on www.axismf.com and www.amfiindia.com [You can also te | lephone us at 81086 22211.] | |
| 5. For investor grievances please contact | Registrar and Transfer Agent: KFin Technologies Private Limited, Unit - Axis Mutual Fund, Selenium, Tower B, Plot number Serilingampally Mandal, Hyderabad - 500032. TEL: 040 33211000. Name, address, telephone number, fax number, e-mail ID of the Mutual Fund, Mr. Milind Vengurlekar, Axis Asset Manageme Centre, Pandurang Budhkar Marg, Worli, Mumbai – 400025. Tel. No.: 022 4325 4123, Fax No: 022 4325 5199. Toll Free: E-mail: customerservice@axismf.com | ent Co. Ltd. "Axis House", 1st Floor, C-2, Wadia International | |
| 6. Unit holder's information | E-mail: customerservice@axismf.com Account Statements: On acceptance of the application for subscription, allotment confirmation specifying the number of units alloted by receipt of transaction request allotment will be sent to be lap runtsee availated as and or mobile number. Consolidated Account Statement: CAS is an account statement detailing all the transactions and holding the and of the month including the mutual funds. CAS issued to restore that allow provide the top law runtsee available to the control in the control of the month including the mutual funds. CAS issued to restore and provide an interview of the approximation of actual commission paid by AMC/MLMLML Fund to distributions (in absolute terms) during the half-year period against the content ace has cheme a seglicable plant lengular or direct or top schemed investor has actually invested in. Further, wherever distributable surplus is distributed, a clear segregation between investment and Advisory fees. Comme ace has cheme in the allow lab is surplus is distributed, a clear segregation between income distribution (appreciation on NAV) and capit the MAG. The AVC shall ensume that a CAS for every half yarylr (Septembert March) is issued. On a chefore 21 day of succeeding month, deta mutual funds. Chall clearly common investors across fund houses by their Permanent Account Number (PAN) for the purposes of sending C mutual funds. CHAR Dire P12(2014 dated November 12, 2014 read with other applicable circulars issued by SEB If investments of an investor in Aluxia Fund and securities have income investors and additional plant accounts. In view of the adviscobines is a statement containing details result for subactions in the soft beam containing the priod. The AMC will send tautement, for investors who hold demat account, for transactions in the soft beam contains and biolog demat accounts. In the weat the advises area of Asis Mutual Fund on or a sartly Depositones every ha | ransaction charges paid to the distributor, across all schemes of all corred investor's total investments in each scheme. Inission paid to the distributor and Other expenses for the period for al distribution (Equalization Reserve) shall be suitably disclosed in all distribution (Equalization Reserve) shall be suitably disclosed in all all the event the account has more than one registered holder, on send Account Statements individually to the investors. rom time to time, to enable a single consolidated view of all the rate and dispatch a single CAS for investors having mutual fund fter February 1, 2015, a CAS, based on PAN of the holders, will be sin whose folios transactions have taken place during that month. the end of the six month, to all such investors in whose folios and al funds viz. purchase, redemption, switch, IDCW payout, IDCW the distributor) and transaction in dematerialized securities across at statement in terms of regulations applicable to the depositories. ecount(s) are updated with PAN. In case of multiple holding, it shall e an investor does not wish to receive CAS through email, option is ontact the depositories to opt out. Investors who do not hold demat ractice. the default depository. SEBI (Mutual Funds) Regulations. However, the AMC reserves the hat their folio(s)/demat account(s) are updated with PAN. s), for any financial transaction undertaken during the month on or all schemes at the end of every six months (i.e. September/March), e sent by mail/e-mail. uired)) to all Unit holders not later than four months (or such other ull annual report shall be available for inspection at the Head Office a report shall also be displayed on the website of the Mutual Fund if degd summary thereof. wed from a unitholder. er, disclosing the hosting of the scheme wise annual report on the ual report or abridged summary thereof ment in an all 10 days from the close of each month/ half year (i.e. ment in an all 10 days from the close of each month/ half year (i.e. ment in an all 10 days from the clos | |
| | The AMC will also provide a dashboard, in a comparable, downloadable (spreadsheet) and machine readable format, providing performance expense ratios, portfolio details, scheme's past performance etc. on website. | e and key disclosures like Scheme's AUM, investment objective, | |
| 7A. Differentiation with existing open ended equity schemes (as on | Axis Long Term Equity Fund Asset Allocation | | |
| September 30, | Type of Instrument | Normal Allocation (% of net assets) | |
| 2021) | Equity and equity- related Securities | 80 - 100 | |
| | Debt and money market instruments | 0 - 20 | |
| | Primary Investment Objective - The investment objective of the Scheme is to generate income and long-term capital apprecia | tion from a diversified portfolio of predominantly equity and | |
| | equity-related Securities. However, there can be no assurance that the investment objective of the Scheme will be achieved. | | |
| | Investment Strategy - The Scheme will invest in a diversified portfolio of strong growth companies with sustainable business mode | Is. Though the benchmark is S&P BSE-200, the investments | |

| 7A. Differentiation with | will not be limited to the companies constituting the benchmark. | | | | |
|-------------------------------|--|---|--|--|--|
| existing open ended equity | The portfolios will be built utilising a bottom-up stock selection process, focusing on appreciation potential of individual stock | | | | |
| schemes (as on | fundamentals based research process to analyse the appreciation potential of each stock in its universe. The universe of stocks is carefully selected to include companies having robust business models and enjoying sustainable competitive advantages as compared to their competitors. The Fund will have the flexibility to invest across the market capitalization spectrum. | | | | |
| September 30, | | ty to invest across the market capitalization spectrum. | | | |
| 2021) | The Scheme will endeavour to remain fully invested in equity and equity-related instruments at all times. | | | | |
| (Contd.) | Differentiation - An open ended equity linked saving scheme with a statutory lock in of 3 year and tax benefit | | | | |
| | AUM (₹ in crores): 34,363.29; No. of Folios: 2,298,942 | | | | |
| | Axis Midcap Fund | | | | |
| | Asset Allocation | | | | |
| | Type of Instrument | Normal Allocation (% of net assets) | | | |
| | Equity and Equity Related Instruments of Mid-cap companies | 65 - 100 | | | |
| | Equity and Equity Related Instruments of non Mid-cap Companies | 0 - 35 | | | |
| | Debt and Money Market Instruments | 0 - 35 | | | |
| | Units issued by REITs & InvITs | 0 - 10 | | | |
| | Primary Investment Objective - To achieve long term capital appreciation by investing predominantly in equity & equity related instr | | | | |
| | | | | | |
| | Investment Strategy - Axis Midcap Fund endeavors to generate capital appreciation through an actively managed diversified portfo | | | | |
| | The portfolio will be built utilising a bottom-up stock selection process, focusing on appreciation potential of individual stocks from a based research process to analyse the appreciation potential of each stock in its universe (Fair value is a measure of the intrinsi | | | | |
| | selected to include companies having a robust business models and enjoying sustainable competitive advantages as compared to the | | | | |
| | The Fund will, mainly, invest in mid-cap companies. Mid-cap companies, as they are in a stage of growth, may be valued higher than | | | | |
| | strong growth companies & take advantage of their future appreciation. | Their fair value. However, the Fund Intends to identify such | | | |
| | The Fund by utilising a holistic risk management strategy will endeavour to manage risks associated with investing in equity market | ts. The Fund has identified the following risks and designed | | | |
| | risk management strategies, which are embedded in the investment process to manage these risks- | and the second of the following have and designed | | | |
| | i. Quality Risk - Risk of investing in unsustainable / weak companies. | | | | |
| | ii. Price Risk - Risk of overpaying for a company | | | | |
| | iii. Liquidity Risk - High Impact cost of entry and exit | | | | |
| | iv. Volatility Risk - Volatility in price due to company or portfolio specific factors | | | | |
| | v. Event Risk - Price risk due to a company / sector specific or market event | | | | |
| | | | | | |
| | Differentiation - An open ended equity scheme predominantly investing in Mid Cap companies | | | | |
| | AUM (₹ in crores): 15,398.35; No. of Folios: 1,022,329 | | | | |
| | | | | | |
| | Axis Focused 25 Fund | | | | |
| | Asset Allocation | | | | |
| | Type of Instrument | Normal Allocation (% of net assets) | | | |
| | Equity and Equity Related Instruments (of not exceeding 25 companies) | 65 - 100 | | | |
| | Debt and Money Market Instruments | 0 - 35 | | | |
| | Units issued by REITs & InvITs | 0 - 10 | | | |
| | | | | | |
| | | | | | |
| | Primary Investment Objective - To generate long term capital appreciation by investing in a concentrated portfolio of equity & equity | related instruments of up to 25 companies. | | | |
| | Primary Investment Objective - To generate long term capital appreciation by investing in a concentrated portfolio of equity & equity Investment Strategy - The Scheme aims to generate long term capital appreciation by investing in a concentrated portfolio of equity | y related instruments of up to 25 companies. & equity related instruments of up to 25 companies. | | | |
| | Primary Investment Objective - To generate long term capital appreciation by investing in a concentrated portfolio of equity & equity Investment Strategy - The Scheme aims to generate long term capital appreciation by investing in a concentrated portfolio of equity In order to have a concentrated portfolio, the Scheme will follow a bottom up stock selection approach. The Scheme will reflect our be | y related instruments of up to 25 companies. & equity related instruments of up to 25 companies. st investment ideas at all points of time. | | | |
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| | Primary Investment Objective - To generate long term capital appreciation by investing in a concentrated portfolio of equity & equits Investment Strategy - The Scheme aims to generate long term capital appreciation by investing in a concentrated portfolio of equity In order to have a concentrated portfolio, the Scheme will follow a bottom up stock selection approach. The Scheme will reflect our be absed research process to analyse the appreciation potential of each stock in its universe (Fair value is a measure of the intrinsi selected to include companies having a robust business models and enjoying sustainable competitive advantages as compared to th Differentiation - An Open-ended Equity Scheme investing in maximum 25 stocks investing in large cap, mid cap and small cap comp AUM (* in cores): 20.344.55; No. of Folios: 1.059,468 Axis Bluechip Fund Asset Allocation Type of Instrument Equity and Equity Related Instruments of Large Cap companies Equity and Equity Related Instruments of the companies Debt and Money Market Instruments Units issued by REITs & InvITs Primary Investment Objective - To achieve long term capital appreciation by investing in a diversified portfolio predominanity cor companies including derivatives. However, there can be no assurance that the investment objective of the Scheme will be achieved. Investment Strategy - The Scheme will invest predominantly in Equity and Equity Related Instruments of Large Cap companies v managing risk. In the portfolios will be built utilising a bottom-up stock selection process, focusing on appreciation potential of individual stocks from a based research process to analyse the appreciation potential of each stock in its universe (Fair value is a measure of the intrinsi selected to include companies having robust business models and enjoying sustainable competitive advantages as compared to the Differentiation - An open-ended equity scheme predominantly investing in large cap stocks AUM (* in cores): 33,168.98; No. of Folios: 2,265,886 Axis Flexi Cap Fund A | Image: construction of up to 25 companies. & equity related instruments of up to 25 companies. st investment ideas at all points of time. fundamental perspective. The AMC employs a "Fair value" c worth of a company). The universe of stocks is carefully relic competitors. panies Normal Allocation (% of net assets) 0 - 20 0 - 20 0 - 10 isisting of equity and equity related securities of Large Cap vith strong growth and sustainable business models, whilst fundamental perspective. The AMC employs a "Fair value" c worth of a company). The universe of stocks is carefully ir competitors. Normal Allocation (% of net assets) 0 - 10 stational perspective. The AMC employs a "Fair value" c worth of a company). The universe of stocks is carefully ir competitors. Normal Allocation (% of net assets) 65 - 100 0 - 35 0 - 10 lated instruments across market capitalizations. However, y related instruments across market capitalizations. | | | |
| | Primary Investment Objective - To generate long term capital appreciation by investing in a concentrated portfolio of equity & equits Investment Strategy - The Scheme aims to generate long term capital appreciation by investing in a concentrated portfolio of equity In order to have a concentrated portfolio, the Scheme will follow a bottom up stock selection approach. The Scheme will reflect our be The portfolio will be built utiling a bottom-up stock selection process, focusing on appreciation potential of individual stocks from a based research process to analyse the appreciation potential of each stock in its universe (Fair value is a measure of the intrinsi selected to include companies having a robust business models and enjoying sustainable competitive advantages as compared to th Differentiation - An Open-ended Equity Scheme investing in maximum 25 stocks investing in large cap, mid cap and small cap comp AUM (7 in corres): 20,344.55; No. of Folios: 1,059,468 Axis Bluechip Fund Asset Allocation Type of Instrument Equity and Equity Related Instruments of Large Cap companies Equity and Equity Related Instruments of other companies Debt and Money Market Instruments Units issued by REITs & InvITs Primary Investment Objective - To achieve long term capital appreciation by investing in a diversified portfolio predominantly cor companies including derivatives. However, there can be no assurance that the investment objective of the Scheme will be achieved. Investment Strategy - The Scheme will invest predominantly in Equity and Equity Related Instruments of Large Cap companies v managing risk. The portfolios will be built utilising a bottom-up stock selection process, focusing on appreciation potential of individual stock from a based research process to analyse the appreciation potential of each stock in its universe (Fair value is a measure of the intrinsi selected to include companies having robust business models and enjoying sustainable competitive advantages as compared to the Differentiation - An open-ende | Image: construction of up to 25 companies. & equity related instruments of up to 25 companies. st investment ideas at all points of time. fundamental perspective. The AMC employs a "Fair value" c worth of a company). The universe of stocks is carefully relic competitors. panies Normal Allocation (% of net assets) 0 - 20 0 - 20 0 - 10 isisting of equity and equity related securities of Large Cap with strong growth and sustainable business models, whilst fundamental perspective. The AMC employs a "Fair value" c worth of a company). The universe of stocks is carefully ir competitors. Normal Allocation (% of net assets) 0 - 10 ust struments of up to 25 companies. | | | |
| | Primary Investment Objective - To generate long term capital appreciation by investing in a concentrated portfolio of equity & equit Investment Strategy - The Scheme amins to generate long term capital appreciation by investing in a concentrated portfolio of equity In order to have a concentrated portfolio, the Scheme will follow a bottom up stock selection approach. The Scheme will reflect our be The portfolio will be built utilising a bottom-up stock selection process, focusing on appreciation potential of individual stocks from a based research process to analyse the appreciation potential of each stock in its universe (Fair value is a measure of the intrinsi selected to include companies having arobust business models and enjoying sustainable competitive advantages as compared to th Differentiation - An Open-ended Equity Scheme investing in maximum 25 stocks investing in large cap, mid cap and small cap comp AUM (* in crores): 20,344.55; No. of Folios: 1,059,468 Axis Bluechip Fund Asset Allocation Type of Instrument Equity and Equity Related Instruments of Large Cap companies Equity and Equity Related Instruments of Large Cap companies Units issued by REITs & InvITs Primary Investment Objective - To achieve long term capital appreciation by investing in a diversified portfolio predominantly cor companies including derivatives. However, there can be no assurance that the investment objective of the Scheme will be achieved. Investment Strategy - The Scheme will invest predominantly in Equity and Equity Related Instruments of Large Cap companies v managing risk. The portfolios will be built utilising a bottom-up stock selection process, focusing on appreciation potential of individual stocks from a based research process to analyse the appreciation potential of each stock in its universe (Fair value is a measure of the intrinsi selected to include companies having robust business models and enjoying sustainable competitive advantages as compared to the Differentiation - An open-ended equity scheme predominantl | Image: construction of up to 25 companies. & equity related instruments of up to 25 companies. st investment ideas at all points of time. fundamental perspective. The AMC employs a "Fair value" c worth of a company). The universe of stocks is carefully relic competitors. panies Normal Allocation (% of net assets) 0 - 20 0 - 20 0 - 10 isisting of equity and equity related securities of Large Cap with strong growth and sustainable business models, whilst fundamental perspective. The AMC employs a "Fair value" c worth of a company). The universe of stocks is carefully ir competitors. Normal Allocation (% of net assets) 0 - 10 ust struments of up to 25 companies. | | | |
| | Primary Investment Objective - To generate long term capital appreciation by investing in a concentrated portfolio of equity & equit Investment Strategy - The Scheme aims to generate long term capital appreciation by investing in a concentrated portfolio of equity In order to have a concentrated portfolio, the Scheme will follow a bottom up stock selection approach. The Scheme will reflect our be The portfolio will be built utilising a bottom-up stock selection porcess, focusing on appreciation potential of individual stocks from a based research process to analyse the appreciation potential of each stock in its universe (Fair value is a measure of the intrinsi selected to include companies having arobust business models and enjoying sustainable competitive advantages as compared to th Differentiation - An Open-ended Equity Scheme investing in maximum 25 stocks investing in large cap, mid cap and small cap comp AUM (* in crores): 20,344.55; No. of Folios: 1,059,468 Axis Bluechip Fund Asset Allocation Type of Instrument Equity and Equity Related Instruments of Large Cap companies Equity and Equity Related Instruments of Large Cap companies Debt and Money Market Instruments Uhits issued by REITs & InvTis Primary Investment Objective - To achieve long term capital appreciation by investing in a diversified portfolio predominantly cor companies including derivatives. However, there can be no assurance that the investment objective of the Scheme will be achieved. Investment Strategy - The Scheme will invest predominantly in Equity and Equity Related Instruments of Large Cap companies v managing risk. The portfolios will be built utilising a bottom-up stock selection process, focusing on appreciation potential of individual stocks from a based research process to analyse the appreciation potential of each stock in its universe (Fair value is a measure of the intrinsi selected to include companies having robust business models and enjoying sustainable competitive advantages as compared to the Differentiation - An open | Implementation Normal Allocation (% of net assets) 8 equity related instruments of up to 25 companies. stinvestment ideas at all points of time. fundamental perspective. The AMC employs a "Fair value" c worth of a company). The universe of stocks is carefully neir competitors. banies Image: the transformation of transformating transformation of transformation of tran | | | |
| | Primary Investment Objective - To generate long term capital appreciation by investing in a concentrated portfolio of equity & equit Investment Strategy - The Scheme amins to generate long term capital appreciation by investing in a concentrated portfolio of equity In order to have a concentrated portfolio, the Scheme will follow a bottom up stock selection approach. The Scheme will reflect our be The portfolio will be built utilising a bottom-up stock selection process, focusing on appreciation potential of individual stocks from a based research process to analyse the appreciation potential of each stock in its universe (Fair value is a measure of the intrinsi selected to include companies having arobust business models and enjoying sustainable competitive advantages as compared to th Differentiation - An Open-ended Equity Scheme investing in maximum 25 stocks investing in large cap, mid cap and small cap comp AUM (* in crores): 20,344.55; No. of Folios: 1,059,468 Axis Bluechip Fund Asset Allocation Type of Instrument Equity and Equity Related Instruments of Large Cap companies Equity and Equity Related Instruments of Large Cap companies Units issued by REITs & InvITs Primary Investment Objective - To achieve long term capital appreciation by investing in a diversified portfolio predominantly cor companies including derivatives. However, there can be no assurance that the investment objective of the Scheme will be achieved. Investment Strategy - The Scheme will invest predominantly in Equity and Equity Related Instruments of Large Cap companies v managing risk. The portfolios will be built utilising a bottom-up stock selection process, focusing on appreciation potential of individual stocks from a based research process to analyse the appreciation potential of each stock in its universe (Fair value is a measure of the intrinsi selected to include companies having robust business models and enjoying sustainable competitive advantages as compared to the Differentiation - An open-ended equity scheme predominantl | Implementation Normal Allocation (% of net assets) 8 equity related instruments of up to 25 companies. stinvestment ideas at all points of time. fundamental perspective. The AMC employs a "Fair value" c worth of a company). The universe of stocks is carefully neir competitors. banies Image: the transformation of transformating transformation of transformation of tran | | | |

| 7A. Differentiation with | based research process to analyse the appreciation potential of each stock in its universe (Fair value is a measure of the intrinsi | ic worth of a company). The universe of stocks is carefully | | | |
|--------------------------|---|--|--|--|--|
| existing open | selected to include companies having a robust business models and enjoying sustainable competitive advantages as compared to the | | | | |
| ended equity | Differentiation - An open ended equity scheme investing across large cap, mid cap, small cap stocks | | | | |
| schemes (as on | AUM (₹ in crores): 11,094.34; No. of Folios: 588,150 | | | | |
| September 30, | | | | | |
| 2021) (Contd.) | Axis Small Cap Fund | | | | |
| | Asset Allocation | | | | |
| | Type of Instrument | Normal Allocation (% of net assets) | | | |
| | Equity and Equity related instruments of small cap companies | 65 - 100 | | | |
| | Equity and Equity Related Instruments of non-small cap Companies | 0 - 35 | | | |
| | Debt & Money Market Instruments | 0 - 35 | | | |
| | Units issued by REITs & InvITs | 0 - 10 | | | |
| | Primary Investment Objective - To generate long-term capital appreciation from a diversified portfolio of predominantly equity & eq | uity related instruments of small cap companies. | | | |
| | Investment Strategy - The Fund will, mainly, invest in small-cap companies. Small-cap companies, as they are in a stage of growth, may not be valued at their fair price. However, the Fund | | | | |
| | intends to identify such strong growth companies & take advantage of their future appreciation. | an, may not be valued at their fair price. However, the Fund | | | |
| | The portfolio will be built utilising a bottom-up stock selection process, focusing on appreciation potential of individual stocks from a | fundamental perspective. The AMC employs a "Fair value" | | | |
| | based research process to analyse the appreciation potential of each stock in its universe (Fair value is a measure of the intrinsi | | | | |
| | selected to include companies having a robust business models and enjoying sustainable competitive advantages as compared to the | | | | |
| | The scheme by utilising a holistic risk management strategy will endeavour to manage risks associated with investing in equity market | ets. | | | |
| | Differentiation - An open ended equity scheme predominantly investing in small cap stocks | | | | |
| | AUM (₹ in crores): 7,305.38; No. of Folios: 687,284 | | | | |
| | AUMI (cin crores): 7,505.30, NO. 01 POIDS: 067,204 | | | | |
| | | | | | |
| | Axis Growth Opportunities Fund | | | | |
| | Asset Allocation | | | | |
| | Type of Instrument | Normal Allocation (% of net assets) | | | |
| | Equity & equity related instruments of Large Cap Stock | 35 - 65 | | | |
| | Equity & equity related instruments of Mid Cap Stock | 35 - 65 | | | |
| | Other Equity and Equity related instruments | 0 - 30 | | | |
| | Debt and Money Market Instruments | 0 - 30 | | | |
| | | | | | |
| | Units issued by REITs & InvITs | 0 - 10 | | | |
| | Primary Investment Objective - To generate long term capital appreciation by investing in a diversified portfolio of Equity & Equity Related Instruments both in India as well as overseas. | | | | |
| | However, there can be no assurance that the investment objective of the Scheme will be achieved. | | | | |
| | Investment Strategy - Equity portfolio will be run as a diversified portfolio with a balanced mix of large and mid cap stocks. | | | | |
| | The Scheme will look at the 3 pillars while constructing the portfolio: | | | | |
| | - High quality portfolio | | | | |
| | - Sustainable growth across market cycles | | | | |
| | - Low churn | | | | |
| | Portfolio construction would be a combination of both top down and bottom up approach. The top down approach will be based on macro-economic analysis and will be used to arrive at the | | | | |
| | geographical market and sectors/themes while the bottom-up process will focus on appreciation potential of individual stocks from a fundamental perspective to arrive at the stock selection. | | | | |
| | The AMC employs a "Fair value" based research process to analyse the appreciation potential of each stock in its universe (Fair value is a measure of the intrinsic worth of a company). The | | | | |
| | universe of stocks is carefully selected to include companies having a robust business models and enjoying sustainable competitive | advantages as compared to their competitors. | | | |
| | Investment in Foreign Securities will be made to capture potential opportunities in equity markets of developed and/or emerging mar | kets across geographies. Investment could also be made in | | | |
| | themes/brands/market leaders present in these specific markets that cannot be played through the domestic economy either because these are not present or the companies are not listed on | | | | |
| | an exchange in India. | | | | |
| | The portfolio will have an absolute return focus. That is the fund manager will not look at the market cycles but will try to generate re | | | | |
| | Scheme will have the leeway to take a higher allocation to cash in case the fund manager is not able to find appropriate stocks at acceptable valuations at any time. | | | | |
| | The Scheme by utilising a holistic risk management strategy will endeavour to manage risks associated with investing in equity markets. The Scheme has identified the following risks and | | | | |
| | designed risk management strategies, which are embedded in the investment process to manage these risks | | | | |
| | i. Quality Risk - Risk of investing in unsustainable / weak companies. | | | | |
| | ii. Price Risk - Risk of overpaying for a company | | | | |
| | iii. Liquidity Risk - High Impact cost of entry and exit | | | | |
| | iv. Volatility Risk - Volatility in price due to company or portfolio specific factors | | | | |
| | v. volatility Risk - Volatility in price due to company or portfolio specific factors v. Event Risk - Price risk due to a company / sector specific or market event | | | | |
| | | | | | |
| | Differentiation - A diversified equity portfolio of securities with current or potentially attractive opportunities from both Indian and overseas markets. | | | | |
| | AUM (₹ in crores): 4,692.62; No. of Folios: 238,135 | | | | |
| | | | | | |
| | Axis ESG Equity Fund | | | | |
| | Asset Allocation | | | | |
| | Type of Instrument | Normal Allocation (% of net assets) | | | |
| | Equity and equity related instruments following Environmental, Social and Governance (ESG) criteria | 80 - 100 | | | |
| | Other equities and equity related instruments | 0 - 20 | | | |
| | Debt & Money Market Instruments | 0 - 20 | | | |
| | | 0 - 20 | | | |
| | Units issued by REIT/InVIT | | | | |
| | Primary Investment Objective - To generate long term capital appreciation by investing in a diversified portfolio of companies of Environmental Seciel and Covernments | remonstrating sustainable practices across | | | |
| | Environmental, Social and Governance (ESG) parameters. | | | | |
| | Investment Strategy - ESG represents factors viz. Environmental (such as impact of business on natural resources), Social (such | as business having social impact) and Governance (being | | | |
| | the way in which the company is run). | | | | |
| | Quality companies with a competitive advantage, sustainable business model and visibility of earnings growth are the best av | | | | |
| | complement traditional tools of evaluating and identifying quality businesses and thus improve the overall understanding of the comp | | | | |
| | Differentiation - An Open ended equity scheme investing in companies demonstrating sustainable practices across Environment, S | social and Governance (ESG) theme | | | |
| | AUM (₹ in crores): 2,140.29; No. of Folios: 79,076 | | | | |
| | | | | | |

| 7A. Differentiation with | Axis Special Situation Fund | | | | |
|--------------------------------|--|---|--|--|--|
| existing open | Asset Allocation | | | | |
| ended equity schemes (as on | Type of Instrument | Normal Allocation (% of net assets) | | | |
| September 30, | Equity & Equity related instruments of special situations theme | 80 - 100 | | | |
| 2021) (Contd.) | Other Equity and Equity related instruments | 0 - 20 | | | |
| | Debt & Money Market Instruments | 0 - 20 | | | |
| | Units issued by REITs & InvITs | 0 - 10 | | | |
| | Primary Investment Objective - To generate long-term capital appreciation by investing in mis-priced stocks facing special situ companies facing special situations like regulatory/policy changes, management restructuring, technology led disruption and inn environment. | | | | |
| | Investment Strategy - The Special situations can occur due to companies facing / undergoing issues like technology led disruptic restructuring, or any prolonged cyclical challenges in the operating environment. The effect of these special situations on the con nature. | | | | |
| | nature. Financial markets are inefficient and often slow to recognize the impact of special situations such as genuine disruptive innovation or are reluctant to accept the impact of disruption. This creates a gap between market expectations and likely outcomes and can lead to significant mis-pricing or unanticipated growth potential of these stocks. Disruptive change is accelerating as technological power grows exponentially, costs fall and with dramatic changes in the regulatory environment (GST, RERA, etc). Further disruption and new business models are being actively promoted by heavy investments from private equity investors and the global tech giants. This has catalyzed innovation and adoption rates further. The reward of disruptive growth is not limited only to the disruptors – it creates opportunity across the entire value chain. Apart from disruptors beneficiaries could include enablers (companies tha are facilitating the change) and adaptors (incumbents who positively respond to the changed reality). | | | | |
| | The fund will look to invest in stocks that are: | | | | |
| | Benefiting from disruptive change (disruptors, enablers, adaptors) on account of technology, regulatory, or any other factors of | Dr | | | |
| | Witnessing a significant turnaround potential from a prolonged cyclical slowdown. | | | | |
| | Such opportunities can be available at company level, industry/sector level or market level. | | | | |
| | Differentiation - An open ended equity scheme following special situations theme | | | | |
| | AUM (₹ in crores): 2,565.57; No. of Folios: 84,007 | | | | |
| | | | | | |
| | Axis Quant Fund | | | | |
| | Instruments | Indicative Allocation (% of net assets) | | | |
| | Equity & Equity related instruments of selected companies based on a quantitative model | 80 - 100 | | | |
| | Other Equity and Equity related instruments | 0 - 20 | | | |
| | | | | | |
| | Debt & Money Market Instruments | 0-20 | | | |
| | Units issued by REITs & InvITs | 0 - 10 | | | |
| | Primary Investment Objective : To generate long-term capital appreciation by investing primarily in equity and equity related instru | ments selected based on a quantitative model. | | | |
| | Investment Strategy : The investment process will be based on a fundamental factor based approach with the aim of generating superior risk adjusted returns compared to the benchmark. The factors employed are the ones that have a strong academic basis and / or are considered central by fundamental investors in their process as can be seen from the list below. The Universe would be screened for investability using quantitative measures like data availability, liquidity etc and then subsequently factors described below would be used to evaluate the stocks' attractiveness from a risk and return perspective The parameters that the model will consider are as follows: • Fundamental Factors • Technical Factors • Risk Parameters | | | | |
| | Apart from the above parameters, the Fund Manager can consider other quantitative and qualitative parameters for selection of construction would be largely systematic with the aim of maximizing the return while minimizing active risk. Differentiation : An open ended equity scheme following a quantitative model. AUM (₹ in crores) : 1,640.79; No. of Folios : 75,443 | of stocks. The process from universe selection to portfoli | | | |
| | Axis Value Fund | | | | |
| | Instruments | Indicative Allocation (% of net assets) | | | |
| | Equity and Equity related instruments | 65-100 | | | |
| | Debt & Money Market Instruments | 0-20 | | | |
| | Units issued by REITs & InvITs | 0 - 10 | | | |
| | Primary Investment Objective : To generate consistent long-term capital appreciation by investing predominantly in equity and equity related securities by following value investing strategy. Investment Strategy : The Scheme aims to provide long term capital growth by investing in a diversified portfolio of companies that are selected using attributes of value investing. The approach of value investing is to identify stocks which trade at valuations lower than the overall market, their own historical average valuations or relative to their fundamental valuations. Stock that trade at lower valuations have the potential to provide appreciation in the future. | | | | |
| | However, value investing needs to keep in mind the risk of value traps – that is stocks that appear optically cheap but are not really so Hence the fund's strategy would be to select value stocks while making sure that they have sound business models. Differentiation : An open ended equity scheme following a value investment strategy. | o since the cheap valuation is backed by poor fundamentals | | | |
| | AUM (₹ in crores) : 178.14; No. of Folios : 26,482 | | | | |
| 7B. Differentiation with | The Scheme is not a minor modification of any existing scheme/ product of Axis Mutual Fund. Differentiation is as follows: | | | | |
| existing open | Axis Balanced Advantage Fund | | | | |
| ended hybrid | Asset Allocation | | | | |
| schemes (as on | Type of Instrument | Normal Allocation (% of net assets) | | | |
| September 30, | Equity and Equity related securities | 0 - 100 | | | |
| 2021) | Debt & Money Market Instruments | 0 - 100 | | | |
| | Units issued by REITs & InvITs | 0 - 100 | | | |
| | Primary Investment Objective - To achieve the dual objective of capital appreciation by investing in a portfolio of equity or equity lin in debt and money market instruments. It also aims to manage risk through active asset allocation. However, there is no assurance of be achieved. The Scheme does not assure or guarantee any returns. | nked securities and generating income through investment or guarantee that the investment objective of the Scheme wi | | | |
| | Investment Strategy - The Scheme has a dual objective of generating capital appreciation/income generation by investing in mix- market securities, while attempting to manage risk from the market through active asset allocation. In order to achieve this process. The top down process will lead to the active ongoing asset allocation decision between equity and debt and the bottom up proce securities. The Fund manager will determine the equity level in the portfolio based on a proprietary methodology developed by the AMC. The market is the portfolio based on a proprietary methodology developed by the AMC. | , the Scheme will follow a top-down and bottom-up strategy ss would lead to construction of the portfolio using specifi | | | |
| | momentum, volatility and valuations (in any combination) – to decide the appropriate allocation to the same. The methodology w and development. The allocation to debt will be arrived at after deciding the equity allocation. The asset allocation decision is n movements in market variables. Equity: Within equity allocation the portfolio will be built utilising a bottom-up stock selection process, focusing on appreciation poten | ill be updated from time to time based on ongoing researc eviewed on an ongoing basis and is dynamically linked t | | | |
| | AMC employs a "Fair value" based research process to analyse the appreciation potential of each stock in its universe (Fair value is a of stocks is carefully selected to include companies having a robust business models and enjoying sustainable competitive advantage Debt: The scheme will invest in a diversified range of debt and money market instruments. The Fund manager will allocate the assets interest rate scenario, yield curve, yield spread & liquidity of the different instruments. The portfolio duration and credit exposure w | a measure of the intrinsic worth of a company). The universe ges as compared to their competitors. s of the scheme after taking into consideration the prevailing | | | |
| | economic conditions, political and fiscal environment, inflationary expectations and other economic considerations. The scheme intends to use derivative strategies actively to manage the portfolio. | | | | |

| 7B. | Differentiation with | Differentiation - An open ended dynamic asset allocation fund | |
|-----|-------------------------------|--|---|
| | existing open ended hybrid | AUM (₹ in crores): 1,547.11; No. of Folios: 58,375 | |
| | schemes (as on | Axis Arbitrage Fund | |
| | September 30, | Asset Allocation | |
| | 2021) | Type of Instrument | Normal Allocation (% of net assets) |
| | (Contd.) | Equities, equity related instruments (unhedged) Equities, equity related instruments and derivatives including index futures, stock futures, index options, & | 0 - 10 65 - 90 |
| | | stock options, etc. as part of hedged / arbitrage exposure | 00 - 90 |
| | | Debt and Money market instruments (including investments in securitized debt) | 10 - 35 |
| | | Primary Investment Objective - To generate income through low volatility absolute return strategies that take advantage of opport | |
| | | markets including the arbitrage opportunities available within the derivative segment, by using other derivative based strategie | s and by investing the balance in debt and money market |
| | | instruments. However, there is no assurance or guarantee that the investment objective of the Scheme will be achieved. The Scheme | , , , , , , , , , , , , , , , , , , , |
| | | Investment Strategy - The Scheme will seek to achieve its investment objective primarily by employing various strategies which derivative markets. In case such opportunities are not available, the scheme will invest the corpus in debt and money market instrum | |
| | | The equity and derivative markets have experienced enormous growth in India in the last few years. The market provides the investigation of the second secon | |
| | | enumerated below. The market is not always efficient to the extent of mispricing in the derivative market and the underlying cash market | |
| | | exploit a different form of imperfection in the underlying equity, debt and derivatives market and thus expose the investor to different f | |
| | | The strategies the Fund may adopt could be as under. The list is not exhaustive and the Fund could use similar strategies and any other | ner strategies as available in the markets. |
| | | Differentiation - An open ended scheme investing in arbitrage opportunities | |
| | | AUM (₹ in crores): 2,812.26; No. of Folios: 22,462 | |
| | | Axis Equity Saver Fund | |
| | | Asset Allocation | |
| | | Type of Instrument | Normal Allocation (% of net assets) |
| | | Equity and Equity related securities Of which | 65 - 80 |
| | | I) Equities & equity related instruments (unhedged) | 20 - 45 |
| | | II) Equities, equity related instruments & derivatives including index futures, stock futures, index options, & stock options, etc. as part of hedged / arbitrage exposure | 20 - 60 |
| | | Debt & Money Market Instruments | 20 - 35 |
| | | Units issued by REITs & InvITs | 0 - 10 |
| | | Primary Investment Objective - The investment objective of the scheme is to provide capital appreciation and income distri | ibution to the investors by using equity and equity related |
| | | instruments, arbitrage opportunities, and investments in debt and money market instruments. However, there is no assurance or gu | |
| | | achieved. The Scheme does not assure or guarantee any returns. | |
| | | Investment Strategy - The Scheme has a dual objective of providing capital appreciation and income distribution to the investor | rs by using equity and equity related instruments, arbitrage |
| | | opportunities, and investments in debt and money market instruments. The scheme seeks to benefit from the concept of asset allocation. The aim of asset allocation is to provide superior risk adjusted retu | Irras through diversification across various asset classes like |
| | | equity, fixed income & arbitrage which have historically had low correlation with each other. | ints intough diversification across various asset classes like |
| | | Differentiation - An Open Ended Scheme investing in equity, arbitrage and debt | |
| | | AUM (₹ in crores): 981.79; No. of Folios: 24,642 | |
| | | Axis Triple Advantage Fund | |
| | | Asset Allocation | |
| | | Type of Instrument | Normal Allocation (% of net assets) |
| | | Equity and Equity Related Instruments | 65 - 80 |
| | | Debt and Money Market Instruments | 10 - 30 |
| | | Gold Exchange Traded Funds | 10 - 30 |
| | | Units issued by REITs & InvITs | 0 - 10 |
| | | Primary Investment Objective - The Scheme seeks to generate long term capital appreciation by investing in a diversified port instruments & gold Exchange Traded Funds. | tolio of equity and equity related instruments, fixed income |
| | | Investment Strategy - The Scheme seeks to generate long term capital appreciation by investing in a diversified portfolio of equity, f | ived income & cold exchange traded funds |
| | | The scheme seeks to benefit from the concept of asset allocation. The aim of asset allocation is to provide superior risk adjusted retu | 0 0 |
| | | equity, fixed income & gold which have historically had low correlation with each other. | |
| | | Equity and Equity Related Instruments: The equity allocation will be managed actively. The focus would be to build a diversifie | ed portfolio of strong growth companies, reflecting our most |
| | | attractive investment ideas, at all points of time. | |
| | | The portfolio will be built utilising a bottom-up stock selection process, focusing on appreciation potential of individual stocks from a | |
| | | based research process to analyse the appreciation potential of each stock in its universe (Fair value is a measure of the intrins selected to include companies having a robust business models and enjoying sustainable competitive advantages as compared to | |
| | | across the market capitalization spectrum. | |
| | | The Fund by utilizing a holistic risk management strategy will endeavor to manage risks associated with investing in equity markets. | The Fund has identified the following risks and designed risk |
| | | management strategies, which are embedded in the investment process to manage these risks | - |
| | | I) Quality Risk - Risk of investing in unsustainable / weak companies. | |
| | | ii) Price Risk - Risk of overpaying for a company | |
| | | iii) Liquidity Risk - High Impact cost of entry and exit | |
| | | iv) Volatility Risk - Volatility in price due to company or portfolio specific factors | |
| | | v) Event Risk - Price risk due to a company / sector specific or market event. | The final second still all sector the |
| | | Fixed Income: The Scheme proposes to invest in a diversified portfolio of high quality debt and money market instruments to ge assets of the scheme taking into consideration the prevailing interest rate scenario & the liquidity of the different instruments. | enerate regular income. The fund manager will allocate the |
| | | The portfolio duration and credit exposures will be decided based on a thorough research of the general macroeconomic cor | ndition political and fiscal environment systemic liquidity |
| | | inflationary expectations, corporate performance and other economic considerations. The fund manager will keep in mind the yield | |
| | | curve and the corporate bond yield curve) as well as the kinks within a particular yield curve (e.g. the different points of the sovereign | yield curve) while making investment decisions. |
| | | Gold Exchange Traded Funds: The Scheme will also invest in gold ETFs as gold, historically, has shown a low correlation with other states and the states are stated as the state of the state of the states are stated as the state of the stat | ner asset classes like equity and debt making it a good asset |
| | | for diversifying the overall portfolio. | |
| | | Differentiation - An open ended scheme investing in equity, debt and gold | |
| | | AUM (₹ in crores): 1,303.33; No. of Folios: 62,212 | |
| | | Axis Regular Saver Fund | |
| | | Asset Allocation | Normal Allocation (0) of not |
| | | Type of Instrument | Normal Allocation (% of net assets) |
| | | Debt and Money Market Instruments | 75 - 90 10 - 25 |
| | | Equity and Equity related instruments Units issued by REITs & InvITs | 0 - 10 |
| | | Primary Investment Objective - The Scheme seeks to generate regular income through investments in debt & money market i | |
| | | exposure to equity and equity related instruments. | near annon a shar a supra approvidion an ough innited |
| | | Investment Strategy - The Scheme seeks to generate regular income through investments in debt & money market instruments | |
| | | related instruments. Within equities and fixed income, the portfolio would be actively managed to optimize returns within the respecti | ve asset class. |

| 7B. | Differentiation with existing open ended hybrid schemes (as on September 30, 2021) (Contd.) | Fixed Income Strategy: The Scheme proposes to invest in a diversified portfolio of high quality debt and money market instruments the assets of the scheme taking into consideration the prevailing interest rate scenario & the liquidity of the different instruments. The portfolio duration and credit exposures will be decided based on a thorough research of the general macroeconomic correlationary expectations, corporate performance and other economic considerations. The fund manager will keep in mind the yield curve and the corporate bond yield curve) as well as the kinks within a particular yield curve (e.g. the different points of the sovereign y Equity related Strategy: The equity allocation will be managed actively. The focus would be to build a diversified portfolio of strong y ideas, at all points of time. The portfolios will be built utilising a bottom-up stock selection process, focusing on appreciation potential of individual stocks from a based research process to analyse the appreciation potential of each stock in its universe (Fair value is a measure of the intrinsi selected to include companies having a robust business models and enjoying sustainable competitive advantages as compared invest across the market capitalisation spectrum. Differentiation - An open ended hybrid scheme investing predominantly in debt instruments AUM (₹ in crores): 339.71; No. of Folios: 10,468 Axis Equity Hybrid Fund Asset Allocation | dition, political and fiscal environment, systemic liquidity, structure of different asset classes (e.g. the sovereign yield yield curve) while making investment decisions. growth companies, reflecting our most attractive investment a fundamental perspective. The AMC employs a "Fair value" is worth of a company). The universe of stocks is carefully | | | | | | | |
|-----|--|---|---|--|--|--|--|--|--|--|
| | | Type of Instrument | Normal Allocation (% of net assets) | | | | | | | |
| | | Equity and Equity related securities | 65 - 80 | | | | | | | |
| | | Debt & Money Market Instruments | 20 - 35 | | | | | | | |
| | | Units issued by REITs & InvITs | 0 - 10 | | | | | | | |
| | | Primary Investment objective: To generate long term capital appreciation along with current income by investing in a mix of Ec money market instruments. However, there is no assurance or guarantee that the investment objective of the Scheme will be ac returns. | | | | | | | | |
| | | Investment Strategy: Equity and Equity Related Instruments: The equity allocation will be managed actively. The focus would be to build a diversifie attractive investment ideas, at all points of time. The portfolio will be built utilizing a bottom-up stock selection process, focusing on ap perspective. The AMC employs a ""Fair value" based research process to analyze the appreciation potential of each stock in its i company). The universe of stocks is carefully selected to include companies having a robust business models and enjoying s competitors. The scheme by utilizing a holistic risk management strategy will endeavor to manage risks associated with investing risks and designed risk management strategies, which are embedded in the investment process to manage these risks: i. Quality Risk - Risk of investing in unsustainable / weak companies. | ppreciation potential of individual stocks from a fundamental universe (Fair value is a measure of the intrinsic worth of a sustainable competitive advantages as compared to their | | | | | | | |
| | | ii. Price Risk - Risk of overpaying for a company | | | | | | | | |
| | | iii. Liquidity Risk - High Impact cost of entry and exit | | | | | | | | |
| | | iv. Concentration risk - Invest across the market capitalization spectrum and industries/ sectors | | | | | | | | |
| | | v. Volatility Risk - Volatility in price due to company or portfolio specific factors | | | | | | | | |
| | | vi. Event Risk - Price risk due to a company / sector specific or market event | | | | | | | | |
| | | Fixed Income Instruments: The scheme proposes to invest in a diversified portfolio of debt & money market instruments to generate income. The portfolio duration and credit exposures will be decided based on a thorough research of the general macroeconomic condition, political and fiscal environment, systematic liquidity, inflationary expectations, corporate performance and other economic considerations. The fund manager will keep in mind the yield structure of different asset classes as well as the kinks within a particular yield curve while making investment decisions. | | | | | | | | |
| | | Differentiation: An open ended hybrid scheme investing predominantly in equity and equity related instruments AUM (₹ in crores): 1,869.05; No. of Folios: 60,847 | | | | | | | | |
| 70. | Differentiation with existing an open ended fund of fund scheme of Axis | The Scheme is not a minor modification of any existing scheme/ product of Axis Mutual Fund. Differentiation is as follows: Axis Gold Fund Acast Allocation | | | | | | | | |
| | Mutual Fund (as on September 30, | Asset Allocation Instruments | Indicative Allocation (% of Net Assets) | | | | | | | |
| | 2021) | Units of Axis Gold ETF | 95-100 | | | | | | | |
| | , | Money Market Instruments | 0-5 | | | | | | | |
| | | Primary Investment Objective: To generate returns that closely correspond to returns generated by Axis Gold ETF. | | | | | | | | |
| | | Investment Strategy: The Scheme follows a passive investment strategy and will invest in Axis Gold ETF. The AMC does not make any judgments about the investment merit of gold or particular security nor will it attempt to apply any economic, financial or market analysis. The Scheme shall invest all of its funds in units of Axis Gold ETF, except to meet its liquidity requirements. Differentiation: An open ended fund of fund scheme investing in Axis Gold ETF. AUM (<i>if in crores</i>): 242.73; No. of Folios: 39,300 | | | | | | | | |
| | | אסווו (ז וו טוסא). 242.15, אס. טרטווסא גא,טטט | | | | | | | | |
| | | Axis All Seasons Debt Fund of Fund | | | | | | | | |
| | | AssetAllocation | | | | | | | | |
| | | Instruments | Indicative Allocation (% of Net Assets) | | | | | | | |
| | | Units of debt oriented mutual fund schemes | 95-100 | | | | | | | |
| | | Money Market Instruments | 0-5 | | | | | | | |
| | | Primary Investment Objective: To generate optimal returns over medium term by investing primarily in debt oriented mutual fund sch Investment Strategy: To generate optimal returns over medium term by investing primarily in debt oriented mutual fund schemes. Investment objective of the scheme would be achieved. | However, there can be no assurance or guarantee that the | | | | | | | |
| | | The Scheme may invest in units of debt oriented mutual fund schemes of Axis Mutual Fund or any other Mutual Fund in conformity with the investment objective of the Scheme. The Scheme designed to help investors create a basket of funds which are re-balanced on a periodical basis for achieving benefits of diversification across schemes within fixed income asset clas Scheme(s) will be allocated across the basket of debt schemes, depending on the fund manager's view on fixed income market. | | | | | | | | |
| | | Interest rates move in cycles. While investors gain during times of declining interest rates as bond prices rise, the inverse is true in a rising interest rate environment. The investment objective of the Scheme is to optimize returns to the investor by designing a portfolio that will track interest rate movements and credit cycle by investing in the units of debt oriented schemes. Differentiation: An Open ended Fund of Fund scheme investing in debt oriented mutual fund schemes. | | | | | | | | |
| | | AUM (₹ in crores): 166.01; No. of Folios: 3,400 Axis Global Equity Alpha Fund of Fund | | | | | | | | |
| | | AssetAllocation | | | | | | | | |
| | | Instruments | Indicative Allocation (% of Net Assets) | | | | | | | |
| | | Units / shares of Schroder International Selection Fund Global Equity Alpha Debt, Money market instruments and / or units of liguid schemes | 95-100 | | | | | | | |
| | | Primary Investment Objective: To provide long term capital appreciation by predominantly investing in Schroder International Sele capital growth by investing in equity and equity related securities of companies worldwide. The Scheme may also invest a part of corp schemes in order to meet liquidity requirements from time to time. | ection Fund Global Equity Alpha, a fund that aims to provide | | | | | | | |
| | | However, there can be no assurance that the investment objective of the Scheme will be realized. | | | | | | | | |

| 7C. Differentiation with | The Scheme is not a minor modification of any existing scheme/ product of Axis Mutual Fund. Differentiation is as follows: | | | | | | | | |
|--|--|---|--|--|--|--|--|--|--|
| existing an open ended fund of fund scheme of Axis | Investment Strategy: The Scheme will be passively managed fund investing predominantly in unit / shares of Schroder International Selection Fund Global Equity Alpha. The investment made in the Schroder International Selection Fund Global Equity Alpha will be based on the subscriptions and redemptions received in the Scheme and within the overall limits specified by SEBI/RBI. | | | | | | | | |
| Mutual Fund (as | Differentiation: An open ended fund of fund scheme investing in Schroder International Selection Fund Global Equity Alpha. | | | | | | | | |
| on September 30, | AUM (₹ in crores): 1,522.22; No. of Folios: 80,303 | | | | | | | | |
| 2021) | Axis Greater China Equity Fund of Fund | | | | | | | | |
| | Instruments | Indicative Allocation (% of net assets) | | | | | | | |
| | Units / shares of Schroder International Selection Fund Greater China | 95% - 100% | | | | | | | |
| | Debt, Money market instruments and / or units of liquid schemes | 0% - 5% | | | | | | | |
| | Primary Investment Objective : To provide long term capital appreciation by investing in Schroder International Selection Fund (growth by investing in equities of People's Republic of China, Hong Kong SAR and Taiwan companies. The Scheme may also inv and / or units of liquid schemes to meet liquidity requirements from time to time. | | | | | | | | |
| | Investment Strategy : The Scheme will be passively managed fund investing predominantly in unit / shares of Schroder International Selection Fund Greater China. The investment made in the Schroder International Selection Fund Greater China will be based on the subscriptions and redemptions received in the Scheme and within the overall limits specified by SEBI/RBI. | | | | | | | | |
| | Differentiation : An open ended fund of fund scheme investing in Schroder International Selection Fund Greater China. | | | | | | | | |
| | AUM (₹ in crores) : 108.71; No. of Folios : 6.522 | | | | | | | | |
| | | | | | | | | | |
| | Axis Global Innovation Fund of Fund | | | | | | | | |
| | Instruments | Indicative Allocation (% of net assets) | | | | | | | |
| | Units / shares of Schroder International Selection Fund Global Disruption | 95% - 100% | | | | | | | |
| | Debt, Money market instruments and / or units of liquid schemes | 0% - 5% | | | | | | | |
| | Primary Investment Objective : To provide long term capital appreciation by predominantly investing in units of Schroder International Selection Fund Global Disruption, an equity fund that aims to provide capital growth by investing companies worldwide which benefit from disruption and to invest a part of corpus in debt, money market instruments and / or units of liquid schemes inorder to meet liquidity requirements from time to time. | | | | | | | | |
| | Investment Strategy : The Scheme will be passively managed fund investing predominantly in unit / shares of Schroder International Selection Fund Global Disruption. The investment made in the Schroder International Selection Fund Global Disruption will be based on the subscriptions and redemptions received in the Scheme and within the overall limits specified by SEBI/RBI. | | | | | | | | |
| | Differentiation : An open ended fund of fund scheme investing in Schroder International Selection Fund Global Disruption | | | | | | | | |
| | AUM (₹ in crores) : 1,761.21, No. of Folios : 83,331 | | | | | | | | |
| ttla aluala a Tatal Fun | | | | | | | | | |

**Includes Total Expense Ratio permissible under regulation 52(6), Additional expenses under Regulation 52(6A)(c) and Additional expenses for gross new inflows from specified cities under Regulation 52(6A)(b) (wherever applicable) and includes GST on Investment Management fees.

Email ID & Mobile Number: Investors should provide their own email address and mobile number to enable Axis AMC for speed and ease of communication in a convenient and cost-effective manner, and to help prevent fraudulent transactions.

Please refer Axis AMC website www.axismf.com for list of Official Point of Acceptance of Transactions for submission of transaction requests.

Statutory Details: Axis Mutual Fund has been established as a Trust under the Indian Trusts Act, 1882, sponsored by Axis Bank Ltd. (liability restricted to ₹ 1 Lakh). Trustee: Axis Mutual Fund Trustee Ltd. Investment Manager: Axis Asset Management Co. Ltd. (the AMC). Risk Factors: Axis Bank Ltd. is not liable or responsible for any loss or shortfall resulting from the operation of the scheme. Mutual Fund investments are subject to market risks, read all scheme related documents carefully.

INSTRUCTIONS FOR COMPLETING THE APPLICATION FORM

Please read the SID carefully before signing the application form and tendering payment.

1. GENERAL INSTRUCTIONS

- a. The application form should be completed in $\ensuremath{\mathsf{ENGLISH}}$ and in $\ensuremath{\mathsf{BLOCK}}$ LETTERS.
- b. All cheques, demand drafts and pay orders should be crossed "Account Payee only" and made in favour of "Scheme Name A/c First Investor Name" or "Scheme Name A/c Permanent Account No.".
- c. If the Scheme name on the application form and on the payment instrument are different, the application may be processed and units allotted at applicable NAV of the scheme mentioned in the application / transaction slip duly signed by investor(s).
- d. Any over-writing / changes made while filling the form must be authenticated by canceling the original entry, re-entering correct details and ensuring that all applicants counter-sign against each correction.
- Application forms along with supporting documents can be submitted to ISCs / OPAs, contact details of which are available on www.axismf.com.
- f. Investors must write the application form number / folio number on the reverse of the cheque / demand draft.
- g. Investors are requested to check contents of the account statement on receipt. Any discrepancy should be reported to the AMC / Registrar within 7 calendar days of the receipt of the statement; else contents of the statement would be presumed to be correct and binding. The AMC may modify any discrepancy at its discretion.
- h. Units will be allotted subject to realization of payment proceeds.
- i. Unitholder / Guardian name should be same as per PAN / KYC records. Please note that AMC at discretion may replace the name as per KRA.
- j. FATCA Declaration: Individual investors, please fill in FATCA / CRS annexure and attach along with Application form. Non-Individual investors, please fill in UBO form along with FATCA / CRS annexure and attach along with Application form available on our website www.axismf.com

2. DIRECT INVESTMENTS

Investors subscribing under Direct Plan of the scheme will have to indicate "Direct Plan" against the scheme name in the application form e.g. "Axis Arbitrage Fund - Direct Plan". Investors should also indicate "Direct" in the ARN column of the application form. However, in case Distributor code is mentioned in the application form, but "Direct Plan" is indicated against the scheme name, the application will be processed under Direct Plan. Further, where application is received for Regular Plan without Distributor code or "Direct" mentioned in the ARN Column, the application will be processed under Direct Plan.

Note: Direct Plan investment not applicable for ETF schemes. 3. EMPLOYEE UNIQUE IDENTIFICATION NUMBER (EUIN)

Investor investing through distributor shall mention EUIN on the application form, if he/she has been advised by Sales Person/ Employee/ Relationship Manager of the distributor this would assist in addressing any instance of mis-selling. If left blank, applicant(s) need to tick and sign the following declaration "I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the distributor/sub broker." on the form. SEBI has made it mandatory to obtain EUIN no. for every employee/relationship manager/ sales person of the distributor/sub broker." on the form. SEBI has made it mandatory to obtain EUIN no. for every employee/ relationship manager/ sales person of the distributor for selling mutual fund products.

4. DECLARATION AND SIGNATURES

- a. Thumb impressions must be attested by a Magistrate / Notary Public under his / her official seal.
- b. In case of HUF, the Karta needs to sign on behalf of the HUF.
- c. Applications by minors should be signed by their guardian.
- d. For Corporates, signature of the Authorised Signatory (from the Authorised Signatory List (ASL)) is required.

5. PAYMENTS

- a. The AMC intends using electronic payment services (NEFT, RTGS, ECS (Credit), Direct Credit, etc.) to the extent possible for dividends / redemptions for faster realization of proceeds to investors. In case an investor wishes to receive payments vide cheques / demand drafts to be sent using a postal / courier service, please provide appropriate written instructions to the AMC / Registrar for the same.
- b. Please enclose a cancelled cheque leaf (or copy thereof) in case your investment instrument (pay-in) is not from the same bank account as mentioned under bank account details.
- c. Any communication, dispatch of redemption / dividend payments / account statements etc. would be made by the Registrar / AMC as per reasonable standards of servicing.
- The Debit Mandate is an additional facility available to Axis Bank account holders only.

6. BANK DETAILS

It is mandatory for investors to mention bank account details on the form as per directives issued by SEBI. Applications without this information are liable to be rejected. The Mutual Fund / AMC reserve the right to hold redemption proceeds in case requisite bank details are not submitted.

Option to register multiple bank accounts

The AMC / Mutual Fund has also provided a facility to investors to register multiple bank accounts. By registering multiple bank accounts, investors can use any of their registered bank accounts to receive redemption / dividend proceeds. Any request for a change in bank mandate requires 10 days for validation and verification. Further, these account details will be used by the AMC / Mutual Fund / R&T for verification of instruments (like cheques/DDs/POs) received at the time of subscription / purchase applications to ensure that subscription payments are received only from one of the registered bank accounts. Payments from nonregistered bank accounts (called third party payments) will not be accepted (except where permitted as per SEBI regulations). Investors are requested to avail of this facility by filling in the application form for registration of multiple bank accounts available at any of our ISCs / OPAs or on our website www.axismf.com.

Cheques submitted at the time of purchase should be from the beneficiary investors account or from an account mentioned in your Multiple Bank Accounts Registration form (except for minors for amounts less than ₹ 50,000 and Corporates / non-individuals).

Demand drafts submitted at the time of subscription should be accompanied by a banker's certificate clearly stating the investor's name and PAN as well as mentioning that the demand draft has been issued by debiting the investor's own bank account. Pre-funded instruments issued by the bank against cash shall not be accepted for investments of ₹ 50,000 or more. This pre-funded instrument should also be accompanied by a certificate from the banker giving the investor's name, address and PAN.

Payments made through RTGS/NEFT/NECS should be accompanied by a banker's certificate stating that the RTGS/NEFT/NECS payment has been made by debiting the investor's own bank account along with mention of the investor's name and PAN.

7. THIRD PARTY PAYMENTS

When payment is made through instruments issued from a bank account other than that of the investor, the same is referred to as a Third Party payment. Where an investor has opted to register multiple bank accounts (using the 'Multiple Bank Accounts Registration Form'), and purchase payment is made from an account different from what is registered, any one of the following documents need to be provided as proof along with the payment instrument.

 Banker's certificate stating that the investment is from the investor's own bank account along with mention of his name and PAN

 Bank account passbook or statement mentioning the investor's name / PAN Restriction on acceptance of Third Party payments for subscriptions, and exceptions thereto

- a. In case of payments from a joint bank account, one of the joint holders of the bank account must be the first account holder under the investment application.
- b. The Asset Management Company shall not accept subscriptions with Third Party payments except in the following situations:
 - Where payment is made by parents/grand parents/related persons on behalf of a minor in consideration of natural love and affection or as gift for a value not exceeding ₹ 50,000
 - (each regular purchase or per SIP installment). However this restriction will not be applicable for payment made by a guardian whose name is registered in the records of Mutual Fund in that folio.
 - 2. Where payment is made by an employer on behalf of an employee under Systematic Investment Plans through payroll deductions.
 - 3. Custodian on behalf of an FII or a client.
 - Documents to be submitted for exceptional cases
 - KYC is mandatory for all investors (guardian in case of minor) and the person making the payment i.e. the third party. Investors and the person making the payment should attach their valid KYC acknowledgement letter to the application form.
 - 2. Submission of a separate, complete and valid 'Third Party Payment Declaration Form' from the investors (guardian in case of minor) and the person making the payment i.e. third party. The said Declaration Form shall, inter-alia, contain the details of the bank account from which the payment is made and the relationship with the investor(s). Please contact the nearest OPA/ISC of Axis Mutual Fund or visit our website www.axismf.com for the declaration form.

8. KYC

All Applicants (including POAs and Guardians) are required to be KYC compliant irrespective of the amount of investment. In case you are not KYC certified, please fill in the KYC form (individual or Non-Individual). A KYC acknowledgement letter should be submitted along with application for opening a folio or making an investment. Each holder in the folio must be KYC compliant.

Investors may kindly note that new SEBI Circular issued regarding uniformity in the KYC process was effective from January 1, 2012.

- SEBI has introduced a common KYC Application Form for all the SEBI registered intermediaries, new Investors are therefore requested to use the common KYC Application Form and carry out the KYC process including In-Person Verification (IPV) with any SEBI registered intermediaries including mutual funds. The KYC Application Forms are available on our website www.axismf.com.
- 2. The Mutual Fund shall perform the initial KYC of its new investors and shall also accept the details change form for investors who have done their KYC prior to 31st Dec11.
- It is mandatory to carry out In-Person Verification(IPV) for processing the KYC of its new / existing investors from January 1, 2012.
- 4. Once the KYC and IPV-In Person Verification has been done with any SEBI registered intermediary, the investor need not undergo the same process again with any another intermediary including mutual funds. However, the Mutual Fund reserves the right to carry out fresh KYC/additional KYC of the investor.
- 5. Existing KYC compliant investors of the Mutual Fund can continue to invest as per the current practice.
- Non-individual investors will have to do a fresh KYC due to significant changes in KYC requirements.
- 7. In accordance with SEBI Circular No. CIR/MIRSD/13/2013 dated December 26, 2013, the additional details viz. Occupation details, Gross Annual Income/networth and Politically Exposed Person (PEP)* status mentioned under section 2 & 3 which was forming part of uniform KYC form will now be captured in the application form of the Fund. Also, the detail of nature of services viz. Foreign Exchange/Gaming/Money Lending, etc.,(applicable for first/sole applicant) is required to be provided as part of Client Due Diligence (CDD) Process of the Fund.

The said details are mandatory for both Individual and Non Individual applicants. *PEP are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/ military officers, senior executives of state owned corporations, important political party officials, etc.

Subsequently, SEBI, vide its circular no. MIRSD/Cir-5/2012 dated April 13, 2012 advised various intermediaries to upload KYC data of its existing customers into the KRA system. While uploading KYC data into the KRA system, intermediaries were also required to highlight such 'Missing/Not Available' KYC information of a customer, which was either not required or not taken previously, but was mandatory as per uniform KYC guidelines issued by SEBI.

INSTRUCTIONS FOR COMPLETING THE APPLICATION FORM (Contd.)

Please read the SID carefully before signing the application form and tendering payment.

 In accordance with AMFI circular - 35P/MEM-COR/54/2019-20 dated February 28, 2020, it is mandatory, KYC to be verified by KYC Registration Agency before processing redemption. Further, investor requested to complete KYC process before placing redemption request.

In accordance with AMFI Best practices guidelines circular no. 62/2015-16 dated September 18, 2015, investors may note the following:

It is mandatory for all new/existing investors to provide additional KYC information such as Income details, Occupation, association with politically exposed person, net worth etc. as mentioned in the application form. Subscription requests, without providing these details, are liable to be rejected. No subscriptions (whether fresh or additional) and switches pertaining to 'KYC on-hold' cases are accepted, unless the investor / unitholder also submits relevant KYC missing / updated information, which is appropriately updated on the KRA - KYC.

Further, it is mandatory for existing customers to complete In-Person Verification process and provide the missing KYC information failing which their applications / transaction requests for additional subscription (including switches) is liable to be rejected.

8A Operationalisation of Central KYC Records Registry (CKYCR)

Central Registry of Securitisation and Asset Reconstruction and Security interest of India ('CERSAI') has been authorised by Government of India to act as Central KYC Records Registry under Prevention of Money-Laundering (Maintenance of Records) Rules, 2005 ('PMLA Rules').

SEBI vide its circular ref. no. CIR/MIRSD/66/2016 dated July 21, 2016 and circular ref. no. CIR/MIRSD/120/2016 dated November 10, 2016 has prescribed that the Mutual Fund/ AMC should capture KYC information for sharing with CKYCR as per the KYC template prescribed by CERSAI for uniform and smooth implementation of CKYC norms for onboarding of new investors in mutual funds.

In accordance with the aforesaid SEBI circulars and AMFI best practice guidelines for implementation of CKYC norms with effect from February 1, 2017:

- a) Individual investors who have never done KYC process under KRA regime i.e. a new investor who is new to KRA system and whose KYC is not registered or verified in the KRA system shall be required to provide KYC details in the CKYC Form to the Mutual Fund/ AMC.
- b) Individual investor who fills old KRA KYC Form, should provide additional / missing information using Supplementary KYC Form or fill CKYC Form.
- c) Details of investors shall be uploaded on the system of CKYCR and a 14 digit unique KYC identifier ('KIN') will be generated for such customer.
- d) New investors, who have completed CKYC process & have obtained KIN may quote their KIN in the application form instead of submitting CKYC Form/ Supplementary KYC Form.
- AMC/ Mutual Fund shall use the KIN of the investor to download the KYC information from CKYCR system and update its records.
- f) If the PAN of investor is not updated on CKYCR system, the investor should submit self-certified copy of PAN card to the Mutual Fund/ AMC.

The CKYC Form and Supplementary KYC Form are available at Investor Service Centre (ISC) of Axis Mutual Fund and on website www.axismf.com.

The AMC reserves the right to reject transaction application in case the investor(s) fails to submit information and/or documentation as mentioned above. In the event of non compliance of KYC requirements, the Trustee / AMC reserves the right to freeze the folio of the investor(s).

9. ULTIMATE BENEFICIAL OWNERS(S)

SEBI vide its circular no. CIR/MIRSD/2/2013 dated January 24, 2013 further read with AMFI Best practices guidelines circular no. 62/2015-16 dated September 18, 2015 and other applicable regulations and guidelines, for identification of Beneficial Ownership to be followed by the intermediaries for determination of beneficial owners. A 'Beneficial owner' is defined as a natural person or persons who ultimately own, control or influence a client and/or persons on whose behalf a transaction is being conducted and includes a person who exercises ultimate effective control over a legal person or arrangement. In this regard, all categories of investors (including all new / existing investors / unitholders) (except individuals, companies) are mandatorily required to provide beneficial ownership details for all investments. Failing which, fund reserves the right to reject applications / subscription requests / additional subscription requests (including switches) / restrict further investments or seek additional information from investors who have not provided the requisite information on beneficial ownership. In the event of change in beneficial ownership, investors are requested to immediately update the details with the Fund/Registrar.

10. PERMANENT ACCOUNT NUMBER (PAN)

Each applicant is required to submit self attested PAN Card Copy (Including Guardian in case of Minor and POA holders). However PAN is not mandatory in case of Investors residing in the State of Sikkim, Central & State Government officials and officials appointed by the Courts e.g. Official Liquidator, Court receiver etc. (under the category of Government) subject to Axis AMC confirming the above mentioned status.

PAN Exempt Investments

SEBI vide its circular dated 24th July 2012, conveyed that the investments aggregating ₹ 50,000 in a rolling 12 month period or in a financial year i.e. April to March, in all the schemes of Mutual Funds are exempt from the PAN requirement.

Where the aggregate of the Lumpsum Investment (Fresh Purchase & Additional Purchase) and Micro SIP installments by an investor based on the rolling 12 month period/ in a financial year i.e. April to March does not exceed ₹ 50,000/-, it shall be exempt from the requirement of PAN, (hereafter referred to as "Micro Investments").

PAN requirement exemption will be available only to Micro Investments made by the individuals being Indian Citizens (Including NRIs, Joint Holders*, Minor acting through Guardian and Sole proprietory firms not having PAN). Person of Indian Origin, Hindu Undivided Family,(HUF),Qualified Foreign Investor (QFI) and other categories of investors will not be eligible for this exemption. However the eligible investors are required to submit PAN exempt KYC issued by SEBI registered KRA (KYC Registration Authority). * In case of joint holders, first holder must not possess a PAN.

11. APPLICATIONS ON BEHALF OF MINORS

Where the investment is on behalf of a Minor by the Guardian:

a. The Minor shall be the first and sole holder in the account.

- No Joint holders are allowed. In case an investor provides joint holder details, these shall be ignored.
- Guardian should be either a natural guardian (i.e. father or mother) or a court appointed legal guardian.
- d. Guardian should mention the relationship with Minor and date of birth of the Minor on the application form.
- e. A document evidencing the relationship and date of birth of the Minor should be submitted along with the application form. Photo copy of any one of the following documents can be submitted a) Birth certificate of the minor or b) school leaving certificate / mark sheet of Higher Secondary board of respective states, ICSE, CBSE etc. c) Passport of the minor d) Any other suitable proof evidencing the relationship.
- f. Where the guardian is not a natural guardian (father or mother) and is a court appointed legal guardian, suitable supporting documentary evidence should be provided.
- g. If the mandatory details and/or documents are not provided, the application is liable to be rejected without any information to the applicant.

12. APPLICATIONS UNDER POWER OF ATTORNEY

An applicant wanting to transact through a power of attorney must lodge the photocopy of the Power of Attorney (PoA) attested by a Notary Public or the original PoA (which will be returned after verification) within 30 days of submitting the Purchase Application Form / Transaction Slip at a Designated ISC / Official Point of Acceptance, or along with the application in case of application submitted duly signed by POA holder. Applications are liable to be rejected if the power of attorney is not submitted within the aforesaid period.

13. SIP matlab SLEEP IN PEACE (SYSTEMATIC INVESTMENT PLAN)

- a. A minimum gap of 15 days and not more than 90 days needs to be maintained between date of Application & SIP start date.
- b. Investor shall have the option of choosing any date of the month as the SIP date except the dates 29th, 30th and 31st. If SIP debit date is not mentioned default date would be considered as 7th of every month. If selected 29th, 30th or 31st, default SIP date would be considered as 28th of every month.
- c. All SIP installment cheques/payment instructions must be of the same amount and the same monthly debit date (excluding first cheque).
- The SIP will be discontinued automatically if payment is not received for three successive installments.
- e. Investors can discontinue a SIP at any time by sending a written request to any Official Point of Acceptance or to the registrar KFin Technologies Private Limited. Notice of such discontinuance should be received at least 20 days prior to the next due date of the installment / debit.
- f. In case payment is made using "At Par" cheques, investors must mention the MICR number of his bank branch. Where he hold's the bank account.
- g. An Investor will not hold Axis Mutual Fund, its registrars and / or service providers responsible if a transaction is delayed or not effected, or the investor bank account is debited in advance or after the specific SIP date because of the various clearing cycles of RBI's Electronic Clearing Facility (ECS) / Bank holiday. Axis Mutual Fund, its registrars and other service providers shall not be held responsible or liable for damages / compensation / loss incurred by the investor as a result of using the SIP or ECS / Auto debt facility.

h. Please refer below table for minimum monthly/yearly installments:

| Scheme | Mon | thly | Yearly | | | |
|---|-----------------------|-------------------------|-----------------------|-------------------------|--|--|
| | Minimum Amount (₹) | Minimum Installments | Minimum Amount (₹) | Minimum Installments | | |
| All Schemes except below schemes, Axis Overnight Fund & Axis Liquid Fund | 1000 | 6 | 12000 | 3 | | |
| Axis Bluechip Fund, Axis Midcap Fund, Axis Flexi Cap Fund, Axis Smallcap Fund and Axis Focused 25 Fund Axis Nifty 100 Index Fund | 500 | 6 | | | | |
| Axis Long Term Equity Fund* | 500 | 6 | 6000 | 3 | | |

Note: For all schemes, minimum amount is as per above table and thereafter in multiple of \gtrless 1.

For Long Term Equity Fund Minimum amount is as per above table and thereafter in multiple of ₹ 500*.

- If the period is not specified by the unit holder on the SIP section then the SIP enrollment will be consider from the upcoming month (Gap of 21 days) till perpetuity (December 2099).
- j. If no amount is mentioned minimum scheme amount would be considered. Please refer KIM & SID of the respective scheme.

Change of Debit Bank Details (SIP Auto Debit Form)

- a. Investor can change debit bank details mentioned on SIP Auto Debit form by ticking the check box provided on the form and attaching signed cancelled cheque of the new bank along with the mandate.
- b. The cheque copy should have the investor's name printed on it.
- c. A minimum gap of 15 days is required for incorporation of new bank details.
- d. In case of change of debit bank details the investor needs to provide the new bank details on mandate and SIP start date should be in continuation with the SIP cycle and end date will remain the same.
- Except new debit bank details rest of the details i.e. SIP period, amount etc. will remain same as the original SIP investment.

14. NATIONAL AUTOMATED CLEARING HOUSE (NACH)

NACH is a funds clearing platform set up by NPCI similar to the existing ECS of RBI. NPCI has implemented NACH for Banks, Financial Institutions, Corporates and Government a web based solution to facilitate interbank, high volume, electronic transactions which are repetitive and periodic in nature.

National Payments Corporation of India (NPCI)

NPCI was set up by Indian Banks Association under a mandate from the Reserve Bank of India in 2008. It is the umbrella organization for all retail payment systems. NCPI would provide robust payment solutions to banks and financial institutions across India.

INSTRUCTIONS FOR COMPLETING THE APPLICATION FORM (Contd.) Please read the SID carefully before signing the application form and tendering payment.

15. AUTO DEBIT PARTNERING BANKS

Partnering Banks: Axis Bank, Federal Bank, HDFC Bank, ICICI Bank, Punjab National Bank, State Bank of India & Union Bank Of India.

16. NRIs, FIIs

- a. Repatriation basis
 - NRIs: Payment may be made either by inward remittance through normal banking channels, or from funds held in a Non-Resident (External) Rupee Account (NRE) / Foreign Currency (Non-Resident) Account (FCNR). In case Indian rupee drafts are purchased abroad or from Foreign Currency Accounts or Non-resident Rupee Accounts, an account debit certificate from the bank issuing the draft confirming the debit will need to be enclosed.
 - FIIs can pay their subscription either by inward remittance through normal banking channels or from funds held in Foreign Currency Account or Non-Resident Rupee Account maintained by the FII with a designated branch of an authorised dealer.
 - III. Axis Mutual Fund has decided to restrict subscriptions from U.S. Persons (including NRIs and all persons residing in U.S, U.S Corporations or other entities organized under the laws of U.S) and Residents of Canada in the Schemes of Axis Mutual Fund.
- b. Non-repatriation basis

In the case of NRIs, payment may be made either by inward remittance through normal banking channels or out of funds held in a NRE / FCNR / Non-Resident Ordinary Rupee Account (NRO). In case Indian rupee drafts are purchased abroad or from Foreign Currency Accounts or Non-resident Rupee Accounts, an account debit certificate from the bank issuing the draft confirming the debit will need to be enclosed.

c. TDS where ever applicable would be rounded off to the Rupee.

17. ELECTRONIC SERVICES

The AMC provides electronic transaction services through its website and over the phone.

- a. KFINKART: Transactions through electronic platform(s) of M/s. KFin Technologies Private Limited (effective from January 2, 2017): Investors will be allowed to transact through https://mfs.kfintech.com/investor/, an electronic platform provided by M/s. KFin Technologies Private Limited, Registrar & Transfer Agent, in Schemes of Axis Mutual Fund ('Fund') (except Axis Gold ETF and Axis Nifty ETF). The facility will also be available through mobile application i.e. 'KFINKART'.
- b. Online Schedule Transaction Facility ('the OST facility'/ 'the Facility'):

The OST facility shall enable Investors to schedule subscription / redemption / switch transaction(s) on specified date for specified amount/ units by giving online instruction.

- The terms and conditions of the OST facility shall be as under:
- 1 The Facility is available to the existing Investors of open ended schemes of Axis Mutual Fund (except Axis Gold ETF and Axis Nifty ETF), subject to completion of lock-in, if any.
- 2. The Facility is available only to Individual (including sole proprietor) Investors for units held in / subscription in physical mode.
- The Facility for subscription transaction would be available to Investors after completion of OTM Mandate / Easycall mandate/ equivalent mandate registration process.
- 4. Under the Facility the transaction can be scheduled to be executed on a specified date which shall be within 30 calendar days from the date of the instruction. Such specified date shall be a business day. In case the scheduled transaction date falls on a nonbusiness day, the transaction will be executed on the immediately following business day.
- The Facility shall be available on online transaction platform(s) viz website of Axis AMC i.e. www.axismf.com. Axis AMC may extend the Facility to other transaction platforms from time to time, at its discretion.
- 6. The scheduled transaction may be cancelled by giving suitable instruction atleast one calendar day prior to the scheduled transaction date.
- The triggered transaction on the scheduled date shall be considered as time stamped and will be executed on the specified date at the applicable NAV of the relevant scheme.
- 8. The scheduled transaction(s) shall be subjected to exit load, minimum subscription/ additional subscription application and other terms and conditions of the relevant scheme as per SID applicable on the specified date.
- The scheduled transaction shall be liable to be rejected if sufficient amount is not available for subscription or sufficient number of units / amount is not available for redemption.
- Redemption transactions will not be executed in case units are pledged or where lien is marked on units, at the time of online instruction / on specified date;
- Investors availing this facility shall acquaint themselves with the features of the relevant scheme(s), including any modification / amendments carried out before the specified date.

The above is an additionally provided facility to the Investors to plan their transactions in schemes using online platforms.

- c. Email facility Applicants who provide their email address will receive communication by email. In case an investor wishes to receive a hard copy of an account statement or other document, he/she is requested to submit a request at customerservice@axismf.com or call us on Toll Free: 1800 3000 3300 or 1800 221322 Additional Contact Number: 8108622211 From Monday to Friday - 8 AM to 8 PM On Saturday & Sunday - 9 AM to 6 PM.
- d. SMS alerts facility Applicants who wish to receive transaction alerts on their mobile phone need to provide their mobile no.
- e. Online investment facility New or existing investors can invest with us online at www.axismf.com. To avail of this facility, applicants are requested to provide both their mobile no. and email address in the spaces provided.
- f. EasyCall Facility-New or existing investors can buy or sell units of schemes over the phone without having to remember PINs and Passwords. To do so an investor must register for our unique Easy Call facility. By filling in the registration form available on www.axismf.com.
- g. In case mobile no. & email ID is not provided on the application form then it will be capture as per KYC record.

h. Investors should provide their own email address and mobile number to enable Axis AMC for speed and ease of communication in a convenient and costeffective manner, and to help prevent fraudulent transactions.

18. NOMINATION

- a. Nomination is mandatory for all the folios/accounts, where the mode of holding is single or the folio/account is opened by an individual without any joint holding. New subscriptions received from individuals without nomination will be rejected.
- b. The nomination can be made only by individuals holding units on their own behalf singly or jointly. Non-Individuals including Society, Trust, Body Corporate, Partnership Firm, Karta of Hindu Undivided Family, holder of Power of Attorney cannot nominate. If the units are held jointly, all joint holders must sign against the nomination.
- c. Nomination is not allowed for folios/accounts opened in the name of minors.
- d. A minor can be nominated against a folio/account. In such a case, the name and address of the Guardian of the minor nominee must be provided. If no Guardian name is provided, the nomination of the minor will be invalid. The Guardian of the minor nominee should be a person other than the holder of that folio/account. Nomination can also be in favour of the Central Govt, State Govt, a local authority, any person designated by virtue of his office or a religious charitable trust.
- e. The Nominee cannot be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of HUF or a Power of Attorney holder. A non-resident Indian can be a Nominee subject to the exchange controls in force, from time to time.
- f. Nomination stands rescinded upon transfer of units or cancellation of nomination.
- g. The nomination facility extended under the Scheme is subject to existing laws. The AMC shall, subject to production of such evidence which in their opinion is sufficient, proceed to effect the payment / transfer to the Nominee(s) in the event of demise of the unit holder. Transfer of units / payment to the nominee(s) of the sums shall discharge Axis Mutual Fund / Axis AMC of all liability towards the estate of the deceased unit holder and his / her / their successors / legal heirs.
- h. Cancellation of nomination can only be made only by those individuals who hold units on their own behalf singly or jointly and who made the original nomination. (Please note that if one of the Joint Holders die, the other surviving holders cannot cancel or change the nomination.)
- i. Nomination shall be registered only if the form is filled in completely.
- j. Nomination will be updated at folio/account level and not at scheme level.
- k. Nomination can be made for maximum of 3 nominees. In case of multiple nominees, the percentage of allocation / share in favour of each of the nominees should be indicated against their name and such allocation / share should be in whole numbers without any decimals making a total of 100 percent. In the event of unit holders not indicating the percentage of allocation / share for each of the nominees, the Mutual Fund / the AMC, by invoking default option shall settle the claim equally amongst all the nominees.
- I. The investor(s) who nominate is / are deemed to have read and understood the provisions of Regulation 29 A of SEBI (Mutual Funds) Regulations, 1996, read with SEBI circular dated Feb. 16, 2004 and / or any amendments thereto or any rules / regulations framed in pursuance thereof governing the nomination facility and agree/s to be bound by the same.
- m. Fresh nominee registrations will override older nominations under the folio.
- n. In case an investor does not wish to nominate for a specific folio / account, he/she should strike off the nomination fields and mention "Nomination not required".
- o. Even those investors who do not wish to nominate must sign separately confirming their non-intention to nominate.

19. DEMAT ACCOUNT DETAILS

If you wish to invest in the scheme through Demat you need to have a beneficiary account with a Depositary Participant (DP) of the NSDL/CDSL and specify the same in this Application Form. You must ensure that the sequence of names with other details like address, PAN, etc mentioned under Demat details should match with DP records. Only those applications where the details are matched with the depository data, will be treated as valid application. If the details mentioned in the application are incomplete /incorrect, or does not match with the depository data, the applicatine shall be treated as invalid and shall be liable to be rejected and would be allotted in Physical form. Demat option will be applicable for the applications along with SIP option.

Please attach Client Master List along with application form.

20. TRANSACTION CHARGE

As per SEBI circular dated August 22, 2011, Transaction Charge per subscription of ₹ 10,000/- and above shall be charged from the investors and shall be payable to the distributors/ brokers (who have not opted out of charging the transaction charge) in respect of applications routed through distributor/broker relating to Purchases / subscription / new inflows only (lumpsum and SIP), subject to the following:

- For Existing / New investors: ₹100 / ₹ 150 as applicable per subscription of ₹ 10,000/- and above.
- Transaction charge for SIP shall be applicable only if the total commitment through SIP amounts to ₹ 10,000/- and above. In such cases the transaction charge would be recovered in maximum 4 successful installments.
- There shall be no transaction charge on subscription below ₹ 10,000/-.
- There shall be no transaction charges on direct investments.
- There shall be no transaction charges for transaction other than purchases/subscriptions relating to new inflows such as Switches, etc.
- Transactions carried out through the Stock Exchange platforms for mutual funds shall not be subject to transaction charges.

The requirement of minimum application amount shall not be applicable if the investment amount falls below the minimum requirement due to deduction of transaction charges from the subscription amount.

However, the option to charge "transaction charges" is at the discretion of the distributors. Investors may note that distributors can opt to receive transaction

INSTRUCTIONS FOR COMPLETING THE APPLICATION FORM (Contd.)

Please read the SID carefully before signing the application form and tendering payment.

charges based on type of the Scheme. Accordingly, the transaction charges would be deducted from the subscription amounts, as applicable.

21. A non-profit organization means any entity or organization that is registered as a Trust or a society under the Societies Registration Act, 1860 or any similar State legislation or a company registered under section 25 of the companies act, 1956 or under Section 8 of the Companies Act, 2013. NPOs are requested to submit a copy of certificate of registration under applicable laws (example Bombay Public Trust Act) and/ or certificate from Charity Commissioner (as applicable) and/ or copy of appropriate registration certificate under Income Tax Act.

22. MULTIPLE INVESTMENTS

- 1. Investor can make purchases in up to three schemes within the same Folio by making a single consolidated payment for the investments.
- Multiple Investments facility is not available for Axis Children's Gift Fund, Axis Liquid Fund, Axis Overnight Fund, Axis Gold ETF, Axis Nifty ETF, any closed ended schemes, and during NFO period.
- 3. Cheque/ DD/ Debit mandate should be drawn for Total Amount of investment in all three schemes.
- 4. The Cheque/ DD should be drawn favouring "Axis MF Multiple Schemes".
- In case of payment through a Debit Mandate, please tick "Axis MF Multiple Schemes" only.
- If the total amount of investments mentioned on the application is different from the amount mentioned on the accompanying Cheque / Demand Draft / Debit mandate, then the application is liable to be rejected.
- Please mention all scheme/ plan/ option details in the table in section 7A of the form. If the scheme details are provided in any other format, the application is liable to be rejected.
- Investments will be accepted subject to minimum investment criteria applicable for the schemes opted for investment. Even if one of the schemes specified for investment does not satisfy the minimum investment criteria, the application will be liable to be rejected for all schemes.
- 9. This facility is only available for lumpsum purchases.

23. FOREIGN ACCOUNT TAX COMPLIANCE (FATCA)

FATCA & CRS TERMS & CONDITIONS : Details under FATCA & CRS: The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income- tax Rules, 1962, which Rules require Indian financial institutions such as Axis MF to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our investors and counter parties. In relevant cases, information will have to be reported to tax authorities / appointed agencies.

Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto.

The onus to provide accurate, adequate and timely inputs in this regard would be that of the investor or counterparty. Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.

Please note that Axis MF will be unable to provide advice to you about any tax status or FATCA/CRS classification relevant to your account. It is your responsibility to ensure that you record your correct tax status / FATCA/ CRS classification. You may seek advice from your tax advisor in this regard.

Please note that you may receive more than one request for information if you have multiple relationships with Axis MF or its group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.

FATCA & CRS INSTRUCTIONS:

If you have any questions about your tax residency, please contact your tax advisor. If you are a US citizen or resident or green card holder, please include United States in the foreign country information field along with your US Tax Identification Number. It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

Investors are requested to provide all the necessary information / declarations to facilitate compliance, considering India's commitment to implement FATCA and CRS under the relevant international treaties.

Please consult your professional tax advisor for further guidance on your tax residency, if required.

In case customer has the following Indicia pertaining to a foreign country and yet declares self to be non-tax resident in the respective country, customer to provide relevant Curing Documents as mentioned below:

| FATCA & CRS Indicia observed (ticked) | Documentation required for Cure of FATCA/ CRS Indicia |
|---|--|
| U.S. place of birth | Self-certification that the account holder is neither a citizen of United States of America nor a resident for tax purposes; Non-US passport or any non-US government issued document evidencing nationality or citizenship (refer list below);AND Any one of the following documents: Certified Copy of "Certificate of Loss of Nationality or Reasonable explanation of why the customer does not have such a certificate despite renouncing US citizenship; or Reason the customer did not obtain U.S. citizenship at birth |
| Residence/mailing address in a country other than India | Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and Documentary evidence (refer list below) |
| Telephone number in a country other than India | If no Indian telephone number is provided 1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and 2. Documentary evidence (refer list below) If Indian telephone number is provided along with a foreign country telephone number 1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident for tax purposes of any country other than India; 2. Documentary evidence (refer list below) 2. Documentary evidence (refer list below) |
| Telephone number in a country other than India | Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and Documentary evidence (refer list below) |

List of acceptable documentary evidence needed to establish the residence(s) for tax purposes:

1. Certificate of residence issued by an authorized government body*

2. Valid identification issued by an authorized government body* (e.g. Passport, National Identity card, etc.)

* Government or agency thereof or a municipality of the country or territory in which the payee claims to be a resident.

24. Submission of Aadhar Number

Pursuant to requirement under Prevention of Money Laundering (Maintenance of Records) Rules, 2005 as amended from time to time, proof of possession of Aadhar can be accepted as a valid document for proof of address or proof of identity of investors, provided the investor redact or blackout his Aadhar number while submitting the applications for investments. The aforesaid guidelines will be subject to change as per the directives issued by the concerned regulatory/ government authority from time to time. For further details refer to SAI.

25. E-mail Communication

Investors should ensure that the email id provided is that of First /Sole holder or of their Family member. Family means spouse, dependent children or dependent parents. This email address and mobile no. provided shall be registered in the folio for all communications. In case, this section is left blank, the email id and mobile no. of the First / Sole Holder available in the KYC records shall be registered in the folio.

26. Declaration for Creating New Folio

If Name of the holders, Pan Proof, Bank Mandate, Address, Mode of holding & Nominee are in the same order the transaction will process under existing folio.

27. Legal Entity Identifier no updation

RBI vide circular dated January 2021 on "Introduction of Legal Entity Identifier for Large Value Transactions in Centralized Payment Systems" decided to introduce the LEI system for all payment transactions of value INR 50 crore and above for Real Time Gross Settlement (RTGS) and National Electronic Funds Transfer (NEFT) from April 1, 2021. In view of the same it will be mandatory to include 20-digit Legal Entity Identifier (LEI) information while initiating any transaction of value INR 50 crore and above by entities (non-Individual) for purchase and redemption transaction.

| | IUTUAL FUND | |
|---|--|--|
| COMN | ON APPLICATION FOR | |
| (PLEASE READ THE INS INK AND IN BLOCK LE | RUCTIONS BEFORE FILLING UP THE FORM. ALL SECTIONS TO BE COMPLETED IN EP TERS) | |
| Distributor ARN 146822 | | Sub-Broker/ Application No. |
| EUIN | Employee RIA COD | E^ |
| PMR (Portfolio Mana | ger's Registration) Number ^ ^ Serial No | ., Date & Time Stamp |
| ^I/We, have invested in t respect of my/our investmu Axis Mutual Fund under D Direct Plan of all schemes ☐ "I/We hereby con relationship man | e paid directly by the investor to the AMFI registered distributor based on the investor's assess e scheme(s) of Axis Mutual Fund under Direct Plan. I/We hereby give my/our consent to sh nts under Direct Plan of all schemes of Axis Mutual Fund, to the above mentioned SEBI Regis irect Plan. I/We hereby give my/our consent to share/provide the transactions data feed/ of Axis Mutual Fund, to the above mentioned SEBI Registered Portfolio Manager. irm that the EUIN box has been intentionally left blank by me/us as this transaction ger/sales person of the above distributor/sub broker or notwithstanding t ship manager/sales person of the distributor/sub broker." | are/provide the transactions data feed/portfolio holdings/ NAV etc. in rered Investment Adviser. ^ 1/We, have invested in the scheme(s) of portfolio holdings/ NAV etc. in respect of my/our investments under is executed without any interaction or advice by the employee/ |
| You/ Sole Applica | t /Guardian Second Applicant Third Ap | plicant Power of Attorney Holder |
| I confirm that I c In case the subscription purchase/subscription an EXISTING INVESTO | RGES FOR APPLICATIONS THROUGH DISTRIBUTORS ONLY (Refer Instruct m a first time investor across Mutual Funds. OR I confirm t amount is ₹ 10,000 or more and your Distributor has opted to receive Transact nount and payable to the Distributor. Units will be issued against the balance amount in R'S FOLIO NUMBER (If you have an existing please mention here and skip to section 4) MODE OF HOLDING (in case of Mode of Holding should be same as | hat I am an existing investor across Mutual Funds. ion Charges, the same are deductible as applicable from the ivested. f Demat Purchase |
| Folio No. | Single Joint (Default) | Physical Mode Demat Mode |
| I/ We want to cre | ate new Folio (Instruction No. 26) | (in case of Demat, please fill sec 6) |
| 1. YOUR PERSONA | L DETAILS (MANDATORY) (In case of investment "On behalf of minor", Please i | efer instruction No. 11) |
| | Ms. M/s. FIRST APPLICANT | Gender M F O |
| PAN (Mandatory) DOB D D | M M Y Y Y Y CKYC No. (Optional) 1 4 d | g i t C K Y C N u m b e r |
| Address | | |
| | | |
| City | State | Pincode |
| Mobile | Email ID* | |
| Occupation Details | | Business Professional Agriculturist Student Others Specify |
| Gross Annual Income (₹) | Below 1 Lac 1-5 Lacs 5-10 Lacs 10-25 Lacs Net worth (Mandatory for Non - Individuals) ₹ | 25 Lacs - 1 Crore > 1 Crore as on D M M Y Y Y |
| Email ID provided per | ains to Self Family Member (Note: If Email pertains to Family S Member please select any one) S er to 'OPT-IN' to receive physical copies of scheme Annual Report or Abridge | Dependent Parents Dependent Children (Refer Instruction No. 25) |
| BANK ACCOUNT DI | TAILS FOR PAYOUT (Please note that as per SEBI Regulations it is mandatory for inve | stors to provide their bank account details. Refer Instruction No. 6) |
| Name of the bank | | |
| Branch Address | | |
| | | |
| City | State | Pincode |
| Account No. | | |
| Account type Savin | | Specify |
| IFSC Code (11 digit) | MICR Code (9 digit) | Note: Legal Entity Identifier Number is Mandatony |
| LEI Code | Valid up to D D M M | Y Y Y Y Y Y Note: Legal Entity Identifier Number is Mandatory for Transaction value of INR 50 crore and above for Non-Individual investors. refer Instruction No. 27. |

| Second Applie | ant M | . Ms. | M/s. | | | | | | SECO | ND A | PPLIC | C A N 1 | Г | | | | | Gender | - | м | F | <u> </u> |
|--|--|--|--|---|---|-------|---|--|---|---|--------------------------------|---|--|--|---|---|---|---------|---------------------|--|-------|----------|
| PAN (Mandatory) | | | | | | | | | | | | | | | | | | | | | | |
| DOB | D D | Μ | Μ | ΥY | Υ | Y | | | (YC No. ptional) | | | | 1 4 d | igit Ck | YCN | l u m b e | e r | | |] | | |
| Address | | | | | | | | | | | | | | | | | | | | | | |
| City | | | | | | | | State | | | | | | | | | Pinc | ode | | | | |
| | | | Pvt. S | ector Se | ervice | | Pub | lic Sec | tor Service | | Govt. Se | ervice | | Busines | 5 | Profess | sional | | Agrie | culturis | t | |
| Occupation D | etails | | Retir | əd | | | Ho | usewif | е | F | orex D | ealer | | Student | | Others | s | | Spe | ecify | | |
| Gross An Income | | | Belo | w 1 Lac | | | 1-5 Lo | acs | 5-10 | Lacs | | 10-25 | Lacs | | 25 Lac | :s - 1 Cı | rore | > | 1 Cro | ore | | |
| Third Applica | nt M | : Ms. | M/s. | | | | | | THIR | D A P | PLIC | ANT | | | | | | Gender | - | м | F | 0 |
| PAN (Mandatory) | | | | | | | | | | | | | | | | | | | | | | |
| DOB | D D | Μ | М | ΥY | Υ | Y | | | (YC No. ptional) | | | | 14 d | igit C k | (Y C N | lumbe | e r | | |] | | |
| Address | | | | | | | | | | | | | | | | | | | | | | |
| City | | | | | | | | State | | | | | | | | | Pinc | ode | | | | |
| | | | Pvt. S | Sector S | ervice | | Pub | lic Sec | tor Service | | Govt. Se | ervice | | Busines | s | Profess | sional | | Agri | culturis | it | |
| Occupation D | etails | | Retir | ed | | | Но | usewif | e | F | Forex D | ealer | | Student | | Other | s | | Spe | ecify | | |
| Gross An Income | | | Belo | ow 1 La | с | | 1-5 L | acs | 5-10 | 0 Lacs | | 10-25 | 5 Lacs | | 25 La | cs - 1 C | Crore | > | 1 Cr | ore | | |
| GUARDIAN | DETAIL | S (In | case F | irst / Sc | le Ap | plico | ant is r | minor) | / CONTAC | CT PERS | ON - D | esign | IOITA | N / PoA H | HOLDE | R (In ca | ise of | Non-inc | lividu | al Inve | stors | 5) |
| | | | | | | | | | | | | | | | | | | | | | | |
| Mr. Ms. M/s. | | | | | | | | | GUARD | IAN | | | | | | | | Gender | - | м | F | 0 |
| Mr. Ms. M/s. | | | | | | | | | GUARD | IAN | | | | | | | | Gender | - | M | F | 0 |
| | D D | M | M | Y Y | Y | Y | | | GUARD | | | | 1 4 d | igit Ck | (Y C N | l u m b e | e r | Gender | | M [] |] F | 0 |
| PAN (Mandatory) | D D | M | M | Y Y | Y | Y | | | (YC No. | IAN | | | 1 4 d | igit C H | YCN | l u m b e | e r | Gender | | |] F | <u> </u> |
| PAN (Mandatory) DOB | D D | M | M | Y Y | Y | Y | | | (YC No. | | | | 1 4 d | g i t C k | | l u m b e | e r Pinc | | | M [] |] F | |
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| PAN (Mandatory) DOB Address City Occupation D Gross An Income | etails nual (₹) | | Pvi Re Be | : Sector tired | Servi | ce | 1-5 L | State Public House acs | YC No. prional) Sector [prife [5-10 | Go For D Lacs | rex Dea | ler | I | Business Student | 25 La | Profes Othe | Pinco ssional rs Crore | ode | Agr Spe | icultur e c i f y | | |
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| PAN (Mondatory) DOB Address City Occupation D Gross An Income Relationship (Email ID Proof of the R TAX STATUS Minor Others | etails nual (₹) Df Guar elation (Applica] Gover For I litically ed to a | ship v ship v politic | Prof. Re Re (Refer (Refer r First , nt Body duals sed Pe ally Ex | . Sector tired low 1 L Instructi inor (' Sole A ' () Tr S p e c rson | Servi ac on Ne pplicc rust ify | ce | I -5 L I | Compa | CYC No. ptional) Sector [pwife [5-10 ner [School C t Individua Bank & For I ny a Listed any: (If No | Goo Goo D Lacs Tath Certificat I Fil Fil Fil Fil Fil Gompo , please oney Cho Lottery / | te dividua any or Se attach | ler 10-2: Passpo NRI-N ropriet al Invo | Co | Business Student urt Appc Other HUF Partner | 25 La 25 La s s anies, ompar | Profes Othe Cos - 1 C Guardic Club / S rm Trust, ay or Co | Pinco ssional rs Crore an Crore QFI | ode | Agr Spe 1 Cr | icultur cify ore Body t Fund | e | porate |

| 2. FATCA AND CRS DETAILS FOR INDIVIDUALS | (Including Sole Proprietor. Refer Instruction No. 23) |
|--|---|
|--|---|

The below information is required for all applicants/guardian.

| | Place / City of Birth | Country of Birth | Country of Citizenship / Nationality |
|----------------------------|-----------------------|------------------|--------------------------------------|
| First Applicant / Guardian | | | Indian U.S. Others |
| Second Applicant | | | Indian U.S. Others |
| Third Applicant | | | Indian U.S. Others |

Are you a tax resident (i.e., are you assessed for tax) in any other country outside India? If 'YES' please fill for ALL countries (other than India) in which you are a Resident for tax purpose i.e. where you are a Citizen / Resident / Green Card Holder / Tax Resident in the respective countries

| Yes | No |
|-----|----|
|-----|----|

| . e. where you dre d Chizerry Resident / Orech Curd Holder / lux Resident in the respective countries. | | | | | | | | |
|--|-----------------------------|--|--|------|-------------------------------|--|--|--|
| | Country of Tax Residency | Tax Identification Number o Functional Equivalent | r Identification Type (TIN or other please specify) | | Address Type | | | |
| First Applicant / Guardian | | | | Re | esi Regd. Office Business | | | |
| Second Applicant | | | | Re | esi 🦳 Regd. Office 📃 Business | | | |
| Third Applicant | | | | Re | esi Regd. Office Business | | | |
| Overseas Address | | | | | | | | |
| | | | | City | | | | |
| State | | Country | | | Zipcode | | | |

For Non Individual investors Annexure I and Annexure II are available on the website of AMC i.e. www.axismf.com or at the Investor Service Centres (ISCs) of Axis Mutual Fund.

| 3. NO | OMINATION DETAILS (Mandatory) (R | tefer Instruction No. | 18) | | | | | | | |
|------------|------------------------------------|-----------------------|------------|-------------------|----------------------------------|----------------|---------------------------------------|-----------------|--|-----------------------|
| Sr. No. | Nominee Name | PAN | 1 | Allocation (%) | Relationship with Investor | | Nominee date of birth | - | vardian Name se of Minor) | Guardian Signature |
| 1 | | | | | | D | D M M Y Y | | | |
| 2 | | | | | | D | D M M Y Y | | | |
| 3 | | | | | | D | D M M Y Y | | | |
| I/ | We DO NOT wish to nominate a | You/ Sole Applicant | | | | Second Applica | nt | Third Applicant | | |
| 4. IN | VESTMENT DETAILS (For multiple sch | nemes ref instructi | on no. 22) | (Investors o | applying under [| Direct I | Plan must select "DIRECT | " against sche | eme name, Refer Ins | struction No. 2.) |
| Sr. No | o. Scheme No | Scheme Name | | | Plan | | Option [Growth/*IDCW (D Option] | ividend) | Amount | |
| 1 | | | | Re | gular Dire | ect | | | | |
| 2 | | | | Re | gular Dire | ect | | | | |
| 3 | | | | Reg | gular Dire | ect | | | | |

*The dividend amounts can be distributed out of investors capital (Equalization Reserve), which is part of sale price that represents realized gains.

5. PAYMENT DETAILS

| Non-Third Party Payment Third Party Payment (Please attach 'Third Party Payment Declaration Form') | | | | | | | |
|--|--------------------|-----------------|--|--|--|--|--|
| Mode Cheque DD Axis Bank Debit Mandate Date | D D M M Y Y Y | Cheque / DD No. | | | | | |
| Amount (in figures) (in words) | | | | | | | |
| Pay-in A/c No. | | | | | | | |
| Account type Savings Current NRE NRO | FCNR Others | Specify | | | | | |
| IFSC code (11 digit) MI | ICR Code (9 digit) | | | | | | |
| Drawn on bank / branch name & address | | | | | | | |

6. DEMAT ACCOUNT DETAILS (OPTIONAL)

(Please ensure that the sequence of names as mentioned in the application form matches with that of the A/c held with the depository participant) Refer Instruction No. 19.

| | Depository Participant Name | DP ID: I N |
|---------|-----------------------------|---|
| NSDL: | Beneficiary A/c No. | |
| | | |
| CDSL: | Depository Participant Name | |
| CD JL. | Beneficiary A/c No. | |
| Enclose | ed Client Master | Transaction / Statement Copy / DIS Copy |

7. DECLARATION AND SIGNATURE

Having read and understood the content of the SID / KIM of the scheme and SAI of the Axis Mutual Fund (The Fund), I/we hereby apply for units of the scheme. I have read and understood the terms, conditions, details, rules and regulations governing the scheme. I/We hereby declare that the amount invested in the scheme is through legitimate source only and does not involve designed for the purpose of the contravention of any Act, Rules, Regulations, Notifications or Directives of the provisions of the Income Tax Act, Anti Money Laundering Laws, Anti Corruption Laws or any other applicable laws enacted by the Government of India from time to time. I/we have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment. I/We confirm that the funds invested in the Scheme, legally belongs to me/us. In event "Know Your Customer" process is not completed by me/us to the satisfaction of the Mutual Fund, (I/we hereby authorize the Mutual Fund, to redeem the funds invested in the Scheme, in favour of the applicant, at the applicable NAV prevailing on the date of such redemption and undertake such other action with such funds that may be required by the law.) The ARN holder has disclosed to me/us all the commissions (trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds amongst which the Scheme is being recommended to me/ us. I / we give my / our consent to collect personal data or information as prescribed in the privacy policy which is available on the website of the AMC / Fund. I/We hereby give consent to the Company or its Authorized Agents and third party service providers to use information contained herein to its affiliates/group companies or their Authorized Agents or Third Party Service Providers in order to provide information and updates to me on varoious financial and investment products and offering of other services. I/We agree that all personal or transactional related information collected/provided by me

I/We confirm that I/We do not have any existing Micro SIP/Lumpsum investments which together with the current application will result in aggregate investments exceeding ₹ 50,000 in a year (Applicable for Micro investment only.) with your fund house. For NRIs only - I / We confirm that I am/ we are Non Residents of Indian nationality/origin and that I/We have remitted funds from abroad through approved banking channels or from funds in my/ our Non Resident External / Non Resident Ordinary / FCNR account. I/We confirm that details provided by me/us are true and correct.

I/We give my consent to Axis Asset Management Company Limited and its agents to contact me over phone, SMS, email or any other mode to address my investment related queries and/or receive communication pertaining to transactions/ non-commercial transactions/ promotional/ potential investments and other communication/ material irrespective of my blocking preferences with the Customer Preference Registration Facility.

I/ We hereby provide my/our consent in accordance with Aadhaar Act, 2016 and regulations made thereunder, for (i) collecting, storing and usage (ii) validating/authenticating and (ii) updating my/ our Aadhaar number(s) (if provided) in accordance with the Aadhaar Act, 2016 (and regulations made thereunder) and PMLA. I/ We hereby provide my/our consent for sharing/disclosing of the Aadhaar number(s) including demographic information with the asset management companies of SEBI registered mutual fund (s) and their Registrar and Transfer Agent (RTA) for the purpose of updating the same in my/our folios with my PAN.

CERTIFICATION: I / We have understood the information requirements of this Form (read along with the FATCA & CRS Instructions) and hereby confirm that the information provided by me/us on this Form is true, correct, and complete. I / We also confirm that I / We have read and understood the FATCA & CRS Terms and Conditions below and hereby accept the same.

| You/ Sole Applicant /Guardian | Second Applicant | Third Applicant | Power of Attorney Holder |
|-------------------------------|------------------|-----------------|--------------------------|
| | | | |
| Date D D M M Y Y Y | Place | | |

8. QUICK CHECKLIST

| KYC acknowledgement letter (Compulsory for MICRO Investments) |
|--|
| Self attested PAN card copy |
| Plan / Option / Sub Option name mentioned in addition to scheme name |
| Multiple Bank Accounts Registration form (if you want to register multiple bank accounts so that future payments can be made from any of the accounts) |
| Email id and mobile number provided for online transaction facility |
| SIP Registration Form for SIP investments |
| Relationship proof between guardian and minor (if application is in the name of a minor) |
| FATCA Declaration |

Additional documents attached for Third Party payments. Refer instruction No. 7.



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| We | Name of the account holder(s) | Application No. |
|--|--|--|
| uthorise you to debit my/our account no. | | |
| ccount type Savings NRO | NRE Current FCNR Others | Specify to pay for the purchase of |
| Axis Bluechip Fund Axis Lon | g Term Equity Fund 📃 Axis Regular Saver Fund 🗌 Axis Trip | ole Advantage Fund 📃 Axis Midcap Fund |
| Axis Focused 25 Fund Axis A | rbitrage Fund 📃 Axis Equity Saver Fund 🗌 Axis Flexi Cap | Fund Axis Balanced Advantage Fund |
| Axis Equity Hybrid Fund Axis | Growth Opportunities Fund Axis Small Cap Fund Ax | is ESG Equity Fund 📃 Axis Nifty 100 Index Fund |
| Axis Special Situations Fund | Axis Global Equity Alpha Fund Of Fund 🗌 Axis Quant Fund | Axis Value Fund |
| Axis Greater China Equity Fund Of | Fund Axis Global Innovation Fund of Fund OR | Axis MF Multiple Schemes |
| mount n words) | (in Figures) | |
| / | | Signature of |
| Signature of First Account Holder | Signature of Second Account Holder | Third Holder |

WE ACKNOWLEDGE YOUR APPLICATION Received subject to realisation, verification and conditions, an application for purchase of Units as mentioned in the application form.

| h | r | o | r | 1 | 1 |
|---|---|---|---|---|---|
| | | | | | |

| Cheque No. | Date | Amount | Scheme | Stamp & Signature |
|------------|------|--------|--------|-------------------|
| | | | | |
| | | | | |
| | | | | |

Application No.

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| | first time in tributor V 146 | | | mit C | Comn | | b-D | | | | alo | ng with | n this | s for | Ir | ntern ol ID | | J | -Bro | ker | / | | | | | | | | | | |
| EUI | | 022 | _ | | | En | nplo | yee | • | | | | | | | | | E^ | | | | | | | | | | | | | |
| PMR | R (Portfolio | Manac | ier's Rei | nistro | tion) | | | -r ^ | ~ ~ | | | | | | | | | | | | | | | | | | | | | | |
| Upfror distrib holdin investe respec | ont commission outor. ^I/We ngs/ NAV etc. ted in the sch ct of my/our | n shall l have in in respe eme(s) nvestme | be paid nvested ect of my of Axis N ents und | direct in the /our in /outuo ler Dir | y by t scher nvestr I Fun ect Pl | he inv me(s) ments d und an of | restor of Axi under ler Dir all sch | to th is Mu r Dire rect l neme | e AM utual ect Pla Plan. es of A | Fund an of a I/We Axis M | unde Ill sch here utua | er Direct nemes of by give r I Fund, to | Plan. Axis my/o o the | I/We Mutu our co abov | le herek ual Fund onsent ve men | by give d, to the to sha tioned | e abc re/pr SEBI | our c ove m ovide Regi | onsen ientior e the ti stered | t to s ied S ranse Portf | share, EBI Re action folio N | /prov egiste is da Aanc | vide th ered lu ita fee ager. | ne trai nvestr ed/po | nsac nent ortfol | tions Advis io ho | date ser. Idin | a feed ^ ^ , gs/ N | l/por We, l AV et | folio nave c. in |) : |
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| You/ Sole Applicant /Guardian | | | Second Applicant | | | | | | | | | | Thi | rd Ap | plice | int | | | | | Powe | r of | Attor | ney | Hold | er | | | | | |
| purche | I confirm t se the subsc ase/subscrip YOUR INFC | ription tion am | amount 10unt an | is ₹ d pay | 10,0 ablet | 00 or to the | · mor Distri | re ar ibutc E) | nd yo or. Un (ISTI | ur Dis its will NG I | strib l be i NVI | O utor has ssued ag ESTOR'S ith KYC vali | s opte gainst S FO | t the l | balanc | e amo | nsact | tion tvest | Charg ed. | | | • | | | | | | | | n th | e |
| Your | Name (as ir | PAN C | Card / K | YC re | cords | 5) | Mr. | Ms. | M/s | | | | | | | | | | | | | | | | | | | | | | |
| Nam | ne of the Gu | ardian | Mr | . Ms | . M/s | | (In c | ase F | irst / | Sole A | pplic | ant is mi | inor) , | / Cor | ntact Pe | rson - | Desig | Inatio | n / Po | A H | OLDE | R (In | case « | of Nor | n-ind | viduo | il In | vestor | s | | Ī |
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| 2. SIP DETAILS | | | SIP Registration Mode | A-OTM | K-OTM Mandate along with SIP form |
|---------------------------------------|-----------|-------------------------|---------------------------|------------|--|
| OTM Reference No. | | | | | (if Multiple One Time Mandate are registered) |
| Scheme / Plan / Option | Frequency | SIP Date En | rollment Period (MMYY) | SIP Amount | TOP-UP Facility (Optional) Only available for Monthly SIP |
| | | | (/////11) | | Frequency Amount |
| | Monthly | From | ₹ | in figures | Half Yearly ₹ in figures |
| | Yearly | Default SIP Date 7th | | in words | Yearly in words |
| | | or [| 1299 | | Dynamic TOP-UP |
| | Monthly | From | ₹ | in figures | Half Yearly ₹ in figures |
| | Yearly | Default SIP Date 7th | | in words | Yearly in words |
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| | Monthly | From | ₹ | in figures | Half Yearly ₹ in figures |
| | Yearly | Default SIP Date 7th | | in | Yearly in words |
| | | or | 1299 | in words | Dynamic TOP-UP |
| SIP initial payment details (Optional | \ | | | | |
| Drawn on bank / branch name | | | | Amou | nt |
| Mode Cheque/DD Cheque | /DD | | Dated | D D M M Y | Y Y Y |

In case of multiple SIP, mention "Axis MF Multiple Schemes" on the payment instrument.

3. Declaration and Signature (to be signed by all unit holders if mode of holding is 'joint')

1/We declare that the particulars furnished here are correct. I / We authorize Axis Mutual Fund acting through its service providers to debit my / our bank account towards payment of SIP installments and/or any lumpsum payments through an Electronic Debit arrangement / NACH (National Automated Clearing House) as per my request from time to time. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold the user institution responsible. I/We will also inform Axis Mutual Fund about any changes in my bank account. I/We hereby authorize to honour such payments and have signed and endorsed the Mandate Form. Further, I authorize my representative (the bearer of this request) to get the above Mandate verified. Mandate verification charges, if any, may be charged to my/our account.

You/ Sole Applicant /Guardian

Second Applicant

Third Applicant

INSTRUCTIONS FOR SIP & TOP-UP

- Multiple SIP registration facility is not available for Axis Children's Gift Fund, Axis Liquid Fund, 1. ETF schemes and during NFO.
- Investors are required to submit Form along with a photo copy/cancelled cheque of Debit Bank Account at least 21 days before the first SIP Installment date. 2
- Investor shall have the option of choosing any date of the month as the SIP date except the dates 29th, 30th and 31st. If SIP date is not mentioned, default date would be considered as 3 7th of every month. If the SIP date falls on a non-business day or a bank holiday, the SIP debit will be processed on the following business day. 4

Please refer below table for minimum monthly/yearly installments Scheme Monthly Yearly Minimum Amount (₹) Minimum Amount (₹) Installments Minimum Installments All Schemes except below schemes, Axis Overnight Fund & Axis Liquid Fund 1000 6 Axis Bluechip Fund, Axis Midcap Fund, Axis Flexi Cap Fund, Axis Smallcap Fund, Axis Focused 25 Fund & Axis Nifty 100 Index Fund 500 6 12000 3 Axis Long Term Equity Fund* 500 6 6000 3 Note: For all schemes, minimum amount is as per above table and thereafter in multiple of ₹ 1

For Long Term Equity Fund Minimum amount is as per above table and thereafter in multiple of ₹ 1. of ₹ 500°.

- If no amount is mentioned minimum scheme amount would be considered i.e. for Axis Long 5. Term Equity Fund minimum amount would be ₹ 500/- and for other schemes minimum amount would be ₹ 1,000/-.
- For details about the Scheme and its facility please refer the SID, SAI & KIM of the respective schemes / Addendum issued from time to time carefully before investing. 6.
- The SIP will be discontinued automatically if payment is not received for three successive 7. installments.
- Investors can discontinue a SIP at any time by sending a written request to any Official Point of Acceptance or to the registrar M/s. KFin Technologies Private Limited. Notice of such discontinuance should be received at least 20 days prior to the due date of the next installment/debit. 8.
- Mandate will be processed through NACH platform offered by NPCI.
- As per SEBI circular dated August 22, 2011, Transaction Charge per subscription of ₹ 10,000/- and above shall be charged from the investors and shall be payable to the 10. distributors/ brokers (who have not opted out of charging the transaction charge) in respect of applications routed through distributor/broker relating to Purchases / subscription / new inflows only (lumpsum and SIP), subject to the following:
 - For Existing / New investors: ₹100 / ₹150 as applicable per subscription of ₹10,000/and above
 - Transaction charge for SIP shall be applicable only if the total commitment through SIP amounts to ₹10,000/- and above. In such cases the transaction charge would be recovered in maximum 4 successful installments.
 - There shall be no transaction charge on subscription below₹10,000/-
 - There shall be no transaction charges on direct investments.
 - There shall be no transaction charges for transaction other than purchases/subscriptions relating to new inflows such as Switches, etc.

Transactions carried out through the Stock Exchange platforms for mutual funds shall not be subject to transaction charges

The requirement of minimum application amount shall not be applicable if the investment amount falls below the minimum requirement due to deduction of transaction charges from the subscription amount.

However, the option to charge "transaction charges" is at the discretion of the distributors. Investors may note that distributors can opt to receive transaction charges based on type of the Scheme. Accordingly, the transaction charges would be deducted from the subscription

- amounts, as applicable amounts, as applicable. Investor will not hold Axis Mutual Fund, its registrars and other service providers responsible if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific SIP date due to various clearing cycles of NACH Debit/ Local/Bank holiday. Axis Mutual Fund, its registrars and other service providers shall not be held responsible or liable for damages / compensation / loss incurred by the investor as a result of using the SIP or ECS / Auto debt facility. The investor assumes the entire risk of using this facility and takes full responsibility. 11.
- Investor can change bank details for SIP by submitting a "CHANGE OF BANK MANDATE -12. FOR SIP" form available on the website or at any Investor Service Centre along with cancelled cheque of the new bank with the investor's name printed on it.
- TOP-UP Facility: Under this facility the Investor can increase the SIP installment at pre-defined intervals by a fixed amount or any time as per the request. This facility is available for individual 13. investors only. For availing the said facilities, investors are required to note the following
 - Investor willing to register TOP-UP should provide the TOP-UP details along with the SIP enrolment details.
 - The minimum amount for Axis TOP-UP facility is ₹ 500/- and in multiples of ₹ 1/- for all schemes; except Axis Long Term Equity Fund the minimum amount is ₹ 500 and in multiples of ₹ 500 thereafter.
 - If no amount is mentioned as TOP-UP amount under frequency yearly and half-yearly, minimum TOP-UP amount would be considered, i.e., ₹ 500/- for all schemes.
 - TOP-UP frequencies available are Half-Yearly/Yearly/Dynamic requested intervals.
 - In case TOP-UP frequency is not indicated, it will be considered as Yearly by Default.
 - The date for Axis Mutual Fund TOP-UP Facility will correspond to the registered SIP.
 - TOP-UP will continue till the End of the SIP tenure by default.
 - In case an investor wishes to change the Top-Up amount, he/she has to provide a cancellation for the existing SIP and register fresh SIP.
 - Only TOP-UP cannot be discontinued anywhere during the SIP tenure
 - In case of Dynamic Top up option, any changes in the amount can be made only after completion of 6 months from the date of the first installment and minimum gap between two top up requests should be 3 months and amount specified in last request shall be continued till the End of the SIP tenure.
 - Please see the illustration below to know how to calculate SIP Top-Up amount
 - SIP Starts on 07/May/2016 · SIP ends on 07/12/2099 · SIP amount is ₹1000 · · · · · ·

| Top-Up date | SIP Amount (₹) | Top-Up Amount (₹) | New SIP Amount (₹) | | |
|-------------|-------------------|----------------------|-----------------------|--|--|
| 7-Nov-2016 | 1000 | 500 | 1500 | | |
| 7-May-2017 | 1500 | 500 | 2000 | | |
| 7-Nov-2017 | 2000 | 500 | 2500 | | |
| 7-May-2018 | 2500 | 500 | 3000 | | |



ONE TIME MANDATE (OTM) FORM

One Time Mandate (OTM) is a common application form for registration of mandate centrally and not being folio specific. OTM registration will be PAN Based and will be available for investment in all folios available with Axis Mutual Fund for Multiple SIPs and additional purchases. One Time Mandate is only available to HUFs, Proprietor Firms and individual investors with "Single" or "Either or Survivor" mode of holding.

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INSTRUCTIONS FOR ONE TIME MANDATE

- 1. One Time Mandate is currently available to HUFs, Proprietor Firms and individual investors with "Single" or "Either or Survivor" the mode of holding.
- Registration of One Time Mandate will take 21 days from the date of submission of form.
- 3. Mandate will be processed through NACH platform offered by NPCI.
- 4. "National Automated Clearing House (NACH)" is Direct Electronic Debit mode implemented by National Payments Corporation of India (NPCI), list of banks is available on NPCI website www.npci.org.in. The said list is subject to modifications. The investor agrees to abide by the terms and conditions of NACH Debit/ECS of Reserve Bank of India/Banks.
- 5. In case the Mandatory fields on the Mandate are not filled, the mandate will be rejected.
- 6. Maximum Amount: The MAXIMUM AMOUNT is the per transaction maximum limit. As investor can register for multiple SIPs with one time NACH mandate. The transaction amount should not exceed the maximum amount mentioned in the NACH mandate. It is suggested to choose a higher amount to commence additional investments in future or to absorb Top-Up increments.
- Axis One Time Mandate cannot be utilized for Liquid schemes, ETF schemes, closed ended schemes, and during NFO period.
- 8. Special instructions for EasyCall / EasySMS facility:
 - Post registration, you can invest less than 2,00,000/- at a time using EasyCall & EasySMS.
 - The Distributor ARN for an EasyCall/EasySMS transaction will be the same as registered against the folio in which the transaction is being done. In case a folio has multiple brokers registered, then the EasyCall transaction is made under the Distributor ARN of the last transaction in the folio.
 - The Investor agrees that all calls received shall be eligible for same day NAV subject to necessary formalities to be complied by the AMC in case of transaction through EasyCall & EasySMS Facility on or before the uniform cut off time.
 - SIP is not available through Easy SMS.
 - The cut off time for EasyCall transactions is 2:30pm on all business days and if the call for transaction is received after 2:30 pm it would be considered for the next day transaction.
 - The cut off time for EasySMS transactions is 3:00 pm on all business days and if the SMS for transaction is received after 3:00 pm it would be considered for the next day transaction
 - Requests like change in bank mandate, change of nomination, change in mode of holding, change of address or such other requests as the AMC may decide from time to time will not be permitted using the EasyCall & EasySMS facility.
- 9. The Investor/s shall not hold the AMC liable for the following:
 - For any transaction using the Facility carried out in good faith by the AMC on instructions of the Investor/s.
 - For unauthorized usage/ unauthorized transactions conducted by using the Facility.
 - For any loss or damage incurred or suffered by the Investor/s due to any error, defect, failure or interruption in the provision of the Facility arising from or caused by any reason whatsoever.

- For any negligence/mistake or misconduct by the Investor/s.
- For any breach or non-compliance by the Investor/s of the rules/ terms and conditions stated in the Scheme Information Document.
- For not verifying the identity of the person giving the telephone instructions in the Investor/s name.
- For not carrying out any such instructions where the AMC has reason to believe (which decision of the AMC the Investor/s shall not question or dispute) that the instructions given are not genuine or are otherwise improper, unclear, vague or cause for doubt.
- For carrying out a transaction after such reasonable verification as the AMC may deem fit regarding the identity of the Investor/s.
- In case of error in NAV communication.
- For accepting instructions given by any one of the Investor/s or his/her authorized person.
- 10. Axis Asset Management Company Limited (AMC) has the right to ask such information (Key Information) from the available data of the Investor/s before allowing him/ her access to avail the One Time Mandate facility (the Facility). If for any reason, the AMC is not satisfied with the replies of the Investor/s, the AMC has at its sole discretion the right of refusing access without assigning any reason/s to the Investor/s.
- 11. It is clarified that the Facility is only with a view to accommodate / facilitate the Investor/s and offered at the sole discretion of the AMC. The AMC is not bound and/or obliged in any way to give access to the Facility to Investor/s.
- 12. The Investor/s shall check his/ her account records carefully and promptly. If the Investor/s believes that there has been a mistake in any transaction using the Facility, or that an unauthorized transaction has been effected, the Investor/s shall notify the AMC immediately. If the Investor/s defaults in intimating the discrepancies in the statement within a period of fifteen days of receipt of the statements, he waives all his rights to raise the same in favor of the AMC, unless the discrepancy/ error is apparent on the face of it. By opting for the facility the Investor/s hereby irrevocably authorizes and instructs the AMC to act as his/ her agent and to do all such acts as AMC may find necessary to provide the Facility.
- 13. The Investor/s shall at all times be bound by any modifications and/ or variations made to these Terms and Conditions by the AMC at their sole discretion and without notice to them.
- 14. The Investor/s agrees and confirms that the AMC has the right to ask the Investor/s for an oral or written confirmation of any transaction request using the Facility and/ or any additional information regarding the Account of the Investor/s.
- 15. The Investor/s agrees and confirms that the AMC may at its sole discretion suspend the Facility in whole or in part at any time without prior notice.
- 16. The Investor/s shall not assign any right or interest or delegate any obligation arising herein.
- 17. The Investor/s shall take responsibility for all the transactions conducted by using the Facility and will abide by the record of transactions generated by the AMC. Further, the Investor/s confirms that such records generated by the AMC shall be conclusive proof and binding for all purposes and may be used as evidence in any proceedings and unconditionally waives all objections in this behalf.
- 18. The Investor/s agrees that use of the Facility will be deemed acceptance of the Terms and Conditions and the Investor/s will unequivocally be bound by these Terms and Conditions.

| | Sub-Distributor ARN | Internal Sub-Broker/ Sol ID | |
|---|--|--|---|
| EUIN | Employee Code | RIA CODE ^ | |
| PMR (Portfolio Manager's Registration | on) Number ^ ^ | | Application No. |
| ^ I/We, have invested in the scheme(s) of Axis | Mutual Fund under Direct Plan. I/We hereby nemes of Axis Mutual Fund, to the above mer consent to share/provide the transactions d | tor based on the investor's assessment of various factor give my/our consent to share/provide the transactions ntioned SEBI Registered Investment Adviser. ^ 1/We, h lata feed/portfolio holdings/ NAV etc. in respect of my | data feed/portfolio holdings/ NAV etc. in respect of a scheme (s) of Axis Mutual Fun |
| "I/We hereby confirm that the EUIN | box has been intentionally left blank by r | ne/us as this transaction is executed without any int ng the advice of in-appropriateness, if any, provide | |
| You/ Sole Applicant /Guardian | Second Applicant | Third Applicant | Power of Attorney Holder |
| | | JTORS ONLY (Refer Instruction No. 20) | |
| I confirm that I am a first time i In case the subscription amount is ₹ 1 | 0,000 or more and your Distributor | has opted to receive Transaction Charges, the | ting investor across Mutual Funds. same are deductible as applicable from t |
| purchase/subscription amount and payal | ble to the Distributor. Units will be issued | d against the balance amount invested. | |
| 1 Applicant Details Sole / 1st Unitholder | | Folio No. | |
| (as in PAN Card / KYC records) | | | |
| (as case of minor) | First Name | Middle Name | Lasi Name |
| PAN | Applicant 2nd Holder PAN | 2nd Applicant | |
| 3rd Holder PAN 3rd Ap | plicant | | |
| 2 SYSTEMATIC TRANSFER | PLAN (STP) (To be submitted | at least 7 working days before the 1st | due date for transfer). |
| From Scheme [#] | | | Plan Direct Regular |
| Option (tick ✓) □ Growth □ I | DCW Reinvestment DCW | V Payout Bonus IDCW Freq | uency |
| To Scheme | | | Plan Direct Regular |
| Option (tick ✓) □ Growth □ I | DCW Reinvestment DCW | V Payout IDCW Freq | uency |
| Systematic Transfer Pl | an (STP) (Ref. Instruction 5) | Capital Appreciation Syste (Ref Instruction 6) | ematic Transfer Plan (CapSTP) |
| Transfer Frequency (Please tick (3) any o | ne of the below frequencies) | Transfer Frequency (Please tick (3) any one of t | the below frequencies) |
| Daily | | Weekly (Monday To Friday) * Day of transfe | |
| Weekly* (Monday To Friday) Day | | Monthly (Please tick (3) any one) | 0th 🗌 15th 🗌 25th |
| Fortnightly (Every Alternate Wednesd Monthly \$ | | Quarterly \$ 1 Ist 7th 10 | |
| Quarterly \$ | □ 10th □ 15th □ 25th | | |
| Transfer Instalment ₹ | No. of Instalments | OR Transfer Period From C | M M Y Y Instalment) (Last Instalment) |
| Having read and understood the conter | governing the Scheme(s). I/ We hereby purpose of the contravention of any A n Laws or any other applicable laws er | ent of the Scheme(s), I / we hereby apply for uni v declare that the amount invested in the Scheme ct, Rules, Regulations, Notifications or Direction nacted by the Government of India from time to jifts, directly or indirectly in making this investme s is not completed by me / us to the satisfaction of the state of the state of the satisfaction of the satisfaction of the set of the state of the satisfaction of the s | (s) is through legitimate sources only and dc is of the provisions of the Income Tax Act, A time. I / We have understood the details of t ant. I / We confirm that the funds invested in t of the Mutual Fund I / we hereby authorise t |
| terms, conditions, rules and regulations not involve and is not designed for the p Money Laundering Laws, Anti Corruptio Scheme(s) & I / we have not received nor Scheme(s), legally belong to me / us. In t Mutual Fund, to redeem the funds invest other action with such funds that may be The ARN holder has disclosed to me/us various Mutual Funds from amongst whi | he event "Know Your Customer" proces ed in the Scheme(s), in favour of the ap required by the Law. • all the commissions (in the form of t ch the Scheme is being recommended / we are Non Residents of Indian nati Resident External / Non-Resident Ordin | pplicant, at the applicable NAV prevailing on the rail commission or any other mode), payable to to me/us. ionality / origin and that I / we have remitted fu | o him for the different competing Schemes |

From Scheme Amount

| | To Scheme | |
|-------|-----------|-------------------|
| Frequ | lency | Stamp & Signature |

STP Enrolment Form - Instruction

- 1. The STP Enrolment Form should be completed in English and in Block Letters only. Please tick in the appropriate box, where boxes have been provided. The STP Enrolment Form complete in all respects, should be submitted at any of the Official Points of Acceptance of Axis Mutual Fund.
- 2. One STP Enrolment Form can be filled for one Scheme/Plan/Option only.
- 3. Investors are advised to read the Key Information Memorandum(s) (KIMs) and Scheme Information Document(s) (SIDs) of the Transferee Scheme(s) and Statement of Additional Information (SAI) carefully before investing. The SIDs / KIMs of the respective Scheme(s) andSAI are available with the ISCs of Axis Mutual Fund, brokers/distributors and also displayed at the Axis Mutual Fund website i.e. www.axismf.com
- 4. Unit holders should note that unit holders' details and mode ofholding (single, joint, anyone or survivor) in the Transferee Scheme will be as per the existing folio number of the Transferor Scheme, Units will be allotted under the same folio number. Unit holders' names should match with the details in the existing folio number, failing which; the application is liable to be rejected.
- 5. STP offers unit holders the following two Plans:
 - 1. Systematic Transfer Plan (STP)
 - 2. Capital Appreciation Systematic Transfer Plan (CapSTP)

Investor's can opt for any of the above facility.

Systematic Transfer Plan (STP) offers transfer facility at Daily, Weekly, Fortnightly, Monthly and Quarterly intervals.

Capital Appreciation STP (CapSTP) offers transfer facility at Weekly, Monthly and Quarterly intervals. If no frequency is chosen, Monthly frequency shall be treated as the Default Frequency.

6. Under the CapSTP-Weekly Interval, unit holders will be eligible to transfer the entire capital appreciation amount (minimum ₹ 500) by way of capital appreciation from Monday to Friday.

Monthly Interval, unit holders will be eligible to transfer the entire capital appreciation amount(minimum ₹ 500) by way of capital appreciation on the 1st, 7th, 10th, 15thor 25thof each month.

Under the CapSTP-Quarterly Interval, unit holders will be eligible to transfer the entire capital appreciation amount (minimum ₹ 1,000) by way of capital appreciation on the 1 st, 7th, 10th, 15th or 25th of the first month of each quarter. The beginning of the quarter could be of any month e.g. January, March, July, September, etc. Please note that no transfers will take place if there is no minimum capital appreciation amount (except for last transfer leading to closure of account). The capital appreciation, if any, will be calculated from the enrolment date of the CapSTP under the folio, till the first transfer date. Subsequent capital appreciation, if any, will be the capital appreciation between the previous CapSTP date(where CapSTP has been processed and paid) and the next CapSTP date.

- 7. The provision of 'Minimum Redemption Amount' as specified in the Scheme Information Document(s) of the respective designated Transferor Schemes and 'Minimum Application Amount' specified in the Scheme Information Document(s) of the respective designated Transferee Schemes will not be applicable for STP.
- 8. Unit holders are required to fill in either the number of instalments or the enrolment period in the enrolment Form, failing which the Form is liable to be rejected.
- 9. In case Day of Transfer has not been indicated under STP- Weekly frequency, Wednesday shall be treated as Default day.
- 10. In case, the Enrolment Period has been filled, but the STP Date and/or Frequency (Monthly/Quarterly) has not been indicated, Monthly frequency shall be treated as Default frequency and 10th shall be treated as Default Date.
- 11. The application for STP/ Cap STP enrolment Monthly & Quarterly Interval should be submitted at least 7 working days and not more than 90 days before the desired commencement date.
- 12. Please refer below table for min. no. of installments and minimum amount per installment:

| STP Frequency | Cycle Date | Minimum Amount* (in₹) | Minimum Installment |
|---------------|------------------------------|-----------------------|---------------------|
| Daily | Monday To Friday | 1,000/- | 6 |
| Weekly | Monday To Friday | 1,000/- | 6 |
| Fortnightly | Alternate Wednesday | 1,000/- | 6 |
| Monthly | 1st, 7th, 10th, 15th or 25th | 1,000/- | 6 |
| Quarterly | 1st, 7th, 10th, 15th or 25th | 3,000/- | 2 |

If the Transferree scheme is Axis Long Term Equity Fund, minimum STP amount is ₹ 500.

- 13. In respect of STP, the Load Structure prevalent at the time of enrolment shall govern the investors during the tenure of the STP.
- 14. A minimum period of 7 working days shall be required for registration under STP. Units will be allotted/redeemed at the applicable NAV of the respective dates of the Scheme on which such investments/withdrawals are sought from the Scheme.
- 15. The AMC reserves the right to introduce STPs at any other frequencies or on any other dates as the AMC may feel appropriate from time to time. In the event that such a day is a Holiday, the transfer would be affected on the next Business Day.
- 16. The requests for discontinuation of STP/CapSTP shall be subject to an advance notice of 15 days before the next due date for STP.
- 17. STP will terminate automatically if all Units are liquidated or withdrawn from the account or upon the Funds' receipt of notification of death or incapacity of the Unit holder. Further, in case where the balance amount in a folio is less than the STP/CapSTP amount, the entire amount will be transferred to the transferree scheme.
- 18. If STP date/day is a non-Business Day, then the next Business Day shall be the STP Date / Day and the same will be considered for the purpose of determining the applicability of NAV.
- 19. The Trustee reserves the right to change/modify the terms and conditions of the STP. For the updated terms and conditions of STP, contact the nearest ISC or visit our website www.axismf.com